

01-23-2004

Docket No. 00-1123-F4

FORM PTO-1595



HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102652865

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian T. Cunningham
Bo Lin
Peter Li
Homer Pien

1-20-04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: SRU Biosystems, LLC

Address: 14-A Gill Street

City: Woburn

State: MA

Country: U.S.A.

Zip: 01801

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 9/30/03; 10/2/03; 10/8/03

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s)

10/399,940

Filing Date

April 23, 2003

B. Patent No(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa M.W. Hillman

Registration No.: 43,673

Company Name: McDonnell, Boehnen, Hulbert & Berghoff

Street Address: 300 South Wacker Drive - 32nd Floor

City: Chicago

State: Illinois

Country: U.S.A.

ZIP: 60606

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2490

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa M.W. Hillman

Printed Name of Person Signing

Signature

January 14, 2004

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

01/22/2004 ECOOPER 00000330 10399940

01 FC:8021

40.00 OP

PATENT
REEL: 014902 FRAME: 0578

ASSIGNMENT

Case No.: 00-1123-F4

Serial No.: 10/399,940

Inventors: Cunningham, et al.

Date of Execution
of Application: 9/30/03; 10/2/03; 10/8/03

Filing Date: April 23, 2003

International Appl. No.: PCT/US01/45455

International Filing Date: Oct. 23, 2001

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

**SRU BIOSYSTEMS, LLC
14-A Gill Street
Woburn, MA 01801**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**A LABEL-FREE HIGH-THROUGHPUT OPTICAL TECHNIQUE FOR DETECTING BIOMOLECULAR
INTERACTIONS**

and identified as:

Case No. 00-1123-F4

in the offices of McDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

- 1 -

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 30th day of Sept, 2003

Brian T. Cunningham
Brian Cunningham

State of MA

County of MIDDLESEX

The foregoing instrument was acknowledged before me this 30 day of
Sept., 2003 by Cathy Lombardi.

Cathy Lombardi
NOTARY PUBLIC

My Commission Expires 3/1/2007

WITNESS my hand and seal this ____ day of _____, _____.

Jane Pepper

State of

County of

The foregoing instrument was acknowledged before me this ____ day of

_____, _____ by _____.

NOTARY PUBLIC

- 3 -

WITNESS my hand and seal this 2nd day of OCTOBER, 2003



Bo Lin

State of MA

County of MIDDLESEX

The foregoing instrument was acknowledged before me this 2nd day of


OCTOBER, 2003 by _____


NOTARY PUBLIC

_____, State of Massachusetts
County of Middlesex

Subscribed and sworn to before me

this 2nd day of OCTOBER, 2003


Notary Public
My Commission Expires 12-25-2003

WITNESS my hand and seal this 2nd day of OCTOBER, 2003.

Peter Yuzhong Li
Peter Li

State of MA

County of MIDDLESEX

The foregoing instrument was acknowledged before me this 2nd day of

OCTOBER, 2003 by _____

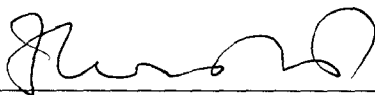
Christina Meyure
NOTARY PUBLIC

Commonwealth of Massachusetts
County of Middlesex

Subscribed and sworn to before me
this 2nd day of OCTOBER

Christina Meyure
Notary Public
My Commission Expires 12-25-2003

WITNESS my hand and seal this 8th day of Oct, 2003



Homer Pien

State of MA.

County of Suffolk

The foregoing instrument was acknowledged before me this 8th day of
October, 2003 by Linda A. Gulla

LINDA A GULLA

NOTARY PUBLIC