

01-15-2004



11/3/04

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To the Honorable Commissioner of Patents

and the attached original document or copy thereof.

1. Names of conveying parties:

- 1) DIETER OESTERHELT
- 2) NORBERT HAMPP
- 3) MATTHIAS PFEIFFER
- 4)
- 5)
- 6)

Additional names of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: MAX-PLANCK-GESELLSCHAFT ZUR
FÖRDERUNG DER WISSENSCHAFTEN E.V.

Internal Address: _____

Street Address: GENERALVERWALTUNG,HOFGARTENSTRASSE 8Postal Code & City: 80539 MUENCHENCountry GERMANYAdditional names & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates:

- 1) APRIL 27, 2003 4) _____
- 2) APRIL 23, 2003 5) _____
- 3) APRIL 22, 2003 6) _____

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,
the filing date of the application is _____

A. Patent Application No(s).

10/343,318

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: Seed Intellectual Property Law Group PLLCInternal Address: KARL R. HERMANN'SStreet Address: 701 Fifth Avenue, Suite 6300City: Seattle State: WA ZIP: 98104-70926. Total number of applications and
patents involved..... 17. Total Fee (37 CFR 3.41): \$40☒ Enclosed☐ Authorized to be charged to deposit account

(Attach duplicate copy of this page if paying by deposit account)

8. Deposit account number:

19-1090

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a
true copy of the original document.KARL R. HERMANN'S

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

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DO NOT USE THIS SPACE

ASSIGNMENT

WHEREAS, we, Dieter Oesterhelt, Norbert Hampp and Matthias Pfeiffer (hereinafter referred to as ASSIGNORS), having mailing addresses of Werdenfelsstrasse 17, 81377 München, Germany; Schillerstrasse 19, 35287 Amöneburg-Rossdorf, Germany; Deutschhausstrasse 46, 35037 Marburg, Germany, are the named joint inventors of an invention entitled "PROTON-TRANSLOCATING RETINAL PROTEIN," as described and claimed in the specification for which an application for United States letters patent was filed on January 27, 2002, and assigned Application No. 10/343,318. This application is a national stage application filed under 35 U.S.C. 371 of International Application No. PCT/EP01/08715, filed July 27, 2001, which application claims priority to German Patent Application No. 100 36 745.3, filed July 27, 2000 and German Patent Application No. 100 48 383.6, filed September 29, 2000;

WHEREAS, Max-Planck-Gesellschaft Zur Förderung der Wissenschaften E.V. (hereinafter referred to as ASSIGNEE), having a usual place of business at Generalverwaltung, Hofgartenstrasse 8, 80539 München, Germany, is desirous of acquiring from ASSIGNORS their entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions, and in any and all divisions, reissues and continuations thereof, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made. ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

27.4.03
Date
City of Munich
Country of Germany

H. Oesterhelt
Dieter Oesterhelt

ss.

I certify that I know or have satisfactory evidence that Dieter Oesterhelt is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

~~Dated _____
Signature of _____
Notary Public _____
Printed Name _____
My appointment expires _____~~

23/4/03
Date
City of Audernburg
Country of Germany

Norbert Hampp
Norbert Hampp

ss.

I certify that I know or have satisfactory evidence that Norbert Hampp is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

~~Dated _____
Signature of _____
Notary Public _____
Printed Name _____
My appointment expires _____~~

Date 22/4/2003

City of MARBURG)

Country of GERMANY)

✓
Matthias Pfeiffer

ss.

I certify that I know or have satisfactory evidence that Matthias Pfeiffer is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

~~Dated _____
Signature of
Notary Public _____
Printed Name _____
My appointment expires _____~~

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