

01-14-2004

Tab settings → → → ▼

1/12/04



102644832

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Julie Schoenung  
Enrique Lavernia  
Leonardo Ajdelsztajn**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: **The Regents of the University of California**

Internal Address: \_\_\_\_\_

Street Address: **1111 Franklin Street, 12th Floor**

City: **Oakland** State: **CA** ZIP: **94607**

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: **12/01/2003, 11/26/2003, 11/28/2003**

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/624,254

B. Patent No.(s)

Additional numbers attached?  Yes  No

OPR/FINANCE  
JAN 12 AM 9:22

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Daniel L. Dawes**

Internal Address: **Myers Dawes Andras & Sherman LLP**

Street Address: **19900 MacArthur Boulevard**

**11th Floor**

City: **Irvine** State: **CA** ZIP: **92612**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

**01-1960**

(Attach duplicate copy of this page if paying by deposit account)

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Daniel L. Dawes**

Name of Person Signing

Signature

**Jan. 7, 2004**

Date

Total number of pages including cover sheet, attachments, and document: **4**

01/13/2004 ECOMPER 00000177 10264254  
01 FC:0021

**ASSIGNMENT**

UC Case No. 2002-164-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Julie Schoenung

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin Street 12<sup>th</sup> Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE, all of its rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**Functional Coatings for the Reduction of Oxygen Permeation and Stress and  
Method of Forming the Same**

and which is found in

- (a) \_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/624,254, filed on 07/22/2003
- (d) \_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

1<sup>st</sup> day of Dec, 2003

Julie Schoenung  
Julie Schoenung

**ASSIGNMENT**

UC Case No. 2002-164-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Enrique Lavernia

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE, all of its rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**Functional Coatings for the Reduction of Oxygen Permeation and Stress and  
Method of Forming the Same**

and which is found in

- (a) \_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/624,254, filed on 07/22/2003
- (d) \_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

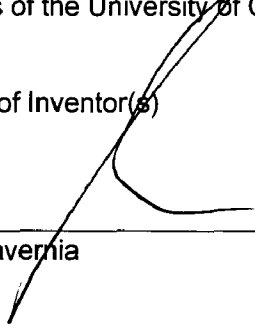
ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

26 day of Nov, 2003

Signature of Inventor(s)


  
\_\_\_\_\_  
Enrique Lavernia

**ASSIGNMENT**

UC Case No. 2002-164-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Leonardo Ajdelsztajn

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE, all of its rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**Functional Coatings for the Reduction of Oxygen Permeation and Stress and  
Method of Forming the Same**

and which is found in

- (a) \_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/624,254, filed on 07/22/2003
- (d) \_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

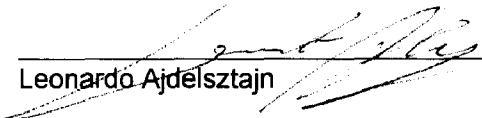
ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

28 day of NOV, 2003

  
\_\_\_\_\_  
Leonardo Ajdelsztajn