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Tab settings

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark OfficeU.S. PTO  
10/762083

012004

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Richard R. Tracy  
James D. Chase

## 2. Name and address of receiving party(ies)

Name: Aerion CorporationInternal Address: Suite 370Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Street Address: 1325 Airmotive WayCity: Reno State: Nevada Zip: 89502Execution Date: Jan. 16, 2004Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

107762085If this document is being filed together with a new application, the execution date of the application is: 1-16-2004

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William W. HaefligerInternal Address: Suite 512Street Address: 201 So. Lake Ave.City: Pasadena State: CA Zip: 911016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*William W. Haefliger

Name of Person Signing

Signature

1-20-04

Date

Total number of pages including cover sheet, attachments, and documents: 1

7/2004 WASFAW1 00000052 10762083

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

40.00 DP

0:8021

PATENT  
REEL: 014919 FRAME: 0116

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

12,358

Whereas, ~~I/We~~, Richard R. Tracy of Carson City, Nevada, hereafter referred to as applicant, have invented certain new and useful improvements in LIFT AND TWIST CONTROL USING TRAILING EDGE CONTROL SURFACES ON SUPERSONIC LAMINAR FLOW WINGS

☐ for which an application for a United States Patent was filed on \_\_\_\_\_  
Application Number \_\_\_\_\_/\_\_\_\_\_.

☒ for which an application for a United States Patent was executed on Jan. 16, 2004, and

Whereas, Aerion Corporation of Reno, Nevada herein referred to "assignee" whose mailing address is 1325 Airmotive Way, Suite 370, Reno, Nevada is 89502 desirous of acquiring the entire right, title and interest in the same;

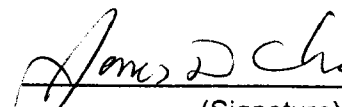
Now, therefore, in consideration of the sum of ten dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States\* and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States;\* I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 16<sup>th</sup> day of January, 2004  
at Reno NV

  
(Signature)

Richard R. Tracy

Executed this 16<sup>th</sup> day of January, 2004  
at Reno Nevada

  
(Signature)

\*and throughout the world,

\* ☐ Total of \_\_\_\_\_ forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.