

MR2685-74

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OMB No. 0851-0027 (exp. 6/30/2005)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SEUNG KI JOO

2. Name and address of receiving party(ies)

Name: SEOUL NATIONAL UNIVERSITY INDUSTRY FOUNDATION

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: San 4-2, Bongcheon-Dong,
Kwanak-ku

City: Seoul, Republic of Korea

Execution Date: 6/28/2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) 6,635,571

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Morton J. Rosenberg, Esq.

Internal Address: Rosenberg, Klein & Lee

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

Street Address: 3458 Ellicott Center Drive,

Suite 101

8. Deposit account number:

18-2011

City: Ellicott City State: MD Zip: 21043

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9. Signature.

Morton J. Rosenberg

Name of Person Signing

Signature

7/13/04

Date

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PATENT
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ASSIGNMENT DEED

This Assignment agreement is applicable to an invention entitled (invention Title) PROCESS FOR FORMING ALUMINUM OR ALUMINUM OXIDE THIN FILM ON SUBSTRATES

The PATENT RIGHTS referred to in this agreement are:

- (Check one) ☐ a Patent Application for this invention, executed by the ASSIGNOR(s) concurrently with this Assignment
☐ U.S. Patent Application Serial No. _____
☒ U.S. Patent No. 6,635,571, issued 21 October 2003, filed _____

The PATENT RIGHTS assigned under this agreement are:

- (Check one) ☒ U.S. Patent rights only
☐ worldwide Patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. Patent Application identified above.

The ASSIGNOR(s) referred to in this agreement is (or are):

(Full name of first assignor) Seung Ki Joo
 (Address) Sampoong Apt. 22-201, 1685 Seocho-Dong, Seocho-Ku, Seoul, Republic of Korea

(Full name of second assignor, if any) _____
 (Address) _____

(Full name of third assignor, if any) _____
 (Address) _____

(Full name of fourth assignor, if any) _____
 (Address) _____

The First ASSIGNEE referred to in this agreement is:

(Name of Assignee) Seoul National University Industry Foundation
 (Address of Assignee) San 4-2, Bongcheon-Dong, Kwanak-Ku, Seoul, Republic of Korea

The Second ASSIGNEE referred to in this agreement is:

(Name of Assignee) _____
 (Address of Assignee) _____

The First ASSIGNEE is:

- (Check one) ☐ an individual
☐ a partnership
☒ a Corporation of Republic of Korea
 (State or Country)

The Second ASSIGNEE is:

- (Check one) ☐ an individual
☐ a partnership
☐ a Corporation of _____
 (State or Country)

☐ Additional assignees are being named on separately numbered sheets attached hereto.

The ASSIGNOR(S), in consideration of \$1.00 paid by each ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, have and do hereby assign the following to each ASSIGNEE; their successors and assigns:

the full and exclusive right to the invention;
 an equal interest in and to the entire right, title and interest in and to the PATENT RIGHTS in the invention, all continuations, continuations in -part, divisionals, re-issues, and re-examination patents and patent applications; and the right to claim property under 35 U.S.C. 119, based on any earlier foreign applications for this invention.

As to all U.S. Patent Applications assigned under this Agreement, the ASSIGNOR(S) hereby authorize(s) and requests the Director of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE(s) as the ASSIGNEE(s) of an equal interest in the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE(s), their successors and assigns.

Further, the ASSIGNOR(s) agree(s) to communicate to said ASSIGNEE(s), or their representatives, any facts known to the ASSIGNOR(s) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation in-part, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE(s), make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE(s), their successors and assigns, to obtain and enforce proper protection for said invention.

(Signature of sole or first assignor) Seung Ki Joo

June 28, 2004
 (Date)

(Signature of second assignor, if any) _____

(Date)

(Signature of third assignor, if any) _____

(Date)

(Signature of fourth assignor, if any) _____

(Date) **PATENT**