

02-03-2004

VER SHEET

U.S. DEPT. OF COMMERCE
Patent and Trademark

Office



NLY

CS03-054

102660175

To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

JIN PING LIU, DONG KYUN SOHN, LIANG CHOO
HSIAAdditional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ other _____Execution Date: 12/29/03

2. Name and address of receiving party(ies):

Name: Chartered Semiconductor Manufacturing Ltd.

Internal Address:

Street Address:

60 Woodlands Industrial Park D
Street 2City: Singapore Zip: 738406Country: Singapore17548 U.S. PTO
10763305
012304Additional name & address attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 12/29/03 & 1/5/04

A. Patent Application No(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No 10763305

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 28 DAVIS AVENUECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved:: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit acct.8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

STEPHEN B. ACKERMAN

Name of Person Signing

Signature

1/23/04

Date

02/08/2004 DBYRME 00000011 190033 10763305

01 FC:0021

40.00 DA

CS03-054

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

JIN PING LIU
Type or print name of ASSIGNOR

Jin
Address

99 Cashew Road, #07-04, Singapore

Chinese
Nationality

DONG KYUN SOHN
Type or print name of ASSIGNOR

991, Bukit Timah, 08-07 Singapore Singapore
Address

Maple Woods

Korean
Nationality

LIANG CHOO HSIA
Type or print name of ASSIGNOR

Liang Hsia
Address

47 Woodgrove, Singapore Singapore

USA
Nationality

do hereby sell, assign and transfer to

ASSIGNEE:

Chartered Semiconductor Manufacturing Ltd.

60 Woodlands Industrial Park D

Street 2

Singapore 738406

Singapore

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

Method Of Forming A Relaxed Semiconductor Buffer Layer On A Substrate With A Large Lattice

PATENT

REEL: 014931 FRAME: 0446

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☒ U.S. patent application executed on 12/29/03, 1/5/04
(c) ☐ U.S. application serial no. _____ filed on _____
(d) ☐ U.S. patent no. _____ issued _____
(e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority
(also check (e) if foreign application(s) is also being assigned)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

Dec. 29, 2003 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

[Signature]
Signature of ASSIGNOR(S)
[Signature]
[Signature]

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

___Notarization of Legalization Page Added.