

02-04-2004



To the Honorable Commissioner of Patents

102661609

original documents or copy thereof.

1. Name of conveying party(ies):

D. Russell Pflueger
Christopher Paul Thompson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **Quiescence Medical, Inc.**

Internal Address:

Street Address: **160 Monarch Bay Dr.**
Monarch Beach, California 92629

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: January 19, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/624,915 filed July 22, 2003

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **FRANK J. UXA**
Internal Address:
Street Address: **4 VENTURE, SUITE 300**
IRVINE, CA 92618

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

FRANK J. UXA
Name of Person Signing

Signature

Date

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on or before

Jan 30, 2004
att 1/30/04

02/03/2004 HGETACHE 00000074 10624915

01 FC:0021

40.00 DP

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks,
PO Box 1450, Alexandria, VA 22313-1450

PATENT
REEL: 014939 FRAME: 0007

WHEN RECORDED, RETURN TO: Frank J. Uxa Docket No. : D-3077
4 Venture, Suite 300
Irvine, CA 92618

ASSIGNMENT

WHEREAS, we, D. RUSSELL PFLUEGER of the county of Orange, in the state of California, and CHRISTOPHER PAUL THOMPSON, of the county of TRAVIS, in the state of Texas, have invented certain new and useful improvements in APPARATUS AND METHODS FOR TREATING SLEEP APNEA for which an United States Provisional Patent Application thereon was filed on December 30, 2002 and accorded Serial No. 60/436,945, and for which a patent application for United States Letters Patent thereon was filed on July 22, 2003 and accorded Serial No. 10/624,915.

WHEREAS, QUIESCENCE MEDICAL, INC., a Corporation of the State of California, hereinafter called Assignee, having its place of business at 160 Monarch Bay Dr., Monarch Beach, California 92629, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any and all Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto;

NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for and in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid by Assignee, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, D. Russell Pflueger and Christopher Paul Thompson, by these presents sell, assign and transfer unto Assignee, its successors and assigns, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to the said invention as described in said application, and in and to all Letters Patent granted therefor, and all divisions, reissues and continuations thereof, and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent on said invention or resulting therefrom to said Assignee, as assignee of the entire right, title and interest, and we covenant that we have full right so to do and agree that we will communicate to said Assignee, or its successors any and all facts known to us regarding said

invention whenever requested and will testify in any legal proceeding, sign all lawful papers, execute all divisional, reissue and continuation applications, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper patent protection for said invention in all countries.

Executed this 19 day of JANUARY, 2004.



D. RUSSELL PFLUEGER

State of California)
) ss
County of)

On this _____ day of _____, 2004, before me, _____, personally appeared **D. RUSSELL PFLUEGER** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

SEAL

Executed this 19 day of January, 2004.



CHRISTOPHER PAUL THOMPSON

State of Texas)
) ss
County of)

On this _____ day of _____, 2004, before me, _____, personally appeared **CHRISTOPHER PAUL THOMPSON** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

SEAL