

01-12-2004

cket No.: 125636-1

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter Michael Finnigan
Mullahalli Venkataramaniah Srinivas
Robert Joseph Albers
Guy Wayne DeLeonardo

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: General Electric Company

Address: 1 River Road

City: Schenectady

State/Prov.: NY

Country: U.S.A.

ZIP: 12345

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 11/19/03; 11/19/03; 12/12/03; 11/19/03

4. Application number(s) or patent numbers(s):

10748812

11/19/03; 11/19/03;

If this document is being filed together with a new application, the execution date of the application is: 12/12/03; 11/19/03

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Fox

Registration No. 38,807

Address: CANTOR COLBURN LLP

55 Griffin Road South

City: Bloomfield

State/Prov.: CT

Country: U.S.A.

ZIP: 06002

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Fox Reg. No. 38,807

Name of Person Signing

Signature

December 30, 2003

Date

6

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
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PATENT

REEL: 014859 FRAME: 0965

00727 U.S. PTO
10748812

01/09/2004 EDC PER 10748812
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01 FC-0021

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we, **Peter Michael Finnigan of 25 Coventry Drive, Clifton Park, NY 12065; Mullahalli Venkataramaniah Srinivas of 57 Knights Bridge, Apt. F, Guilderland, NY 12084; Robert Joseph Albers of 622 St. Joseph Lane, Park Hills, KY 41011; and Guy Wayne DeLeonardo of 60 St. Stephens Lane N., Glenville, NY 12302**, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled **METHOD AND SYSTEM FOR ACTIVE TIP CLEARANCE CONTROL IN TURBINES**, **GE Docket Number 125636-1**

- ☒ executed concurrently herewith, or
☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1

Peter Michael Finnigan Date: Nov 19, 2003
Peter Michael Finnigan

State of New York SS:County of SchenectadyThis 19th day of November, 2003, before me personally came the above named

Peter Michael Finnigan to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

RITA M. LYNCH
Notary Public, State of New York
Qual. in Rensselaer Co. No. 011Y6079764
Commission Expires 8/29/06

Rita M. Lynch
(Notary Public)

Inventor 2


Mullahalli Venkataramaniah Srinivas

Date:

11/19/03State of New York SS:County of SchenectadyThis 19th day of November, 2003, before me personally came the above named

Mullahalli Venkataramaniah Srinivas to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

RITA M. LYNCH
Notary Public, State of New York
Qual. in Rensselaer Co, No. 01LY6079764
Commission Expires 8/29/06


(Notary Public)

Inventor 3

Robert Joseph Albers**Robert Joseph Albers**Date: 12/12/03State of Ohio SS:County of HamiltonThis 12th day of December, 2003, before me personally came the above named

Robert Joseph Albers to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

Kimberly M. Howard
Notary Public, State of Ohio
My Commission Expires
October 2, 2006
Recorded in Hamilton County

Kimberly M. Howard
(Notary Public)

Inventor 4

Guy Wayne DeLeonardo

Guy Wayne DeLeonardo

Date: 11/19/2003State of new york SS:County of SchenectadyThis 19th day of November, 2003, before me personally came the above named

Guy Wayne DeLeonardo to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

RITA M. LYNCH
Notary Public, State of New York
Qual. in Rensselaer Co. No. 011Y6079764
Commission Expires 8/29/06

Rita M. Lynch
(Notary Public)