

02-10-2004

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HT02-032

1:30:04



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To the Honorable Commissioner of Patents and 1

original documents or copy thereof.

1. Name of conveying party(ies):

2. Name and address of receiving party(ies):

CHENG T. HORNG, RU-YING TONG

Name: Headway Technologies, Inc.Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

Internal Address:

Street Address:

678 S. Hillview Drive
City: Milpitas State: Ca
Zip: 95035

3. Nature of conveyance



Assignment



Merger



Security Agreement



Change of Name



other _____

Execution Date: 1/20/04Additional name & address attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10768917

If this document is being filed together with a new application, the execution date of the application is: 1/19/04 & 1/20/04

A. Patent Application No(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 28 DAVIS AVENUECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed



Authorized to be charged to deposit acct.

8. Deposit account number: 19-0033

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To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

STEPHEN B. ACKERMAN

Name of Person Signing

Signature

1/30/04

Date

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HT02-032

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

CHENG T. HORNG
Type or print name of ASSIGNOR
7174 CALCATERRA DR
Address
SAN JOSE, CA 95120
U.S.A.
Nationality

RU-YING TONG
Type or print name of ASSIGNOR
2433 LE BAIN DR
Address
SAN JOSE CA 95130
U.S.A.
Nationality

do hereby sell, assign and transfer to

ASSIGNEE:

Headway Technologies, Inc.
678 S. Hillview Drive
Milpitas, Ca 95035
Usa
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box/f foreign rights are also to be assigned)
☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

A Novel Oxidation Method To Fabricate Low Resistance Tmr Read Head

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☒ U.S. patent application executed on 1/19/04 & 1/20/04
(c) ☐ U.S. application serial no. _____ filed on _____
(d) ☐ U.S. patent no. _____ issued _____
(e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority
(also check (e) if foreign application(s) is also being assigned)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

1/20/04 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Cheng T. Hong
Signature of ASSIGNOR(S)
Ren Jun

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on
behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

____ Notarization of Legalization Page Added.