



REC

01-20-2004



ET

Attorney Docket U 014840-0

To the Honorable Commissioner of

102649299 record the attached original documents or copy thereof  
(with signed English translation if needed).

## 1. Name of conveying party(ies):

1. ROBERT SEEMAYER  
2.  
3.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_ ☐ Change of Address  
(old address above)

Execution Date(s): 1. November 5, 2003  
2.  
3.

## 2. Name and address of receiving party (ies):

Name: PFIZER INC.

Address: 150 EAST 42<sup>ND</sup> STREET, NEW YORK, NY  
10017-5612

&amp;

Name: DSM PHARMACEUTICALS, INC.

Address: 5900 N.W. Greenville Blvd., Greenville, NC  
27834 U.S.A.

Additional name(s) &amp; addresses(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☒ Patent Application No.(s): 10/679,961☐ Patent No.(s):Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JOHN RICHARDS

Address: Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41) \$40.00 @ \$40.00 each

☒ Check Enclosed☒ Authorized to be charged in whole or in part to:

## 8. Deposit account number: 12-0425

DO NOT USE THIS SPACE

## 9. Signature:

JOHN RICHARDS

Name of Person Signing

Signature

January 12, 2004

Date

Total number of pages including coversheet, attachments, and documents:

5

01/16/2004 ECDOPER 00000139 10679961

01 FC:8021

40.00 UP

(Assignment (Document) Cover Sheet—page 2 of 2) 16-6

PATENT  
REEL: 014883 FRAME: 0598

For: ☐ U.S. and/or ☐ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☐ PCT Application  
By: Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**ROBERT SEEMAYER*(type or print name of inventors)*CV THERAPEUTICS, 3172 PORTER DRIVEPALO ALTO, CA 94304AddressGermanNationalityTHOMAS G. NUGENTSchool of Engineering & Science, University ofBremen, Campus Ring 8-Research 111,Address 113 D-28759 Bremen Germany U.S.A.NationalityAddressNationalityAddressNationalityAddressNationality

Added page(s) for signature by subsequent inventors. Number of pages added



(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to  
ASSIGNEE

PFIZER INC.

(type or print name of ASSIGNEE)

150 EAST 42<sup>ND</sup> STREET

Address

U.S.A.

Nationality

NEW YORK, NY 10017-5612

And

DSM Pharmaceuticals, Inc.

(type or print name of ASSIGNEE)

5900 N.W. Greenville Blvd., Greenville, NC

Address

U.S.A.

Nationality

27834 U.S.A.

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States  
and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority, in and to any and all  
improvements which are disclosed in the invention entitled:

PROCESS FOR THE PREPARATION OF (S,S)-CIS-2-BENZHYDRYL-3-

BENZYLAMINOQUINUCLIDINE

Name of inventor(s) ROBERT SEEMAYER, THOMAS G. NUGENT

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-  
entitled invention  
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an  
ASSIGNOR signing below, hereby authorize and request my  
attorney to insert below the filing date and application number when  
they become known.  
(d) ☒ U.S. application no. 10/679,961  
filed on October 6, 2003  
(e) ☐ International application no. PCT/ \_\_\_\_\_ / \_\_\_\_\_  
(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding  
patent maintenance fees is being sent separately.

(Assignment of Invention—Multiple Inventors—page 2 of 3) 16-3.2

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

ROBERT SEEMAYER

(type name of Assignor)

(X) Robert Seemayer  
Signature of ASSIGNOR

this (X) Nov. 05, 2003  
date of signing

THOMAS G. NUGENT

(type name of Assignor)

(X) \_\_\_\_\_  
Signature of ASSIGNOR

this (X) \_\_\_\_\_  
date of signing

\_\_\_\_\_  
(type name of Assignor)

\_\_\_\_\_  
Signature of ASSIGNOR

this \_\_\_\_\_  
date of signing

\_\_\_\_\_  
(type name of Assignor)

\_\_\_\_\_  
Signature of ASSIGNOR

this \_\_\_\_\_  
date of signing

\_\_\_\_\_  
(type name of Assignor)

\_\_\_\_\_  
Signature of ASSIGNOR

this \_\_\_\_\_  
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.



Director of the United States Patent and Trademark Office  
Mail Stop Assignments  
P. O. Box 1450  
Alexandria, VA 22313-1450

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**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**



deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Director of the United States Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450

**FACSIMILE**



transmitted by facsimile to the Patent and Trademark Office

**Signature**

**JOHN RICHARDS**

*(type or print name of person certifying)*

Date: January 12, 2004

(Assignment (Document) Cover Sheet—page 1 of 2) 16-6

RECORDED: 01/14/2004

PATENT  
REEL: 014883 FRAME: 0602