

RECOR

02-10-2004

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

1-30-04



102665670

PLEASE RECORD THE ATTACHED

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ORIGINAL DOCUMENTS OR COPY THEREOF.31353 U.S. PTO  
10769260

1. Name of conveying party(ies): (a) <u>Satoshi Watanabe</u> (b) <u>Stephen A. Stockman</u> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Name: <u>Lumileds Lighting U.S, LLC</u> Street Address: <u>370 W. Trimble Road</u> City: <u>San Jose</u> State: <u>California</u> Zip: <u>95131</u> Country: <u>USA</u>
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>(a) January 29, 2004, (b) January 29, 2004</u>	Name and address of receiving party(ies): Name: _____ Street Address: _____ City: _____ State: _____ Zip: _____ Country: _____ Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No

4. Application number(s) or patent number(s): 10769260  
If this document is being filed together with a new application, the execution date of the application is: January 29, 2004

A. Patent Application No.(s) - Title: <u>III Nitride Light-Emitting Device With Reduced Polarization Fields</u>	B. Patent No.(s)
--	------------------

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Rachel V. Leiterman</u> Internal Address: <u>Patent Law Group LLP</u> Street Address: <u>2635 North First St., Suite 223</u> City <u>San Jose</u> State <u>CA</u> Zip <u>95134</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41): <u>\$40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to Deposit Account 502226 <input checked="" type="checkbox"/> Charge Deposit Account 502226 for any additional fees required for this conveyance and credit deposit account 502226 any amounts overpaid
---	---

DO NOT USE THIS SPACE

## 8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rachel V. Leiterman 46,868  
Name of Person Signing

R. Leiterman  
Signature

1/30/04  
Date

02/09/2004 ECDOPER 00000214 502226 10769260

Total number of pages comprising cover sheet: 1

01 FD:8021 40.00 BA

**ASSIGNMENT**

For good and valuable consideration, receipt of which is hereby acknowledged, we

Satoshi Watanabe and Stephen A. Stockman

hereby sell, assign and transfer to Lumileds Lighting, U.S., LLC, a Delaware corporation, having a place of business at 370 W. Trimble Road, San Jose, CA 95131, its successors and assigns, the entire right, title and interest throughout the world in our invention in

**III-NITRIDE LIGHT EMITTING DEVICE WITH REDUCED POLARIZATION  
FIELDS**

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 29<sup>th</sup> day of January, 2004.

Satoshi Watanabe

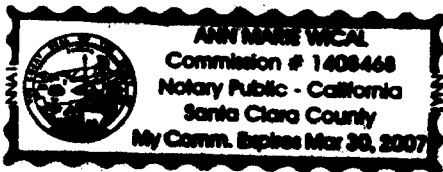
Satoshi Watanabe

State of California )  
 ) ss.  
County of Santa Clara )

On January 29, 2004 before me, Ann Marie Wical, notary personally appeared Satoshi Watanabe personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Ann Marie Wical  
SIGNATURE OF NOTARY



Executed this 29<sup>th</sup> day of January, 2004.

Stephen A. Stockman  
Stephen A. Stockman

State of California )  
 ) ss.  
County of Santa Clara )

On January 29, 2004 before me, Ann Marie Wical, Notary  
personally appeared Stephen A. Stockman personally  
known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person(s) whose  
name(s) ~~is(are)~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~  
executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~  
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)  
acted, executed the instrument.



WITNESS my hand and official seal.

Ann Marie Wical  
SIGNATURE OF NOTARY