

01-29-2004

Substitute Form PTO-1595  
Attorney Docket No.: 14414-018001

SHEET

102656823

22390 U.S. PTO  
10761902

012104

Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

Raluca Dinu and Jeffrey K. Kressbach

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Lumera Corporation  
19910 North Creek Pkwy  
P.O. Box 3006  
Bothell, WA 98011-3008

3. Nature of conveyance:

- ☒
- Assignment
- 
- ☐
- Merger
- 
- ☐
- Security Agreement
- 
- ☐
- Change of Name
- 
- ☐
- Other:

Execution Date: 1/12/2004 and 1/14/2004

Additional names/addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 1/12/2004 and 1/13/2004

A. Patent Application No(s):

B: Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

DOROTHY P. WHELAN  
Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒
- Enclosed
- 
- ☐
- Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our  
Deposit Account No. 06-1050.

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9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Dorothy P. Whelan

Reg. No. 33,814

Name of Person Signing

Signature

Date

Total number of pages including coversheet, attachments and document: 4

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## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV414278400US

Date of Deposit: January 21, 2004

PATENT  
REEL: 014917 FRAME: 0648

ASSIGNMENT

For valuable consideration, we, RALUCA DINU of 11302 182nd Place NE, Redmond, Washington 98052, JEFFREY K. KRESSBACH of 3024 132nd Avenue, SE, P.O. Box 743, Snohomish, Washington 98290, hereby assign to: Lumera Corporation, a corporation of Washington having a place of business at:

19910 North Creek Pkwy  
P.O. Box 3006  
Bothell, WA 98011-3008; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled PROCESS OF FABRICATING POLYMER SUSTAINED MICROELECTRODES, filed herewith, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

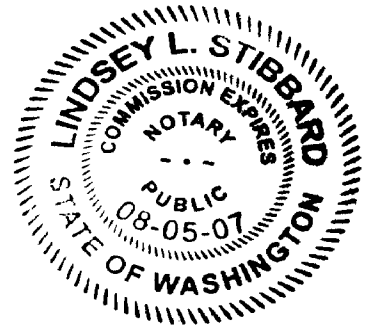
IN WITNESS WHEREOF, I hereto set my hand and seal at BOTHELL,  
this 12 day of January, 2004  
Raluca Dinu L.S.  
RALUCA DINU

State of Washington :  
: ss.  
County of King :

Before me this 12<sup>th</sup> day of January, 2004, personally  
appeared RALUCA DINU known to me to be the person whose name is subscribed to the  
foregoing Assignment and acknowledged that he executed the same as his free act and deed for  
the purposes therein contained.

Lindsey L. Stibbard  
Notary Public  
My Commission Expires: 8/5/2007

[Notary's Seal Here]



IN WITNESS WHEREOF, I hereto set my hand and seal at BOTHELL,  
 this 14<sup>th</sup> day of JANUARY, 2004  
Jeffrey K. Kressbach L.S.  
 JEFFREY K. KRESSBACH

State of Washington:  
 : ss.  
 County of King :

Before me this 14<sup>th</sup> day of January, 2004, personally  
 appeared JEFFREY K. KRESSBACH known to me to be the person whose name is subscribed  
 to the foregoing Assignment and acknowledged that he executed the same as his free act and  
 deed for the purposes therein contained.

Lindsey L. Stibbard  
 Notary Public  
 My Commission Expires: 8/5/2007

[Notary's Seal Here]

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