

In the United States Patent and Trademark Office

Assistant Commissioner for Patents
Washington, District of Columbia 20231

RECORDATION COVER

12-31-2003

19270 U.S. PTO
10/736008
121503

Dear Sir:

Please record the attached original documents



102636092

1. Name of conveying party(ies):

Chun He, Frank Wu, Christine Changhong Lee, Yao Li, and Wei-shin Tsay

2. Name and address of receiving party(ies)

Name: **Alliance Fiber Optic Products, Inc.**

Address: **735 N. Pastoria Avenue
Sunnyvale, CA 94085-2918**

3. Nature of conveyance

Assignment

4. Application number(s) or patent number(s):

10736008

If this document is being filed with together with a new application, the execution date of the application is Dec. 9, 10 and 12, 2003

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Joe Zheng**

Address: **Silicon Valley Patent Agency
7394 Wildflower Way
Cupertino, CA 95014**

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41)

\$40.00

8. Deposit account number:

502436

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joe Zheng

Name of Person Signing

Joe Zheng
Signature

12/15/2003

Date

Total number of pages comprising cover sheet = 5

10736008
502436
00000000
12/30/2003 DBYRNE
01 FC:8021
40.00 DA

PATENT

REEL: 014826 FRAME: 0301

Assignment

WHEREAS, we, Chun He, a citizen of USA, of 1607 Douglas Court, Fremont, CA 94539, USA; Frank Wu, a citizen of USA, of 42601 Isle Royal Street, Fremont, CA 94538, USA; Christine Luochanghong Lee, a citizen of USA, of 1718 Chitamook Court, Sunnyvale, CA94087, USA; Yao Li, a citizen of USA, of 43400 Adelina Common, Fremont, CA 94539, USA; and Wei-shin Tsay, a citizen of United States of America, of 21195 Chiquita Way, Saratoga, CA 95070; have invented certain inventions and improvements disclosed in an application for Letters Patent entitled "Tunable Optical Add/Drop Device", for which an application for a United States Patent executed by us on the 12 day of 7, 2003, and about to be filed in the United States Patent and Trademark Office, and;

WHEREAS, Alliance Fiber Optic Products, Inc., a corporation of the state of Delaware having a principal place of business at 735 N. Pastoria Avenue Sunnyvale, CA 94085-2918, is desirous of acquiring an interest in the same;


NOW, THEREFORE, for and in consideration of One Dollars (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we hereby acknowledge, we, Chun He, Frank Wu, Christine Luochanghong Lee, Yao Li, and Wei-shin Tsay, by these presents do sell, assign and transfer unto Alliance Fiber Optic Products, Inc., the full, exclusive and entire right, title, and interest in and to said application, in and to any divisions, continuations and reissues thereof, and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefor; and we hereby request the Commissioner of Patent and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation, or reissues thereof, to Alliance Fiber Optic Products, Inc., as the assignee, for its interest and for the sole use and benefit of Alliance Fiber Optic Products, Inc, and its assigns and legal representatives.


And we hereby covenant that we have the full right to convey the entire

agreement in conflict herewith.


For the same consideration, we, by these presents do sell, assign, and transfer to Alliance Fiber Optic Products, Inc., the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issues in the name of Alliance Fiber Optic Products, Inc., or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all division, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid Alliance Fiber Optic Products, Inc., its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Executed this 9 day of 12, 2003, 
Chun He

Executed this 9 day of 12, 2003, 
Frank Wu

Executed this ____ day of _____, 2003, _____
Changhong Luo

Executed this 7 day of 12, 2003, 
Yao Li

Executed this ____ day of _____, 2003, _____
Wei-shin Tsay

-end-

interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

For the same consideration, we, by these presents do sell, assign, and transfer to Alliance Fiber Optic Products, Inc., the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of Alliance Fiber Optic Products, Inc., or its designee insofar as permitted by applicable law.

And, for the same consideration, we agree to sign all lawful papers, execute all division, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid Alliance Fiber Optic Products, Inc., its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Execute this ____ day of ____, 2003, _____
Chun He

Execute this ____ day of ____, 2003, _____
Frank Wu

Execute this 12 day of 12, 2003, _____
Christine Luochanghong Lee

Execute this ____ day of ____, 2003, _____
Yao Li

Execute this ____ day of ____, 2003, _____
Wei-shin Tsay

-end-

agreement in conflict herewith.

For the same consideration, we, by these presents do sell, assign, and transfer to Alliance Fiber Optic Products, Inc., the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of Alliance Fiber Optic Products, Inc., or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all division, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid Alliance Fiber Optic Products, Inc., its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Executed this ____ day of ____, 2003, _____
Chun H

Executed this ____ day of ____, 2003, _____
Frank Wu

Executed this ____ day of ____, 2003, _____
Changhong Luo

Executed this ____ day of ____, 2003, _____
Yao Li

Executed this 10 day of 12, 2003, _____
Wei-shin Tsay

-end-

Patent Application Assignment
APOP

2/2