

02-12-2004

Bernasconi 6-4

FORM PTO-1595  
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U.S. Department of Commerce  
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10770279

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To the Honorable Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, Washington, D.C. 20503  
102668204

1. Name of conveying party(ies):  
Pietro Arturo Giovanni Bernasconi  
Weiguo Yang

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1/28/04, 1/28/04

3-2-04

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address:

Street Address: 600 Mountain Avenue

City: Murray Hill State: NJ Zip: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_ (Date of Filing)

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eamon J. Wall

Internal Address: MOSER, PATTERSON & SHERIDAN, LLP

Street Address: 595 Shrewsbury Avenue, Suite 100

City: Shrewsbury State: NJ Zip: 07702

6. Total number of applications and patents involved:

7. Total fee (37 C.F.R. 3.41)----- \$ 40.00

☒ Enclosed

☒ DEFICIENCY Authorized to be charged to deposit account

20-0782

8. Deposit account number:

20-0782

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

EAMON J. WALL

Name of Person Signing

Signature

Date

2/2/04

Total number of pages including cover sheet, attachments and document: 5

Mail documents to be recorded with required cover sheet information to:

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Director - US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

02/11/2004 ECOOPER 00000073 200782 10770279

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PATENT  
REEL: 014962 FRAME: 0314

**ATTACHMENT A**

Attorneys and/or Agents of **CUSTOMER #26,291**

Telephone calls should be made to EAMON J. WALL at:

Phone No.: 732-530-9404

Fax No.: 732-530-9808

All written communications are to be addressed to:

**CUSTOMER #26,291**

**MOSER, PATTERSON & SHERIDAN, LLP**

**ATTENTION: EAMON J. WALL, ESQ.**

**595 SHREWSBURY AVENUE, SUITE 100**

**SHREWSBURY, NEW JERSEY 07702**

Bernasconi 6-4

**ASSIGNMENT AND AGREEMENT**

For value received, we, Pietro Arturo Giovanni Bernasconi of Eatontown in the County of Monmouth and State of New Jersey, and Weiguo Yang of East Windsor in the County of Mercer and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **ACTIVE/PASSIVE MONOLITHICALLY INTEGRATED CHANNEL FILTERING POLARIZATION SPLITTER** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Pietro Arturo Giovanni Bernasconi

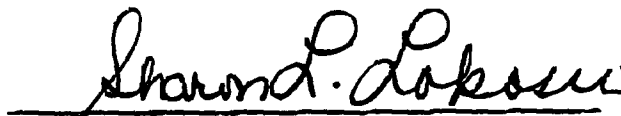
Date: 1/28/04

United States of America )

State of New Jersey ) ss.:

County of Monmouth )

On this 28<sup>th</sup> day of January, 2004, before me personally came Pietro Arturo Giovanni Bernasconi, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

SHARON L. JACOBS  
Notary Public, State of New Jersey  
No. 2270276  
Qualified in Ocean County  
Commission Expires August 14, 2006

  
Weiguo Yang


Date: 1/28/04

United States of America )


State of New Jersey ) ss.:

County of Monmouth )

On this 28<sup>th</sup> day of January, 2004, before me personally came Weiguo Yang, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

SHARON L. LOBOSCO  
Notary Public, State of New Jersey  
No. 227807  
Qualified in C...  
Domestic...



Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636