

01-29-2004

FORM PTO-1595
(Rev.10-02)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

102656386

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Herman Waldmann
Stephen Cobbold

Additional name(s) of conveying party(ies) attached:

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Isis Innovation, Ltd.

Internal Address:

Street Address: Ewert House, Ewert Place

City: Summertown

State: Oxford

Zip: OX2 7DD

Country: United Kingdom

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security/Agreement ☐ Change of Name
☐ Other

Execution Date: 1/6/03

Additional name(s) & address(es) attached: ☒ Yes ☒ No

4. Application number(s) or patent number(s): 10/717,452, British App. No. 0114517.6 and 0122724.8

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Raymond J. Lillie

Internal Address: Carella, Byrne, Bain, Gilfillan, Cecchi,
Stewart & Olstein

Street Address: 5 Becker Farm Road

City: Roseland State: New Jersey Zip: 07068

6. Total number of applications and patents involved: 37. Total fee (37 CFR 3.41)....\$40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 03-0678

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond J. Lillie

Name of Person Signing

Raymond J. Lillie

Signature

January 15, 2004

Date

Total number of pages including cover sheet, attachments, and document: 3

#207121 v1

Mail documents to be recorded with required cover sheet information to:
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

01/28/2004 JTALLANE 00000009 10717452

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PATENT
REEL: 014916 FRAME: 0160

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned Herman Waldmann and Stephen Cobbold assigns and transfers to Isis Innovation, Ltd. having a place of business at Ewert House, Ewert Place, Summertown, Oxford OX2 7DD, United Kingdom; and to Cambridge University Technical Services Limited, ("collectively Assignee"), having a place of business at 16 Mill Lane, Cambridge, Cambridgeshire CB2 1SB United Kingdom, and its successors, assigns and legal representatives, all of his entire right, title and interest for the United States and all other countries, in and to the portion of the improvement(s) known as: United States Application Serial No. 10/171,452, filed June 13, 2002 entitled "TRX1 Antibody and Uses Therefor" that is disclosed in British Priority Application 0114517.6 filed June 14, 2001 and the portion that is disclosed in British Priority Application 0122724.8 filed September 20, 2001.

and in and to said portion of the application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all other countries, including CANADA, on any of said improvements, and in and to all original, re-examined and reissued patents and extensions thereof which have been or shall be issued in the United States and all other countries on said improvements (hereinafter collectively, the "Improvements").

The undersigned further agrees that said Assignee may apply for and receive Letters Patent for said Improvements in its own name; and, when requested, without charge to but at the expense of Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on all such Improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives all facts known to the undersigned relating to said Improvements and the history thereof; and do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said Improvements and for vesting title to said Improvements and all applications for patent and all patents on said improvements in Assignee, its successors, assigns and representatives.

The undersigned hereby represents and warrants to Assignee, its successors, assigns and representatives that no assignment, grant, mortgage, license or other right or agreement affecting the rights and property herein conveyed has been made to others by the undersigned and that full right to convey the same as expressed herein is possessed by the undersigned.

The undersigned hereby grants power to John N. Bain (Reg. No. 18,651); John G. Gilfillan, III (Reg. No. 22,746); Elliot M. Olstein (Reg. No. 24,025); Raymond J. Lillie (Reg. No. 31,778); William Squire (Reg. No. 25,378); Alan J. Grant (Reg. No. 33,389); Francis C. Hand (Reg. No. 22,280); G. Glennon Troublefield (Reg. No. 39050); and Raymond E. Stauffer (Reg. No. 47,109) to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document. Address correspondence and telephone calls to Raymond J. Lillie, c/o Carella, Byrne, Bain, Gilfillan, Cecchi, Stewart & Olstein, 6 Becker Farm Road, Roseland, New Jersey 07068.

IN WITNESS WHEREOF, this Assignment is executed by the undersigned on the date(s) opposite their signature(s):

Inventor's Signature: Herman Waldmann Date: 6/1/2003
Herman Waldmann

STATE OF Oxford, UK, COUNTY OF UK: SS.:

Before me this ____ day of ____, 2002, personally appeared the above named individual(s), to me known to be the person(s) who are described in and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

Notary Public

Inventor's Signature: Stephen Cobbold Date: 6/1/2003
Stephen Cobbold

STATE OF Oxford UK, COUNTY OF UK: SS.:

Before me this ____ day of ____, 2002, personally appeared the above named individual(s), to me known to be the person(s) who are described in and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

Notary Public

CARELLA, BYRNE, BAIN, GILFILLAN, CECCHI, STEWART & OLSTEIN
6 Becker Farm Road - Roseland, NJ 07068 - (973) 994-1700

Docket No. 695458.59

RECORDED: 01/21/2004

PATENT
REEL: 014916 FRAME: 0161