

Form PTO-1595 (Rev. 06/04)  
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U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)/Execution Date(s):

Dominic J. Mongillo, Jr.  
Shawn J. Gregg  
Jeffrey R. Levine  
Edward Pietraszkiewicz

Execution Date(s) May 26 and June 30, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: United Technologies Corporation

Internal Address: \_\_\_\_\_

Street Address: One Financial Plaza

City: Hartford

State: CT

Country: US Zip: 06101

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

10/855,183

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard D. Getz

Internal Address: \_\_\_\_\_

McCormick Paulding & Huber LLP

Street Address: CityPlace II

185 Asylum Street

City: Hartford

State: CT Zip: 06103

Phone Number: 860.549.5290

Fax Number: 860.527.0464

Email Address: getz@jp-lawyers.com

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 13-0235 (3309P-0172)

Authorized User Name Richard D. Getz

### 9. Signature:

Richard D. Getz

Signature

August 4, 2004

Date

Richard D. Getz

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

700103365

PATENT  
REEL: 014951 FRAME: 0337

C/H \$40.00 130235 10855183


## ASSIGNMENT

WHEREAS, We, **DOMINIC J. MONGILLO, JR.**, residing in West Hartford, CT; **SHAWN J. GREGG**, residing in Wethersfield, CT; **JEFFREY R. LEVINE**, residing in Wallingford, CT; and **EDWARD PIETRASZKIEWICZ**, residing in Southington, CT have invented certain improvements in **COOLED ROTOR BLADE**, identified as **Attorney Docket No. EH-11364 (3309P-0172)**, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, **UNITED TECHNOLOGIES CORPORATION**, of Hartford, Connecticut, 06101, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

(L.S.)   
\_\_\_\_\_  
**DOMINIC J. MONGILLO, JR.**

5/26/04

**Date**

State of Connecticut       )  
                                      ) ss:  
County of Hartford        )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2004, before me personally appeared **DOMINIC J. MONGILLO, JR.** to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

{Seal}

\_\_\_\_\_

MY COMMISSION EXPIRES: \_\_\_\_\_

WITNESS: COLIN L. CINI  5/26/04

(L.S.)   
\_\_\_\_\_  
**SHAWN J. GREGG**

5-26-2004

**Date**

State of Connecticut       )  
                                      ) ss:  
County of Hartford        )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2004, before me personally appeared **SHAWN J. GREGG** to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

{Seal}

\_\_\_\_\_

MY COMMISSION EXPIRES: \_\_\_\_\_

WITNESS: COLIN L. CINI  5/26/04

(L.S.) *Jeff J.* *6/30/2007*  
**JEFFREY R. LEVINE** **Date**

State of Connecticut )  
County of Hartford ) ss: *East Hartford*

On this *30th* day of *June*, 2004, before me personally appeared **JEFFREY -- LEVINE** to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

{Seal}

*Joanne Elise Day*

**JOANNE ELISE DAY**  
MY COMMISSION EXPIRES: *Notary Public*  
MY COMMISSION EXPIRES APRIL 30, 2008

(L.S.) *Edward Pietraszkiewicz* *6/30/04*  
**EDWARD PIETRASZKIEWICZ** **Date**

State of Connecticut )  
County of Hartford ) ss: *East Hartford*

On this *30th* day of *June*, 2004, before me personally appeared **EDWARD PIETRASZKIEWICZ** to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

{Seal}

*Joanne Elise Day*

MY COMMISSION EXPIRES: *JOANNE ELISE DAY*  
*Notary Public*  
MY COMMISSION EXPIRES APRIL 30, 2008