

Attorney Docket: DEN572H

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2006) Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼	RECORDATION FORM COVER SHEET PATENTS ONLY	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies): <u>Carl-Zeiss-Stiftung</u> Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies) Name: <u>Carl Zeiss SMT AG</u> Internal Address: _____ _____ Street Address: <u>Carl-Zeiss-Strasse</u> _____ City: <u>Oberkochen</u> State: _____ Zip: <u>73447</u> Country: <u>Germany</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No	
3. Nature of conveyance: ☒ Confirmatory Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other _____	4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ _____ B. Patent No.(s) _____ <u>See Attached List of 12 Patents</u> Additional numbers attached? ☒ Yes ☐ No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Thomas S. Baker, Jr.</u> Internal Address: <u>Attorney at Law, LLC</u> _____ Street Address: <u>1371 West 3rd Avenue</u> _____ City: <u>Columbus</u> State: <u>OH</u> Zip: <u>43212</u>	6. Total number of applications and patents involved: <u>12</u> 7. Total fee (37 CFR 3.41).....\$ <u>480.00</u> ☐ Enclosed ☒ Authorized to be charged to deposit account 8. Deposit account number: <u>020413</u>	
DO NOT USE THIS SPACE		
9. Signature, <u>Thomas S. Baker, Jr.</u> Name of Person Signing  Signature <u>03 AG04</u> Date Total number of pages including cover sheet, attachments, and documents: ☐		

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

Ref.	Filing Date	Grant No.	Grant Date	Assignor	Country
84027P US CON	Apr. 17, 1987	4812652	Mar. 14, 1989	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
85038X P US	Sep. 12, 1986	4740704	Apr. 26, 1988	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
85039X P US	Sep. 12, 1986	4760261	Jul. 26, 1988	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
88029P US	Jul. 24, 1989	5013913	May 7, 1991	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
88057X P US	Dec. 11, 1989	4962313	Oct. 9, 1990	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
90022P US	May 22, 1991	5134339	Jul. 28, 1992	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
90049P US	Dec. 23, 1991	5177361	Jan. 5, 1993	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
91037X P US	Sep. 4, 1992	5319207	Jun. 7, 1994	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
92052P US	Dec. 9, 1993	5483073	Jan. 9, 1993	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
93018P US	Mar. 25, 1994	5449914	Sep. 12, 1995	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
93039P US	Aug. 26, 1994	5519216	May 21, 1996	Carl-Zeiss-Stiftung, Heidenheim/Brenz, Fed. Rep. Germany, doing business as Carl Zeiss	US
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CONFIRMATORY ASSIGNMENT in the USA

The Effective Date of this Assignment is October 1, 2001.

WHEREAS, CARL-ZEISS-STIFTUNG, doing business as Carl Zeiss, a foundation organized and existing under the laws of Germany, having its principal office in Heidenheim/Brenz, Germany (hereinafter "ASSIGNOR"), by virtue of an assignment from the inventors, was the owner of the entire right, title, and interest in and to certain new and useful inventions and improvements which are the subject of an application for United States Patent No. (see attached list) (the "PATENTS");

WHEREAS, CARL ZEISS SMT AG, a corporation organized and existing under the laws of Germany and having its principal office at Carl-Zeiss-Strasse, 73447 Oberkochen, Germany, formerly CARL ZEISS SEMICONDUCTOR MANUFACTURING TECHNOLOGIES AG (hereinafter "ASSIGNEE"), was desirous of acquiring the entire right, title and interest in and to the PATENTS, including any and all Letters Patent of the United States that may be obtained therefor, and any and all foreign patents claiming priority to said PATENTS;

NOW, THEREFORE, be it known that, pursuant to the terms of a contract entered into between ASSIGNOR and ASSIGNEE and notarized January 15, 2002, for good and valuable consideration recited therein and paid by ASSIGNEE to ASSIGNOR, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does hereby confirm that, as of the Effective date, ASSIGNOR did sell, assign and transfer unto ASSIGNEE, its legal representatives, successors and assigns, its entire right, title and interest in and to the PATENTS, the same interest to be held by ASSIGNEE, its legal representatives, successors and assigns, to the full end of the term for which Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

AND, ASSIGNOR hereby confirms its agreement that the change in ownership shall be recorded in the official records of the United States Patent and Trademark Office.

The undersigned is authorized to act on behalf of ASSIGNOR.

CARL-ZEISS-STIFTUNG trading as Carl Zeiss

Schultz

By: i.V. Schultz
Title: authorized clerk

June 30, 2004

Date

Müller-Rißmann

By: i.V. Müller-Rißmann
Title: authorized clerk

June 30, 2004

Date

Witness Name: Fleischer

Witness Signature: L. Fleischer

Witness Name: Motzke

Witness Signature: Motzke

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Attorney Docket No. DEN572H

In re:

Serial No. see attached list

Filing Date: ---

U.S Patent No. ---

Issue Date : ---

APPOINTMENT OF DOMESTIC REPRESENTATIVE

Thomas S. Baker, Jr., Attorney-At-Law, Registration No 25,662,
whose postal address is 1371 West 3rd Avenue, Columbus, Ohio 43212, U.S.A.,
Phone: 614/488-2202; Facsimile: 614/488-2232,

is hereby designated Applicant's domestic representative upon whom notice of process in
proceedings affecting this assignment may be served and to receive the recorded
Assignment document.

Signature of the **assignee**:

Carl Zeiss SMT AG

By: A. Zeiler J. Schultz

Name: i.V. Dr. A. Zeiler

i.V. J. Schultz

Title: authorized clerk

authorized clerk

Date of signing: June 30, 2004

Place of signing: Oberkochen