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| Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings | U.S. DEPARTMENT OF COMMERCE |
| To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. | |
| 1. Name of conveying party(ies): California Institute of Technology | Name and address of receiving party(ies) Name: National Aeronautics and Space Administration |
| 1 | Internal Address: |
| | |
| Additional name(s) of conveying party(ies) attached? Yes No | |
| 3. Nature of conveyance: | |
| Assignment Merger Security Agreement Change of Name | Street Address: 300 "E" Street, SW |
| Other Confirmatory License | |
| | City: Washington State: DC Zip: 20546 |
| 09/17/03 Execution Date: | Additional name(s) & address(es) attached? Yes V No |
| 4. Application number(s) or patent number(s): | |
| | cation, the execution date of the application is: |
| A. Patent Application No.(s) 10/474,978 | B. Patent No.(s) |
| A. Faterit Application No.(5) 107171570 | D. Falent No.(S) |
| | |

5. Name and address of party to whom correspondence

300 "E" Street, SW

concerning document should be mailed:

Code GP

Office of the Associate General Counsel

City: Washington State: DC Zip: 20546

Kathy Bayer
Name of Person Signing

Kathy Bayer

Name:

Internal Address:

Street Address:

9. Signature.

(Intellectual Property)

ing party(ies) and Space Administration et, SW te: DC Zip: 20546 ıttached? [Yes 🔽 No application is:____ Additional numbers attached? Yes 🔽 No 6. Total number of applications and patents involved: 01 7. Total fee (37 CFR 3.41).....\$_____ **Enclosed** Authorized to be charged to deposit account 8. Deposit account number: DO NOT USE THIS SPACE 12/03/03

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Date



License to the Government

In accordance with a contract set forth below between the Government of the United States of America, as represented by the Administrator of the National Aeronautics and Space Administration (NASA) and the below-named Contractor, the invention cited below is a SUBJECT INVENTION made in the performance of work under the contract and the ____ Contractor has elected to retain title to the SUBJECT INVENTION; or ____ the Contractor has elected not to retain title to the SUBJECT INVENTION; and NASA, after consultation with the Contractor, has granted the request for retention of rights by the Inventor, and employee of the Contractor, subject to the provisions of 35 U.S.C. § 202(d) and FAR 52.227-11, Patent Rights-Retention by the Contractor, but subject to a license to the Government, as described below.

NOW THEREFORE, pursuant to the terms and conditions of the contract, the Contractor/Inventor does hereby grant to the Government of the United States a non-exclusive, non-transferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States this SUBJECT INVENTION and any patents which may issue thereon throughout the world. At this time at least the following U.S. patent application has been filed on the SUBJECT INVENTION.

Title: A PZT Unimorph Based, High Stroke MEMS Deformable Mirror with a Continuous Membrane and Method of Making the Same

| Patent Application Serial No.: 10/474,978 Filed: 6/12/2002 | Contractor: California Institute of Technology |
|--|---|
| | Contractor Case No.: 3475-US NASA Case No.: NPO 30230 Contract No.: NAS7-1407 |

Inventor(s): Eui-Hyeok Yang

The Contractor/Inventor agrees to conclude, within the specification of any Unites States patent applications and any patents issuing thereon covering this SUBJECT INVENTION, the following statement as in FAR 52.227-11(f)(4):

This invention was made with Government support under contract NAS7-1407 awarded by NASA. The Government has certain rights in this invention.

The Contractor/Inventor further agrees to notify the NASA of any decision not to continue prosecution of the application(s), pay maintenance fees, or defend in a reexamination or opposition proceeding on a patent, in any country, not less than thirty days before the expiration period required by the U.S. Patent and Trademark Office.

Title to this Invention is subject to March-In-Rights by the NASA as stated in 35 U.S.C. § 203 and FAR 52.227-11(j).

The Contractor/Inventor shall furnish a copy of the patent application cited above and a listing of each country in which applications have been and are filed. Whenever a patent issues on the SUBJECT INVENTION, the patent number, the issue date, and the country shall be made known to the Government as soon as it is available to the Contractor/Inventor.

Adam Cochran

The Intellectual Property Counsel

(Typed name and title of Corporate Officer)

RECORDED: 12/11/2003

(Signature and date)

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November 17, 2003

PATENT REEL: 014811 FRAME: 0876