

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

RECORDATION FORM

2.6.04

02-18-2004



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17302 U.S. PTO
10/773943

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TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
ORIGINAL DOCUMENTS OR COPY THEREOF.

EASE RECORD THE ATTACHED

1. Name of conveying party(ies):

- (a) **Ho-Shang Lee**
- (b) **Alexander Birman**

Additional name(s) of conveying party(ies) attached?

Yes No

2. Name and address of receiving party(ies):

Name: **Dicon Fiberoptics, Inc.**
 Street Address: **1689 Regatta Boulevard**
 City: **Richmond** State: **CA** Zip: **94804**
 Country: **U.S.A.**

3. Nature of Conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: (a) **02/05/04** (b) **02/05/04**

Name and address of receiving party(ies):

Name:
 Street Address:
 City: State: Zip:
 Country:
 Additional name(s) & address(es) attached?
 Yes No

4. Application number(s) or patent number(s):

10773943

If this document is being filed together with a new application, the execution date of the application is: 02/05/04

A. Patent Application No.(s) -

Title: **Light Emitting System With High Extraction Efficiency**

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **James S. Hsue**
 Internal Address: **Parsons Hsue & de Runtz LLP**
 Street Address: **655 Montgomery Street, Suite 1800**
 City **San Francisco** State **CA** Zip **94111**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- A check is enclosed that includes the total fee.
- Charge Deposit Account 502664 for any additional fees required for this conveyance and credit deposit account 502664 any amounts overpaid

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James S. Hsue, Reg. No.: 29,545
Name of Person Signing

February 6, 2004
Date

Total number of pages including cover sheet, attachments, and documents: 2

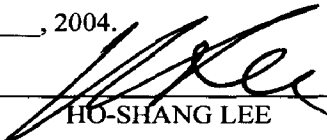
ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, the undersigned Ho-Shang Lee of 3705 Painted Pony Rd., El Sobrante, CA 94803 and Alexander Birman of 7585 Skyline Blvd., Oakland, CA 94611, hereby sell, assign and transfer to Dicon Fiberoptics, Inc., a California corporation, having a place of business at 1689 Regatta Boulevard, Richmond, CA 94804, its successors and assigns, the entire right, title and interest throughout the world to my invention in

LIGHT EMITTING SYSTEM WITH HIGH EXTRACTION EFFICIENCY

for which I have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; I authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from me; I agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and I request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 5th day of FEBRUARY, 2004.



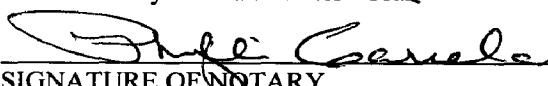
HO-SHANG LEE



ALEXANDER BIRMAN

State of CALIFORNIA)
) ss
County of ALAMEDA)

On FEBRUARY 5, 2004 before me, Phyllis Cariela personally appeared HO-SHANG LEE AND ALEXANDER BIRMAN personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she (they) executed the same in his/her (their) authorized capacity(ies), and that by his/her (their) signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal


SIGNATURE OF NOTARY

