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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **1) Peter BRANDT 2) Kathrin LEHMANN 3) Sascha OESTREICH 4) Cornelia SCHRÖTER and 5) Stefan SILBER**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other_____

Execution Dates: **December 15, 2003, December 11, 2003, December 11, 2003, December 11, 2003 and January 5, 2004**

2. Name and address of receiving party(ies):

Name: **Goldschmidt AG**

Internal Address: **Goldschmidtstraße 100
D-45127
Essen, Germany**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

17497 U.S. PTO
10/763031

012204

Application number(s) or patent number(s):

10763031

If this document is being filed together with a new application, the execution dates of the application are: **December 15, 2003, December 11, 2003, December 11, 2003, December 11, 2003 and January 5, 2004**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **William F. Lawrence**

Internal Address: **FROMMER LAWRENCE & HAUG LLP**

745 Fifth Avenue

New York, New York 10151

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41)\$ **40.00**

☒ Enclosed

☒ **Authorized to charge deposit account if any additional fees are deemed necessary.**

8. Deposit account number: **50-0320**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William F. Lawrence

January 22, 2004

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **3**

Mail documents to be recorded with required cover sheet information to:

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ASSIGNMENT

I,

1. Petra BRANDT, Munscheidstraße 45, D-45307 Essen, Germany
2. Kathrin LEHMANN, Am Lindenberg 33, D-51377 Leverkusen, Germany
3. Sascha OESTREICH, Schultenweg 86, D-45279 Essen, Germany
4. Cornelia SCHRÖTER, Friedrich-Ebert-Straße 283, D-47800 Krefeld, Germany
5. Stefan SILBER, Meisenweg 37, D-47804 Krefeld, Germany

has made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America **USE OF SILICONE RESINS AS DISPERSANTS** which application was executed by me and Goldschmidt AG, whose address is Goldschmidtstraße 100, D-45127 Essen, Germany which, together with its successors and assigns is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now, therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign and convey to and confirm in Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;


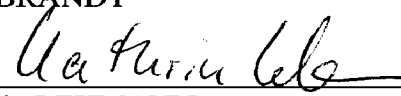
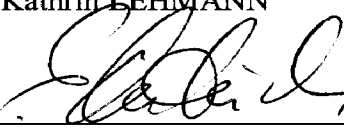

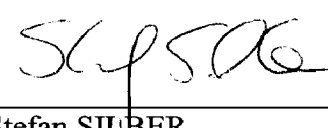
3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell

anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs and legal representatives, as well as myself to do, upon Assignee's request and at its expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me or my heirs or representatives if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs or legal representatives and which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have affixed my signature.

1)		12/15/03
	Petra BRANDT	Date
2)		12/11/03
	Kathrin LEHMANN	Date
3)		12/11/03
	Sascha OESTREICH	Date
4)		12/11/03
	Cornelia SCHRÖTER	Date
5)		01/05/04
	Stefan SILBER	Date