

RECORD

12-31-2003

ABX-PF3



102635516

To the Honorable Commission of Patents and Trademarks

all documents or copy thereof.

1. Name of conveying party(ies):

(1) Vahe Bedian;
(2) Ronald P. Gladue.

12/24/03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: (1) 04/28/03; (2) 04/28/03.

2. Name and address of receiving party(ies)

Name: Pfizer Inc.

Internal Address: _____

Street Address: 235 East 42nd Street

City: New York State: NY ZIP: 10017

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
10/292,088

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James F. Haley, Jr.

Internal Address: FISH & NEAVE

Street Address: 1251 Avenue of the Americas

City: New York State: NY ZIP: 10020-1104

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☒ Deficiency authorized to be charged to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jane T. Gunnison

Name of Person Signing

Jane T. Gunnison

Signature

December 19, 2003

Date

Reg. No. 38,479

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

12/30/2003 LNUELLER 00000199 10292008

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40.00 DP

PATENT
REEL: 014826 FRAME: 0518

ASSIGNMENT

I/We,

- (1) Vahe Bedian; and
- (2) Ronald P. Gladue;

residing, respectively, at

- (1) 3 Frog Hollow Road, East Lyme, Connecticut
06333, USA; and
- (2) 83 Rowley Drive, Stonington, Connecticut
06378, USA;

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to PFIZER INC., a corporation organized and existing under the laws of the State of Delaware and having an office and a place of business at 235 East 42nd Street, New York, New York 10017, its successors and assigns: (1) the entire right, title and interest in the United States and in all countries throughout the world in and to any and all my/our inventions and discoveries disclosed in the application for Letters Patent in the United States entitled: ANTIBODIES TO CD40, and filed in the United States Patent and Trademark Office on November 8, 2002, under Serial Number 10/292,088, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of PFIZER INC., its designee, or in my/our names at PFIZER INC. or its

designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to PFIZER INC. as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I/We agree, at any time, upon the request of PFIZER INC. to execute and to deliver to PFIZER INC. any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I/We further agree at any time to execute and to deliver upon request of PFIZER INC. such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world,

and otherwise to do the necessary to give full effect to and to perfect the rights of PFIZER INC. under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

ASSIGNORS:

Vahe Bedian (1)
Vahe Bedian

Ronald P. Gladue (2)
Ronald P. Gladue

On this 28th day of April, 2003, Vahe Bedian (1) personally appeared before me, a Notary Public in and for the State of Connecticut, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

Jacquelyn J. Lawrence
Notary Public

**JACQUELYNN J. LAWRENCE
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCTOBER 31, 2004**

On this 28th day of April, 2003,
Ronald P. Gladue (2) personally appeared before me, a
Notary Public in and for
the State of Connecticut, and executed the
foregoing Assignment and duly acknowledged to me that
such Assignment was executed for the uses and purposes
therein expressed.

Jacquelyn J. Lawrence
Notary Public

JACQUELYNN J. LAWRENCE
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCTOBER 31, 2004

ACKNOWLEDGEMENT OF ASSIGNEE:

By: J. Trevor Lumb
J. Trevor Lumb
Assistant General Patent
Counsel

On this 1st day of May, 2003,
J. Trevor Lumb personally appeared before me, a Notary
Public in and for the
State of New York, and duly
acknowledged the executed Assignment on behalf of the
Assignee.

Rosalba Oliveri
Notary Public

ROSALBA OLIVERI
Notary Public, State of New York
No. 01016085628
Qualified in Queens County
Certificate Filed in New York County
Commission Expires December 30, 2006