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RECORD



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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies): Andrew Graham, Richard Luyken, Franz Hofmann, Wolfgang Rösner, Johannes Kretz, Franz Kreupl. Additional name of conveying party(ies) attached? [ ] Yes [X] No

2. Name and address of receiving party(ies): Name: Infineon Technologies AG, Internal Address: Street Address: St.-Martin-Str. 53, 81669, Munchen, GERMANY, City: State: Zip: Additional Name(s) & address(es) attached? [ ] Yes [X] No

3. Nature of conveyance: [X] Assignment, [ ] Merger, [ ] Security Agreement, [ ] Change of Name, [ ] Other. Execution Date: 11/20/03; 11/20/03; 12/2/03; 12/2/2003; 11/25/03; 11/25/03

Street Address: St.-Martin-Str. 53, 81669, Munchen, GERMANY, City: State: Zip: Additional Name(s) & address(es) attached? [ ] Yes [X] No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/476,663

B. Patent No.(s) Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning this document should be mailed: Name: Jeffrey R. Stone, Internal Address: Briggs and Morgan, P.A., 2200 IDS Center, Street Address: 80 South Eighth Street, City: Minneapolis State: MN Zip: 55402

6. Total number of applications and patents involved: 1, 7. Total fee (37 CFR 3.41) \$ 40.00, [X] Enclosed, [X] Authorized to be charged to deposit account, 8. Deposit account number: 023732

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Jeffrey R. Stone, Name of Person Signing, Signature, 1/8/04, Date, Total number of pages including cover sheet, attachments, and documents: 9

01/13/2004 ECDOOPER 00000260 10476663 01 FC:8021 40.00 1601662v1

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

PATENT REEL: 014869 FRAME: 0314

**ASSIGNMENT**

**WHEREAS**, Andrew Graham, a citizen of Germany, residing at Gufidauner Str. 6, 81547, München, Deutschland, Germany, made an invention disclosed and claimed in an Application for United States Letters Patent executed by the foregoing of even date herewith and entitled:

**NANORÖHREN-ANORDNUNG UND VERFAHREN ZUM  
HERSTELLEN EINER NANORÖHREN-ANORDNUNG**

and filed with the United States Patent and Trademark Office on November 4, 2003 and assigned U.S. Patent Application Serial No. 10/476,663; and

**WHEREAS**, Infineon Technologies AG, a corporation organized and existing under and by virtue of the laws of Germany, and having a principal place of business located at St.-Martin-Str. 53, 81669 München, Germany, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patent that may be issued thereon;

**NOW THEREFORE**, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title, and interest of said Andrew Graham, and to said application for United States Letters Patent, and the Invention disclosed and claimed therein, the resultant patent or patents for said invention and any renewals, reissues, extensions, substitutions, and continuations or divisions thereof, including all foreign rights thereunder, as fully set forth and described in the specification of said application for Letters Patent, is hereby sold, assigned and transferred unto said Infineon Technologies AG.

The undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

The undersigned further covenants and agrees he will communicate to said assignee, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said assignee, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all letters patent which may be granted therefor, including reissues or extensions, in said Assignee, its successors, or assigns or to assist said Assignee, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States or any foreign country for said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent in accordance with this Assignment.

Signature Andrew Graham  
Andrew Graham

Signed at Munich, this 20<sup>th</sup> day of November, 2003.

