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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

102656514

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Constantine P. GRIGOROPOULOS Nicole Reneé BIERI Dimos POULIKAKOS Jaewon CHUNG

1-26-04

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Address: 1111 Franklin Street 12th Floor Oakland, CA 94607-5200

and

Name: Eidgenössische Technische Hochschule Zürich

Address: Rämistrasse 1021 CH-8092 Zürich, Switzerland

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger Security Agreement Change of Name Other

December 19, 2003; December 17, 2003; December 17, 2003; and December 17, 2003,

Execution Date: respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/621,046

B. Patent No.(s) _____

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter L. Michaelson

Internal Address: _____

Street Address: Michaelson & Associates formerly Michaelson & Wallace Parkway 109 Office Center, 328 Newman Springs Road, P.O. Box 8489

City: Red Bank State: NJ Zip: 07701-8489

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

13-3083

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9. Signature.

Peter L. MICHAELSON

Name of Person Signing

Peter L. Michaelson

Signature

January 22, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 8

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Mail documents to be recorded with required cover sheet information to: Mail Stop Assignment Recordation Services, Director of the United States Patents & Trademark Office P.O. Box 1450, Alexandria, VA 22313-1450

(u6arcs/ksb/9)

ASSIGNMENT

U.C. Case No. B01-108

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Constantine P. GRIGOROPOULOS
2. Jaewon CHUNG

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in UCB Case No. B01-108 entitled:

METHOD FOR PRODUCING A STRUCTURE USING NANOPARTICLES

or which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) XX U.S. application serial no. 10/621,046, filed on July 16, 2003
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

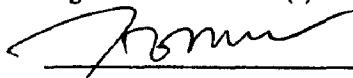
AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

17 day of Dec, 2003

_____ day of _____, 20_____

Signature of Inventor(s)



(u6ass-ucb/ksb/8)

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Nicole Renée BIERI
- 2. Dimos POULIKAKOS

hereby sells, assigns and transfers to ASSIGNEE, Eidgenössische Technische Hochschule Zürich, a Switzerland corporation, having its place of business at Rämistrasse 1021, CH-8092 Zürich, Switzerland, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in ETHA case No. 08777US entitled:

METHOD FOR PRODUCING A STRUCTURE USING NANOPARTICLES

or which is found in

- (a) ___ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) ___ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) XX U.S. application serial no. 10/621,046, filed on July 16, 2003
- (d) ___ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;


ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

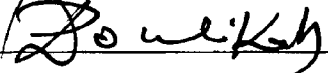
AND the ASSIGNOR(S) requests the Commission of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, Eidgenössische Technische Hochschule Zurich.

executed this

Signature of Inventor(s)

17 day of Dec, 2003
17 day of Dec., 2003





(uc6ass-ethz/ksb/8)