

12-24-2003

Form PTO-1595

REC

U.S. DEPARTMENT OF COMMERCE

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/3/2002)

U.S. Patent and Trademark Office



102632669

To the Honorable Director of the US Patent and Trademark Office: Please record the attached original documents or copy thereof.

12.16.03

1. Name of conveying party(ies): Raymond Hornback, Jr., James S. Johnston, Mark S. Kressin, Andrew M. Ortwein and William M. Quinn

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 15, 2003

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Street Address: New Orchard Road

City: Armonk State: New York Zip: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No22264 U.S. PTO  
10737064  
121603

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 15, 2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional number attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven M. Greenberg, Esquire

Internal Address: \_\_\_\_\_

Street Address: Christopher &amp; Weisberg, P.A.

200 East Las Olas Boulevard

Suite 2040

City: Fort Lauderdale State: Florida Zip: 33301

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 122158

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven M. Greenberg, Reg. No. 44,725  
 Name of Person Signing

Signature

December 16, 2003  
 Date

Total number of pages including cover sheet, attachments, and documents:

3

Mail documents to be recorded with required cover sheet information to:

12/23/2003 LMUELLER 00000197 122158 10737064

Mail Stop Assignment Recordation Services  
 Director of the US Patent and Trademark Office  
 PO Box 1450  
 Alexandria, VA 22313-1450

01 FC:0021  
 15374

40.00 DA

PATENT  
 REEL: 014809 FRAME: 0916

IBM Docket No.: LOT9-2003-0076-US1 (7321-30U)  
Express Mailing Label No.: EV019279406US

**ASSIGNMENT**

WHEREAS, we:

- |                          |                                       |
|--------------------------|---------------------------------------|
| (1) Raymond Homback, Jr. | of Lexington<br>and State of Kentucky |
| (2) James S. Johnston    | of Lexington<br>and State of Kentucky |
| (3) Mark S. Kressin      | of Lakeway<br>and State of Texas      |
| (4) Andrew M. Ortwein    | of Lexington<br>and State of Kentucky |
| (5) William M. Quinn     | of Lexington<br>and State of Kentucky |

have invented certain improvements in

**COMPONENTIZED APPLICATION SHARING**

and executed a United States patent application therefor on the following date(s):

- |     |                   |
|-----|-------------------|
| (1) | <u>12/15/2003</u> |
| (2) | <u>12/15/2003</u> |
| (3) | <u>12/15/2003</u> |
| (4) | <u>12/15/2003</u> |
| (5) | <u>12/15/2003</u> |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York, 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for

IBM Docket No.: LOT8-2003-0078-US1 (7321-3GU)

Express Mailing Label No.: EV019279406US

foreign Letters Patent on Invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

## SIGNED AND SEALED:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>Lexington, KY</u>	<u>12/15/2003</u>	<u>Raymond Hornback, Jr.</u> Raymond Hornback, Jr.

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(2)	<u>Lexington, KY</u>	<u>12/15/2003</u>	<u>James S. Johnston</u> James S. Johnston

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(3)	<u>Lakeview, TX</u>	<u>12/15/03</u>	<u>Mark S. Kressin</u> Mark S. Kressin

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(4)	<u>Lexington, KY</u>	<u>12/15/2003</u>	<u>Andrew M. Ortwein</u> Andrew M. Ortwein

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(5)	<u>Lexington, KY</u>	<u>12/15/2003</u>	<u>William M. Quinn</u> William M. Quinn

15204