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OMB No. 0651-0027 (exp 5/31/2002)



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U.S. DEPARTMENT OF COMMERCE

U.S. Patent And Trademark Office

102651300

To the Honorable Commissioner For Patents. Please record serial documents or copy thereof:

1. Name of conveying party(ies):

Peter Szor; William E. Sobel

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Peter Szor 1/9/2004;

Execution Date: William E. Sobel 1/12/2004

2. Name and address of receiving party(ies):

Name: Symantec Corporation

Internal Address:

Street Address: 20330 Stevens Creek Blvd.

City: Cupertino

State: CA Zip: 95014

Additional name(s) & address(es) attached?

☐ Yes☒ No22141 U.S. PTO
10757738
011304

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 9, 2004

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Antonia L. Sequeira

Internal Address: Fenwick & West LLP

Street Address: Silicon Valley Center
801 California Street

City: Mountain View State: CA Zip Code: 94041

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41): \$40.00

☒ Check Enclosed☐ Charge the indicated fees to the below mentioned deposit account.

8. Deposit Account No.: 19-2555

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey Brill, 51,198

Name of Person Signing

Signature

January 13, 2004

Date

Total number of pages including cover sheet, attachments, documents: [5]

Mail documents to be recorded with required cover sheet information to: Box Assignment, Commissioner For Patents and Trademarks, Washington, D.C. 20231

Case Docket No.: 20423-08312

01/16/2004 BSAYASI1 00000046 10757742

20423/08312/DOCS/1400001.

03 FC:8021

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PATENT
REEL: 014895 FRAME: 0161

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to SYMANTEC CORPORATION a Delaware corporation, having a place of business at 20330 Stevens Creek Blvd, Cupertino, CA 95014, ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the provisional application filed under 35 U.S.C. §111(b) or non-provisional application filed under 35 U.S.C. §111(a) and entitled Refining Behavioral Detections for Early Blocking of Malicious Code ("APPLICATION"), which:

- ☒ is to be filed herewith
☐ was filed on _____,
now bearing U.S. application number _____; and

2. The entire worldwide right, title, and interest in and to:
(a) the APPLICATION; (b) all applications claiming priority from the APPLICATION;
(c) all utility, divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) which may be granted on the applications set forth in (a), (b), (c) and (d) above; and (e) all right of priority in the APPLICATION, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Title of Document: **ASSIGNMENT**

Re:

Title: **Refining Behavioral Detections for Early Blocking of
Malicious Code**

Filed: (if applicable)

January 13, 2004

Application No.: (if applicable)

not yet known

Name and Signature**Peter Szor**Date of
SignatureJanuary 9, 2004Name and SignatureDate of
Signature, 2004**William E. Sobel**

20423/08312/DOCS/1400034.1

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☐ was filed on _____,
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SignaturePeter Szor, 2004Name and SignatureDate of
SignatureWilliam E. Sobel11/12, 2004William E. Sobel

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