

FORM PTO-1595 (modified)

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

OVER SHEET  
NLY

10/470012

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Shane Stafslie

7-23-03

2. Name and address of receiving party(ies):

North Dakota State University  
102 Old Main  
P.O. Box 5167  
Fargo, North Dakota 58105-5167

Additional conveying party(ies)

NO

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

July 10, 2002

Additional name(s) & address(es) attached?

NO

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

July 23, 2003

A. Patent Application Number(s):

Not yet available (national phase of International  
Application No. PCT/US02/01225)

B. Patent Number(s):

Additional numbers attached? NO

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Charles G. Carter  
FOLEY & LARDNER  
Suite 3800  
777 East Wisconsin Avenue  
Milwaukee, Wisconsin 53202-5306

6. Total number of applications/patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Check Enclosed

Charge to deposit account

8. Deposit account number: 06-1447

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

Charles G. Carter

July 23, 2003

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 9

**ASSIGNMENT AND AGREEMENT**

*WHEREAS, Shane Stafslie* (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled **ANTINEOPLASTIC POLYALKOXYALKYSILOXANES AND METHODS OF USE THEREOF** (Atty. Dkt. No. 043453-0106) for which an application for United States Letters Patent was filed on January 29, 2002 as Application No. PCT/US02/01225; and

*WHEREAS, North Dakota State University*, a non-profit educational institution duly organized and existing under the laws of the State of North Dakota, and having its principal place of business at 102 Old Main, P.O. Box 5167, Fargo, North Dakota 58105-5167 (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

*NOW THEREFORE*, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

*ASSIGNOR HEREBY AUTHORIZES AND REQUESTS* the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

*ASSIGNOR HEREBY AGREES* (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper

title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

**ASSIGNOR HEREBY REPRESENTS AND WARRANTS** that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

**ASSIGNOR HEREBY GRANTS** to the law firm of **Foley & Lardner** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

**ASSIGNOR UNDERSTANDS AND AGREES** that the attorneys and agents of the law firm of **Foley & Lardner** do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 10<sup>th</sup> day of July, 2002.

State of North Dakota )  
County of Cass ) ss.

Shane Stafslien  
Shane Stafslien

On this 10<sup>th</sup> day of July, 2002, before me, a notary public in and for said county, appeared Shane Stafslien, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

Dale Zetocha  
Notary Public

(Seal)

My Commission Expires:  
DALE ZETOCHA  
Notary Public  
State of North Dakota  
My Commission Expires Dec. 5, 2007