

01-26-2004



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FORM PTO-1595  
(Rev. 10/02)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Docket No.: 50229-377****PATENTS ONLY**

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):

David Hildebrand and Tomoko Hatanaka

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: December 23, 2003 and January 5, 2004

2. Name and address of receiving party(ies):

Name: University of Kentucky Research Foundation

Address: A144, ASTeCC Building

Lexington, KY 40506

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

10/622,774

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL &amp; EMERY

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: D. C. Zip: 20005-3096

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Kelli N. Watson, Reg. No. 47,170

January 20, 2004

Name and Registration No. of Person Signing

Signature

Date

Total number of pages including cover sheet:

7

OMB No. 0651-0027 (exp. 6/30/2005)

Docket No.: 50229-377

### ASSIGNMENT

WHEREAS WE, David HILDEBRAND of, Tomoko HATANAKA of 3-2-1042 Kamitakamaru, Tarumi, Kobe, Hyogo 655-0017, JAPAN, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled RECOMBINANT STOKESIA EPOXYGENASE GENE, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR  
for which an application for United States Letters Patent was filed on July 21, 2003, and identified by United States Serial No. 10/622,774;

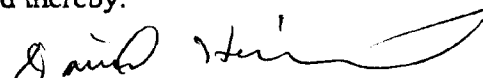
AND WHEREAS, University of Kentucky Research Foundation, a corporation of the Commonwealth of Kentucky and having an address of A144, ASTeCC Building, Lexington, KY 40506 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto University of Kentucky Research Foundation, its legal representatives, successors, and assigns; the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said University of Kentucky Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

12/23/03  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
David Hildebrand

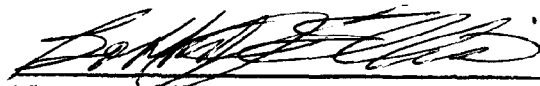
\_\_\_\_\_  
Date

\_\_\_\_\_  
Tomoko Hatanaka

STATE OF Kentucky )  
COUNTY OF Payette ) SS:

On this 23 day of December, 2003 before me personally appeared David Hildebrand, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

  
\_\_\_\_\_  
Notary Public

My commission expires 6/29/07

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) SS:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally appeared Tomoko Hatanaka, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

\_\_\_\_\_  
Notary Public  
My commission expires \_\_\_\_\_

Docket No.: 50229-377

### ASSIGNMENT

WHEREAS WE, David HILDEBRAND of, Tomoko HATANAKA of 7-4-8-307 Kumochi, Chūō-Ku Kobe, Hyogo 651-0056, JAPAN, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled RECOMBINANT STOKESIA EPOXYGENASE GENE, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on July 21, 2003, and identified by United States Serial No. 10/622,774;

AND WHEREAS, University of Kentucky Research Foundation, a corporation of the Commonwealth of Kentucky and having an address of A144, ASTeCC Building, Lexington, KY 40506 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto University of Kentucky Research Foundation, its legal representatives, successors, and assigns; the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner for Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said University of Kentucky Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date

Jan. 5, 2004

Date

David Hildebrand

*David Hildebrand*

Tomoko Hatanaka

STATE OF \_\_\_\_\_ )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally appeared David Hildebrand, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

STATE OF \_\_\_\_\_ )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally appeared Tomoko Hatanaka, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_

登簿平成 16 年第 1 号

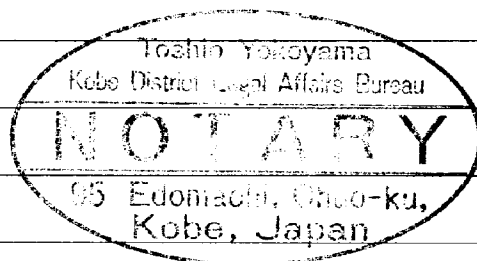
認 証

嘱 託 人

畠 中 知 子

は、

本職の面前でこの書面に 署 名 した。



よって認証する。

平成 16 年 1 月 5 日

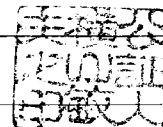
本職役場に於て

神戸市中央区江戸町 9 5 番地

神戸地方法務局所属

公 証 人

横 山 敏 夫



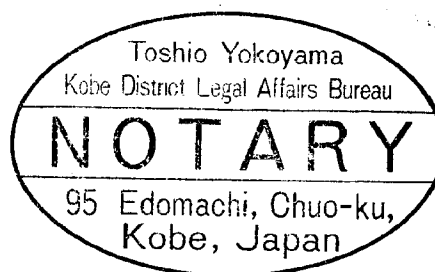
公 証 人 役 場

Registered Number **1**

Date JAN. 5. 2004

NOTARIAL CERTIFICATE

This is to certify that Tomoko Hatanaka  
has affixed her signature in my very presence  
to the attached document.



Toshio Yokoyama

TOSHIO YOKOYAMA

Notary  
95 Edomachi, Chuo-Ku,  
Kobe, Japan  
Kobe District Legal Affairs Bureau

(面前人女性)