

02-03-2004



| Form PTO-1595 (Rev. 10/02) | U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office |
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| OMB No. 0651-0027 (exp. 6/30/2005) 1026593 | |
| Tab settings | Please record the attached original documents or copy thereof. |
| Name of conveying party(ies): Jeffrey D. Muhs John K. Jordan Dennis D. Earl Randall F. Lind David L. Beshears Lonnie D. Maxey Additional name(s) of conveying party(ies) attached? ✓ No | 2. Name and address of receiving party(ies) Name: UT-Battelle, LLC Internal Address: P.O. Box 2008 M.S. 6498 |
| 3. Nature of conveyance: ✓ Assignment | Street Address: 111B Union Valley Road |
| 01/14/2004 Execution Date: | City: Oak Ridge State: TN Zip: 37831 Additional name(s) & address(es) attached? Yes V No |
| If this document is being filed together with a new appl A. Patent Application No.(s) 10/633,027 Additional numbers at | B. Patent No.(s)tached? Yes No |
| Name and address of party to whom correspondence concerning document should be mailed: Name: Kirk A. Wilson | 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)\$ |
| Internal Address: P.O. Box 2008 M.S. 6498 | ☐ Enclosed✓ Authorized to be charged to deposit account |
| Street Address: 111B Union Valley Road | 8. Deposit account number: 13-1958 |
| City: Oak Ridge State: TN Zip: 37831 | |
| DO NOT USE THIS SPACE | |
| 9. Signature. Kirk A. Wilson Name of Person Signing | ikaWilla 1/20/04 |

Total number of pages including cover sheet, attachments, and documents: Mail documents to be recorded with required cover sheet information to: 3027 Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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PATENT

REEL: 014932 FRAME: 0533

ASSIGNMENT

WHEREAS, we the below-named inventors whose residence and post office are as stated below, have invented certain new and useful improvements in

Hybrid Solar Lighting Systems and Components

(hereinafter referred to as "Subject Invention") for which an application for United States Letters Patent was executed by us on even date herewith, and

WHEREAS, UT-BATTELLE, LLC, a limited liability company of the State of Tennessee, having an office at 111-B Union Valley Road, P. O. Box 2008, Oak Ridge, Tennessee 37831-6498, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest of the undersigned in the Subject Invention and to any Letters Patent that may be granted therefor in the United States of America and in any and all foreign countries.

NOW, THEREFORE, in accordance with the terms of our employment agreement with ASSIGNEE and for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby assign to ASSIGNEE our entire right, title and interest in the Subject Invention to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the Subject Invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefor on the Subject Invention.

We hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, we hereby agree to communicate to said ASSIGNEE or its representatives any facts known to us respecting said Subject Invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said Subject Invention in the United States of America and in any and all foreign countries.

We further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on us, our heirs, legal representatives, and assigns.

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PATENT REEL: 014932 FRAME: 0534

| Full name of inventor: | Jeffrey D. Muhs |
|---|--|
| Signature: | (1). ML Date: 1/09/04 |
| Residence: | Lenoir City, Tennessee |
| Post Office Address: | 4023 Hwy 70E, Lenoir City, Tennessee 37771 |
| and State aforesaid, appearsubscribed to the foregoing voluntary act and for the understand the state of the | ay of, 20_64, before me, a Notary Public in and for the County ared Jeffrey D. Mahs to me personally known to be the same person whose name is an instrument, and acknowledged that he executed said instrument as his free and sees and purposes therein expressed. and and seal the day and year last above given. |
| SEAL My Commission Expires: MY COMMISSION EXPIREMARCH 30, 2005 | Notary Public NOTARY PUBLIC AT COUNTY INTERNATION COUNTY INTERNATION COUNTY INTERNATION NOTARY PUBLIC AT COUNTY INTERNATION COUNTY COUNTY COUNTY COUNTY COUNTY COUNTY COUNTY COU |

Full name of inventor: Dennis D. Earl

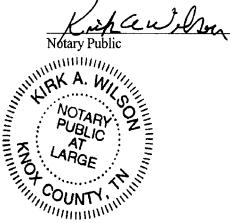
Signature: Date: Date

WITNESS my hand and seal the day and year last above given.

SEAL

My Commission Expires:

MY COMMISSION EXPIRES MARCH 30, 2005



| Full name of inventor: | David L. Beshears |
|------------------------|--|
| Signature: Warrs | Besteure Date: 1/09/04 |
| Residence: | Knoxville, Tennessee |
| Post Office Address: | 723 Sunnydale Road, Knoxville, Tennessee 37923 |

State of Tennessee)
County of Koone

On this 4th day of 2004, before me, a Notary Public in and for the County and State aforesaid, appeared 2004 L. Beshears to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

SEAL
My Commission Expires:

MY COMMISSION EXPIRES

MARCH 30, 2005

Full name of inventor:

Lonnie C. Maxey

Signature:

Jonnie C. Mayry

Date: Jonemy 14, 2004

Residence:

Powell, Tennessee

Post Office Address:

7907 Camberley Drive, Powell, Tennessee 37849

State of Tennessee

County of ROANE

a Wilson

WITNESS my hand and seal the day and year last above given.

Notary Publ

SEAL

My Commission Expires:

MY COMMISSION EXPIRES MARCH 30, 2005

NOTARY PUBLIC AT LARGE

| Full name of inventor: | John K. Jordan | |
|--|--|--|
| Signature: | Date: 1/14/04 | |
| Residence: | Oak Ridge, Tennessee | |
| Post Office Address: | 103 Elliott Circle, Oak Ridge, Tennessee 37830 | |
| | | |
| State of Tennessee County of KNON |) | |
| On this // day of, 20 04, before me, a Notary Public in and for the County and State aforesaid, appeared ohn K. Jordan to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed. | | |

WITNESS my hand and seal the day and year last above given.

SEAL

My Commission Expires:

MY COMMISSION EXPIRES MARCH 30, 2005 NOTARY PUBLIC AT LARGE

| Full name of inventor: | Randall F. Lind |
|------------------------|---|
| Signature: | MIT Date: 01/14/2004 |
| Residence: | Lenoir City, Tennessee |
| Post Office Address: | 14297 Virtue Road, Lenoir City, Tennessee 37772 |
| State of Tennessee |) |

On this day of _______, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared <u>kandall F. dind</u> to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Notary Public

SEAL
My Commission Expires:

County of

MY COMMISSION EXPIRES MARCH 30, 2005

RECORDED: 01/22/2004

NOTARY 2

NOTARY 2

LARGE

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