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Name of conveying party(ies)	2. Name and address of receiving party(ies)	
Ugichem GmbH	Name:	Ugichem GmbH
	Street Address:	Mitterweg 22
Additional name(s) of conveying party(ies) attached?  Yes No	City, State Zip	A-6020 Innsbruck, Austria
	Additional name(s) & a	ddress(es) attached?   Yes   No
3. Nature of conveyance:		<b>a</b>
<ul><li>✓ Assignment</li><li>✓ Security Agreement</li></ul>		JEH 20 OPR/FII
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Other		and the second s
F ( P ( ) )		2 3
Execution Date(s): November 27, 2003		OPR/FINANCE
4. Application number(s) or patent number(s): U.S. Patent No. 6,147,240 - Issued November 14, 2000;		
U.S. Patent No. 6,509,463 B1 - Issued January 21, 2003		
U.S. Patent Application Serial No. 09/914,052 - Filed November 20, 2001		
If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No.(s) filed		
Additional numbers attached? ☐Yes ☒ No		
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>		oplications and patents involved 3
Name: RONALD R. SANTUCCI	7. Total fee (37 CFR	3.41) \$ 120.00
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## ASSIGNMENT

WHEREAS, Ugichem GmbH, having its principal place of business at Georgenschwaigstr. 38, 80807, München, Germany, hereinaster referred to as Assignor is the owner of the following United States Patents and Patent Application and of the inventions disclosed therein.

Patentee: Ugi et al. U.S. Patent No. 6,147,240 Issued November 14, 2000

Patentee: Ugi et al. U.S. Patent No. 6,509,463 B1 Issued January 21, 2003

Applicant(s): Holger Bock et al. U.S. Patent Application Serial No. 09/914,052 Filed: November 20, 2001

AND WHEREAS, Ugichem GmbH, an Austrian corporation having an address at Mitterweg 22, A-6020 Innsbruck, Austria, hereinafter referred to as Assignce, is desirous of acquiring the entire right, title and interest in and to the above Patents and Patent Application of the United States and to the inventions described therein;

AND THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor, by these presents, does hereby sell and assign unto said Assignee, all its rights title and interest in and to the above-identified United States Patents and Patent Application and Inventions and subsequent issued Letters Patent, together with all causes of action, claims and demands or other rights for, or arising from any infringement, including past infringement of said patents and patent application or subsequently issued patent.

UPON SAID CONSIDERATIONS, Assignor hereby agrees with the said Assignor that Assignor will not execute any writing or do any act whatsoever conflicting with these presents, and that Assignor will, at any time upon request, without further or additional consideration but at the expense of said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extension of said Patents and Patent Application or subsequent issued Letters Patent of the United States or of any and all

PATENT REEL: 014885 FRAME: 0955 foreign countries on said invention, and in enforcing any rights or causes of action accruing as a result of such patents or patent application and subsequently issued patent, by giving testimony in any proceedings or transactions involving such application or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of Assignor and Assignee;

AND Assignor requests the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States that may be issued for said the pending patent application and invention to said Assignce, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

27. 11. 2003 Date

Thomas Lingtherst Holger Book

Name

Geschaftsführer bieschaftsführer

Munaging Director Mainging Director

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