U.S. Department of Commerce Patent and Trademark Office

# RECORDATION FORM COVER SHEET PATENTS ONLY

PAT	ENTS ONLY
To the Commissioner of Patents and Trademarks:	Attorney Docket 036870-5085-US
Please record the attached original documents or copy thereof.	Attention: Mail Stop Assignment Recordation Services
1. Name of conveying parties: (1) Thomas Uzzell (2) Ethan D. Stolzenberg (3) Ann E. Shinnar (4) Michael A. Zasloff	2. Name and address of receiving party:  Name: Genaera Corporation  Street Address: 5110 Campus Drive, Plymouth Meeting, Pennsylvania 19462
Additional names of conveying parties attached?  Solution    No  No  Noture of conveyance:	Additional names & addresses attached?
E Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other  Execution Dates: (1) March 22, 2004; (2) March 26, 2004; (3) March 18, 2004; and (4) March 26, 2004.	
<ul> <li>4. Application numbers or patent numbers:</li> <li>If this document is being filed together with a new application the Patent Application No. 10/458,278</li> <li>Additional numbers attached: ☐ Yes ☑ No</li> </ul>	ne execution date of the application is:
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name: Robert Smyth  Internal Address: Morgan, Lewis & Bockius LLF Intellectual Property Support Unit	7. Total fee (37 C.F.R 3.41): \$40.00 □ Enclosed 図 Authorized to be charged to deposit account 50-0310
Street Address: 1111 Pennsylvania Avenue, N.W. Washington DC 20004	8. Deposit account number: 50-0310 Attach duplicate of page if paying by deposit account
. Statement and Signature	- Page it paying by deposit account
To the best of my knowledge and belief, the foregoing information original document.  Robert Smyth, Reg. No. 50,801 Name of Person Signing  Signature	mation is true and correct and any attached copy is a true copy of the  July 26, 2004  Date
Total m	umber of pages including cover sheet, attachments and documents; 7

ATTORNEY DOCKET 036870-5085 SOLE/JOINT INVENTION (WORLDWIDE RIGHTS)

### ASSIGNMENT

WHEREAS I/WE, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

# HAGFISH CATHELIN-ASSOCIATED ANTIMICROBIAL PEPTIDES AND GENES

for which I/WE filed an application for a:

United States Patent Application on <u>January 30, 2004</u> (Serial No. <u>10/458,278</u>); and International PCT Application on <u>July 30, 2002</u> (Serial No. <u>PCT/US02/24019</u>); and United States Provisional Patent Application on <u>July 30, 2001</u> (Serial No. <u>60/308,652</u>); and

WHEREAS, Genaera Corporation, a corporation of Delaware, whose post office address is 5110 Campus Drive.

Plymouth Meeting. Pennsylvania 19462 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignce, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection papers shall be borne by the assignee, its successors and assigns.

IN TESTA COLUMNICATION AND A PROPERTY AND A P

i	IN TESTIMONY WHEREOF, I have hereunto set or	ur han	<u>d.</u>
	County of Philadelphia State of Rennsylvania		Thomas Uzzell
ı	State of Pennsylvania		Full Name of First Assignment
Ì	J		Academy Natural Sciences (900 Benjamine) 5+10 Commula Dring Plymouth Weeting PA 19462 Cyarld, Address
I	Subscribed 4	SS.	Address Portland
	Subscribed and swom to before me this 2 day of March, 2004.		The Philadelphia DA
ł	NOTASIAI SEAT Public		First Assignor's Signature 19/03
			27 March 2004
П	Sonia I. Brantley, Notary Public City of Philadelphia, County of Philadelphia My Commission, County of Philadelphia		Date
L	My Communicational and American American My Communication and Communication and Communication American	<b>E</b> Y	es □ No

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WHEREAS, Genaera Corporation, a corporation of <u>Delaware</u>, whose post office address is <u>5110 Campus Drive</u>, <u>Plymouth Meeting</u>, <u>Pennsylvania 19462</u> (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto	set our hand	<u>d</u>
County of		Thomas Uzzell
State of		Full Name of First Assignor
	SS.	5110 Campus Drive, Plymouth Meeting, PA 19462 Address
Subscribed and sworn to before me this day of, 2004.		
		First Assignor's Signature
Notary Public		
		Date
Names of additional inventors attached:	ΞY	es 🗆 No
	- E Y	es 🗆 N0

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(WORLDWIDE RIGHTS)
PAGE 2

	Ethan D. Stolzenberg Full Name of Second Assignor
SS.	5110 Campus Drive. Plymouth Meeting, PA 19462 Address
	Second Assignor's Signature
	Date
	Ann E. Shinnar Full Name of Third Assignor
SS. ENNAN of New Yo	Address 595 WYNDHAM ROAD, TEANER, NT 07660  Third Assignor's Signature
ix County Nov. 30, 20	March 18, 2004  Date
	Michael A. Zasloff
	Full Name of Fourth Assignor
SS.	5110 Campus Drive, Plymouth Meeting, PA 19462 Address
	Fourth Assignor's Signature
	Date .
□ Ye	s <b>E No</b>
	SNAN of New Ye 94174 ix County Nov. 30, 2

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NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignce, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such the testing that the testing the successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto s	et our han	<u>d</u>
County of		<u>Thomas Uzzell</u> Full Name of First Assignor
Subscribed and sworn to before me	SS.	5110 Campus Drive, Plymouth Meeting, PA 19462 Address
this day of, 2004.		First Assignor's Signature
Notary Public		Date
Names of additional inventors attached:	<b>₽</b> Y	es 🗆 No

ATTORNEY DOCKET 036870-5085 SOLE/JOINT INVENTION (WORLDWIDE RIGHTS) PAGE 2

County of	
State of	Ethan D. Stolzenberg Full Name of Second Assignor
Subscribed and sworn to before me this day of, 2004.  Notary Public	SS. Second Assignor's Signature  Date
County of	
State of	Ann E. Shinnar Full Name of Third Assignor
Subscribed and sworn to before me this day of, 2004.	SS. Signature  5110 Campus Drive_Plymouth Meeting_PA 19462  Address  Third Assignor's Signature
	Date
County of <u>DISTRICT</u> of Columbia  State of <u>Clashington</u> DC	Michael A. Zasloff Full Name of Fourth Assignor
Subscribed and swom to before me his 21 day of March, 2004.  Brune Notary Public My Commission Expires April 14, 2006	SS. Address  Fourth Assignor's Signature  3/26/04  Date
April 14, 2006 Names of additional inventors attached:	□ Yes 図 No