Attorney Ref. No. 32226.73

### ASSIGNMENT

**WHEREAS**, Franz Hofmann, a citizen of Germany, residing at Herbergstr. 25B, 80995, München, Deutschland, Germany, made an invention disclosed and claimed in an Application for United States Letters Patent executed by the foregoing of even date herewith and entitled:

#### NANORÖHREN-ANORDNUNG UND VERFAHREN ZUM HERSTELLEN EINER NANORÖHREN-ANORDNUNG

and filed with the United States Patent and Trademark Office on November 4, 2003 and assigned U.S. Patent Application Serial No. 10/476,663; and


**WHEREAS**, Infineon Technologies AG, a corporation organized and existing under and by virtue of the laws of Germany, and having a principal place of business located at St.-Martin-Str. 53, 81669 München, Germany, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patent that may be issued thereon;

**NOW THEREFORE**, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title, and interest of said Franz Hofmann, and to said application for United States Letters Patent, and the Invention disclosed and claimed therein, the resultant patent or patents for said invention and any renewals, reissues, extensions, substitutions, and continuations or divisions thereof, including all foreign rights thereunder, as fully set forth and described in the specification of said application for Letters Patent, is hereby sold, assigned and transferred unto said Infineon Technologies AG.

The undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

The undersigned further covenants and agrees he will communicate to said assignee, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said assignee, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all letters patent which may be granted therefor, including reissues or extensions, in said Assignee, its successors, or assigns or to assist said Assignee, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States or any foreign country for said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent in accordance with this Assignment.

Signature   
Franz Hofmann

Signed at 20 of 11th Munich, this 20 day of Nov, 2003.

Attorney Ref. No. 32226.73

**ASSIGNMENT**

**WHEREAS**, Johannes Kretz, a citizen of Germany, residing at Tattenbachstr. 1, 80538, München, Deutschland, Germany, made an invention disclosed and claimed in an Application for United States Letters Patent executed by the foregoing of even date herewith and entitled:

**NANORÖHREN-ANORDNUNG UND VERFAHREN ZUM  
HERSTELLEN EINER NANORÖHREN-ANORDNUNG**

and filed with the United States Patent and Trademark Office on November 4, 2003, and assigned U.S. Patent Application Serial No. 10/476,663; and

**WHEREAS**, Infineon Technologies AG, a corporation organized and existing under and by virtue of the laws of Germany, and having a principal place of business located at St.-Martin-Str. 53, 81669 München, Germany, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patent that may be issued thereon;


**NOW THEREFORE**, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title, and interest of said Johannes Kretz, and to said application for United States Letters Patent, and the Invention disclosed and claimed therein, the resultant patent or patents for said invention and any renewals, reissues, extensions, substitutions, and continuations or divisions thereof, including all foreign rights thereunder, as fully set forth and described in the specification of said application for Letters Patent, is hereby sold, assigned and transferred unto said Infineon Technologies AG.

The undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

The undersigned further covenants and agrees he will communicate to said assignee, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said assignee, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all letters patent which may be granted therefor, including reissues or extensions, in said Assignee, its successors, or assigns or to assist said Assignee, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States or any foreign country for said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent in accordance with this Assignment.

Signature \_\_\_\_\_

  
Johannes Kretz

Signed at Munich, this 2nd day of December, 2003.

Attorney Ref. No. 32226.73

**ASSIGNMENT**

**WHEREAS**, Franz Kreupl, a citizen of Germany, residing at Mandlstr. 24, 80802, München, Deutschland, Germany, made an invention disclosed and claimed in an Application for United States Letters Patent executed by the foregoing of even date herewith and entitled:

**NANORÖHREN-ANORDNUNG UND VERFAHREN ZUM  
HERSTELLEN EINER NANORÖHREN-ANORDNUNG**

and filed with the United States Patent and Trademark Office on November 4, 2003, and assigned U.S. Patent Application Serial No. 10/476,663; and

**WHEREAS**, Infineon Technologies AG, a corporation organized and existing under and by virtue of the laws of Germany, and having a principal place of business located at St.-Martin-Str. 53, 81669 München, Germany, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patent that may be issued thereon;

**NOW THEREFORE**, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title, and interest of said Franz Kreupl, and to said application for United States Letters Patent, and the Invention disclosed and claimed therein, the resultant patent or patents for said invention and any renewals, reissues, extensions, substitutions, and continuations or divisions thereof, including all foreign rights thereunder, as fully set forth and described in the specification of said application for Letters Patent, is hereby sold, assigned and transferred unto said Infineon Technologies AG.

The undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

The undersigned further covenants and agrees he will communicate to said assignee, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said assignee, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all letters patent which may be granted therefor, including reissues or extensions, in said Assignee, its successors, or assigns or to assist said Assignee, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States or any foreign country for said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent in accordance with this Assignment.

Signature   
Franz Kreupl

Signed at München, this 4 day of December 2003.

Attorney Ref. No. 32226.73

**ASSIGNMENT**

**WHEREAS**, Richard Luyken, a citizen of Germany, residing at Böcklerweg 28, 81825, München, Deutschland, Germany, made an invention disclosed and claimed in an Application for United States Letters Patent executed by the foregoing of even date herewith and entitled:

**NANORÖHREN-ANORDNUNG UND VERFAHREN ZUM  
HERSTELLEN EINER NANORÖHREN-ANORDNUNG**

and filed with the United States Patent and Trademark Office on November 4, 2003, and assigned U.S. Patent Application Serial No. 10/476,663; and

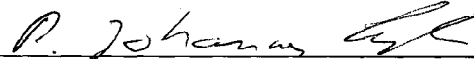
**WHEREAS**, Infineon Technologies AG, a corporation organized and existing under and by virtue of the laws of Germany, and having a principal place of business located at St.-Martin-Str. 53, 81669 München, Germany, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patent that may be issued thereon;

**NOW THEREFORE**, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title, and interest of said Richard Luyken, and to said application for United States Letters Patent, and the Invention disclosed and claimed therein, the resultant patent or patents for said invention and any renewals, reissues, extensions, substitutions, and continuations or divisions thereof, including all foreign rights thereunder, as fully set forth and described in the specification of said application for Letters Patent, is hereby sold, assigned and transferred unto said Infineon Technologies AG.

The undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

The undersigned further covenants and agrees he will communicate to said assignee, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said assignee, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all letters patent which may be granted therefor, including reissues or extensions, in said Assignee, its successors, or assigns or to assist said Assignee, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States or any foreign country for said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent in accordance with this Assignment.

Signature   
Richard Luyken  
*Johannes*

Signed at *München*, this *25* day of *November*, 2003.

Attorney Ref. No. 32226.73

**ASSIGNMENT**

**WHEREAS**, Wolfgang Rösner, a citizen of Germany, residing at Sudetenstr. 23, 85521 Ottobrunn, Deutschland, Germany, made an invention disclosed and claimed in an Application for United States Letters Patent executed by the foregoing of even date herewith and entitled:

**NANORÖHREN-ANORDNUNG UND VERFAHREN ZUM  
HERSTELLEN EINER NANORÖHREN-ANORDNUNG**

and filed with the United States Patent and Trademark Office on November 4, 2003 and assigned U.S. Patent Application Serial No. 10/476,663; and

**WHEREAS**, Infineon Technologies AG, a corporation organized and existing under and by virtue of the laws of Germany, and having a principal place of business located at St.-Martin-Str. 53, 81669 München, Germany, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patent that may be issued thereon;

**NOW THEREFORE**, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title, and interest of said Wolfgang Rösner, and to said application for United States Letters Patent, and the Invention disclosed and claimed therein, the resultant patent or patents for said invention and any renewals, reissues, extensions, substitutions, and continuations or divisions thereof, including all foreign rights thereunder, as fully set forth and described in the specification of said application for Letters Patent, is hereby sold, assigned and transferred unto said Infineon Technologies AG.

The undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

The undersigned further covenants and agrees he will communicate to said assignee, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said assignee, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all letters patent which may be granted therefor, including reissues or extensions, in said Assignee, its successors, or assigns or to assist said Assignee, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States or any foreign country for said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent in accordance with this Assignment.

Signature Wolfgang Rösner  
Wolfgang Rösner

Signed at Munich, this 25<sup>th</sup> day of November, 2003.

Attorney Ref. No. 32226.73

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