

02-10-2004



RECORD COPY COVER SHEET  
102665209  
PATENTS ONLY

2004 FEB -6 AM 7:45  
OPR/FINANCE

2-6-04

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

New

Resubmission (Non-Recordation)  
Document ID#

Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

**Conveyance Type**

Assignment  Security Agreement

License  Change of Name

Merger  Other

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name	Execution Date		
	Month	Day	Year
Name (line 1) GENERAL ELECTRIC COMPANY	12	31	2003
Name (line 2)			

**Second Party**

Name	Execution Date		
	Month	Day	Year
Name (line 1)			
Name (line 2)			

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1) UNITED STATES DEPARTMENT OF ENERGY

Name (line 2)

Address (line 1) 1000 INDEPENDENCE AVENUE

Address (line 2)

Address (line 3) WASHINGTON DC 20585

City State/Country Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

**Correspondent Name and Address**

Area Code and Telephone Number

630-252-2042

Name **ROBERT J. FISHER**

Address (line 1) **U.S. DEPARTMENT OF ENERGY**

Address (line 2) **OFFICE OF INTELLECTUAL PROPERTY**

Address (line 3) **9800 SOUTH CASS AVENUE**

Address (line 4) **ARGONNE, ILLINOIS 60439**

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 01

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10/637,041

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Brian J. Lally

Name of Person Signing

Signature

JUN 30 2004

Date

**CONFIRMATORY LICENSE**  
(Advanced Waiver of Patent Rights)

132297

Title : METHOD AND APPARATUS FOR REDUCING GAS TURBINE ENGINE EMISSIONS  
Inventor(s) : Andrei Colibaba-Evulet; Michael John Bowman; Simon Ralph Anderson; Anthony John Dean  
Serial No. : 10/667,041 Filing Date: 9/22/2003  
Subcontractor : GENERAL ELECTRIC COMPANY  
DOE Contract No.: DE-FC02-00CH11063  
DOE Case No. : S-101,667  
DOE Advanced Waiver No.: W(A)-01-029

Foreign Applications filed in or intended to be filed at Contractor's expense in (countries):

ALL COUNTRIES OF THE WORLD


An advanced waiver of Government rights having been granted by the U.S. Department of Energy (DOE) to General Electric Company and the above-identified invention having been reported as a Subject Invention to DOE by the contractor with its election to file a domestic patent application thereon, the effective date of said waiver for the above invention is December 20,2002.

Accordingly, this document is confirmatory of the paid-up license required to be granted to the Government under 10-CFR -Part -784 and the above-identified contract in this invention, patent application and any resulting patent as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, and of all rights acquired by the Government by the referenced patent rights clause, a copy of which is attached hereto and incorporated by reference herein. The undersigned certifies the attached copy to be a true copy of said clause. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 31ST day of December 2003

(SEAL)

  
Donald S. Ingraham  
Attesting Secretary

GENERAL ELECTRIC COMPANY  
(Contractor)

By: Christian G. Cabou, General Counsel  
(Contractor's Official and Title)

Global Research  
PO Box 8, Schenectady, NY 12301

(Business Address)

132297

**PATENT**