3H \$40.00 061050 10806689

Substitute Form PTO-1595 Attorney Docket No.: 12852-023001 Client's Ref. No.: 103315-US-00

RECORDATION FORM COVER SHEET PATENTS ONLY

Commissioner for Patents: Please record the attached original document	nt(s) or copy(ies).	
Name of conveying party(ies):	2. Name and address of receiving party(ies):	
Mitsuaki Nishie, Hiromi Nakanishi and Yoshiki Kuhara	Sumitomo Electric Industries, Ltd. 5-33, Kitahama 4-chome Chuo-ku, Osaka-shi	
Additional name(s) attached? ☐ Yes 図 No	Osaka 541-0041	
3. Nature of conveyance:	Japan	
 ⚠ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other; 	Additional names/addresses attached? 디 Yes 열 No	
Execution Date: 06/24/2004 and 06/30/2004	Additional names/addresses attached? Tes El No	
4. Application number(s) or patent number(s):		
If this document is being filed with a new application, the execution date of the application is:		
A, Patent Application No(s).:	B: Patent No(s).:	
10/806,689		
Additional numbers attached? [1 Yes ☑ No		
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1	
SAMUEL BORODACH	7. Total fee (37 CFR §3.41): \$40	
Fish & Richardson P.C. 45 Rockefeller Plaza, Suite 2800	☐ Enclosed ☑ Authorized to charge Deposit Account.	
New York, New York 10111	8. Deposit Account No.: 06-1050	
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.	
DO NOT USE THIS SPACE		
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
Samuel Borodach Reg. No. 38.388 Name of Person Signing Signature	Date July 26, 2004	
Total number of pages including coversheet, attachments and document: 4		
100000000000000000000000000000000000000		

30197376.doc

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

July 26, 2004 Date of Transmission Dholdonot/

Typed Namar Pash Tigning Certificate

REEL: 014895 FRAME: 0622

FISH & RICHARDSON P.C.

Assignment

譲渡

ここにその受領と充足性が確認された善良で価値ある配 慮の故に、文末に署名した者は、

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign(s) over to:

Sumitomo Electric Industries, Ltd.

住所

Having the address:

5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan

(以下「護渡人」と呼ぶ)と、その継承人および譲受人に 対して、

(発明の名称)

弁護士要録器号:

(hereinafter designed as the "ASSIGNEE"), its successors and assigns, the entire right, title, and interest for the United States in the invention, and all applications for patent and any Letters Patent which may granted thereof, including said application, and all United States Letters Patents which may be granted thereof, and all divisions, reissues, continuations, and extensions thereof, the said interesting being the entire ownership of said Letters Patent when granted to be held by said ASSIGNEE, its successors, assigns, or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been enjoyed by assignor(s) if this assignment had not been made, the application being known by the title:

OPTICAL TRANSMISSION AND RECEIVER MODULE

Attorney Docket No.: 12852-023001

for which the undersigned has/have executed an application for patent in the United States of America on the same day herewith. Where this instrument is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No.:10/806,689

Filing Date: 03/23/2004

シリアル-ナンバー:

登録年月日:

4/5

Assignment

- 1. 文末に署名した者は上記の申請、またはその継続出願、一部継続出願、さしかえ出願、分割出願、更新出願、再審査出願、または再発行出願、またはあらゆる修正、抵触審査手続きの延長、又はその他権原を譲受人が確保するために必要なあらゆる文書を署名、執行することに同意する。
- 2. 文末に署名した者は、工業所有権の保護に関する国際条約又は同様な条約のクレームまたは条項に関連して必要となるすべてに文書を署名、執行し、必要な行為を行うことに同意する。
- 3. 文末に署名した者は譲受人に有効な米国特許を取得させるために必要なすべての積極的な行為を実行することに同意する。
- 4. 文末に署名した者は、アメリカ合衆国の特許庁長官 に対して、当該特許申請またはそれに基づくすべての分 割、継続申請から生じるすべての特許状を当該譲受人に 発行するように、請願する。
- 5. 文末に署名した者は、本状の登記に当りアメリカ合衆国特許庁の規則にしたがって必要であり、望ましいような特別情報をこの譲渡書に挿入する権利をフィッシュ・アンド・リチャードソン法律事務所に委任するものとする。

- 1. The undersigned hereby agree(s) to sign and execute any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reexamination or reissue application or in any amendments, extension or interference proceedings, or otherwise secure the title thereto to the ASSIGNEE.
- 2. The undersigned hereby agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property of similar agreements.
- The undersigned hereby agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the ASSIGNEE.
- 4. The undersigned hereby authorize(s) and request(s) the Commissioner of Patents in the United States to issue any and all Letters Patents resulting from the said application or any division or divisions or continuing application thereof to the ASSIGNEE.
- 5. The undersigned hereby grant(s) to the firm of Fish & Richardson P.C. the power to insert on this agreement any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Assignment

以上を確認の上で、下記の者が下記の氏名に並んで記され た日に各自、署名して本書を発効させるものである。		IN WITNESSTHEREBY, executed by the undersigned on the date(s) opposite the undersigned Name(s)		
		June, 30 Zen.4	Mitualia Vinku Typed Name: Mitsuaki NISHIE	
年月日	氏名(楷書):			
年月日	氏名(楷書):		Typed Name: Hiromi NAKANISHI	
		7ung 24,	Yoshiki Kuhara Typed Name: Yoshiki KUHARA	
年月日	氏名(楷書):	Date	Typed Name: Yoshiki KUHARA	
	氏名(楷書):	Date	Typed Name:	
年月日	氏名(楷書):	Date	Typed Name	
年月日	氏名(楷書):	Date	Typed Name	
年月日	氏名(楷書):	Date	Typed Name	
この譲渡書は同じく 低2名の証人の前で署	ここに署名するアメリカ公証人主たは最 名されてもよい。	This assignment met before at least two	nay be signed before a U.S., notary Public or witnesses who also sign here:	
年月日	氏名(楷書):	Date	Typed Name	
年月日	氏名(楷書);	Date	Typed Name	

→ Fish & Richardson P.C.