

01-23-2004

Case Name: SP03-009

1-14-04
To the Honorable Commissioner

PA'



102652498

ET

Please record the attached documents or copy thereof.

(1) Conveying Party(ies): QIAO LI
WILLIAM R ROSCH
PAUL M SCHERMERHORN

Title: CRYSTAL GROWTH METHODS

Additional name(s) of conveying party(ies) attached? Yes No

22141 U.S.PTO
10757751
011404

(2) Receiving Party: Corning Incorporated
Patent Dept.; SP-TI-03-1
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: 1/7/04, 1/7/04, & 1/6/04

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

10757751

A. Patent Application No.(s):
Filing date:

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on 1/7/04, 1/7/04, & 1/6/04 .

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Walter M. Douglas
Reg. No.34,510
Corning Incorporated
Patent Department
SP-TI-03-1
Corning, NY 14831
Phone No.: 607-974-2431

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- Enclosed (Check No.)
- Included with filing fee. Check No. _____.
- Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter M. Douglas
Walter M. Douglas

14 January 2004
Date

Total number of pages comprising cover sheet: 1

01/22/2004 ECDOPER 00000278 033325 10757751

01 FC:8021 40.00 DA

Revision: August 28, 2002

PATENT
REEL: 014919 FRAME: 0792

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Crystal Growth Methods**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE January 7, 2004
DATE January 7, 2004
DATE January 6, 2004

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY or North Brookfield, MA, this

Date Qiao Li

Date William R. Rosch
Paul M. Schermerhorn

Date Paul M. Schermerhorn

State of Massachusetts)
: ss.
County of _____)

On the ____ day of _____ 2003, before me personally came **Qiao Li** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

State of Massachusetts)
: ss.
County of _____)

On the ____ day of _____ 2003, before me personally came **William R. Rosch** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

State of New York)
: ss.
County of Steuben)

On the 1st day of January 2003, before me personally came **Paul M. Schermerhorn** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michele M. O'Dell

Notary Public

MICHELE M. O'DELL
Notary Public, State of New York
Schuyler County, NY # 9712010
Term Expires 5/31/06

Signed at Corning, NY or North Brookfield, MA, this

Jan. 7, 2004

Date

1/7/2004

Date

Date

Qiao Li
Qiao Li

William R Rosch
William R. Rosch

Paul M. Schermerhorn

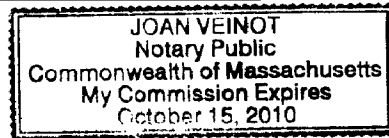
State of Massachusetts)

: ss.

County of Worcester

On the 7th day of January 2004, before me personally came **Qiao Li** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Joan Veinot
Notary Public



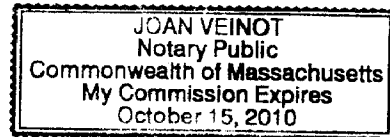
State of Massachusetts)

: ss.

County of Worcester

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Joan Veinot
Notary Public



State of New York)

: ss.

County of Steuben)

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I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

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Signed at Corning, NY or North Brookfield, MA, this

Date Qiao Li

Date William R. Rosch
Paul M. Schermerhorn

Date Paul M. Schermerhorn

State of Massachusetts)
: ss.
County of _____)

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Notary Public

State of Massachusetts)
: ss.
County of _____)

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Notary Public

State of New York)
: ss.
County of Steuben)

On the 1st day of January 2003⁴, before me personally came **Paul M. Schermerhorn** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michele M. DiDell

Notary Public

MICHELE M. DIDELL
Notary Public, State of New York
Schenectady County No. 67, 12010
Term Expires 5/31/06

Signed at Corning, NY or North Brookfield, MA, this

Jan. 7, 2004

Date

Qiao Li
Qiao Li

1/7/2004

Date

William R Rosch
William R. Rosch

Date

Paul M. Schermerhorn

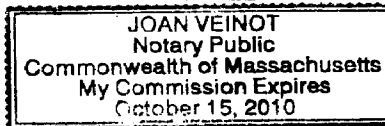
State of Massachusetts)

: ss.

County of Worcester

On the 7th day of January 200⁴, before me personally came **Qiao Li** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Joan Veinot
Notary Public



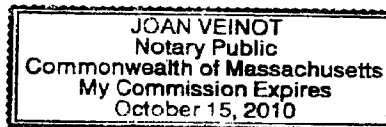
State of Massachusetts)

: ss.

County of Worcester

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Joan Veinot
Notary Public



State of New York)

: ss.

County of Steuben)

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Notary Public