# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date	
Chad A. Mirkin	07/16/2004	
Gabriella S. Metraux	07/16/2004	
Rongchao Jin	07/19/2004	
YunWei Charles Cao	07/19/2004	

#### **RECEIVING PARTY DATA**

Name:	Northwestern University
Street Address:	1801 Maple Avenue
City:	Evanston
State/Country:	ILLINOIS
Postal Code:	60201

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10817754

### **CORRESPONDENCE DATA**

Fax Number: (303)863-0223

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

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Email: crobnett@sheridanross.com

Correspondent Name: Sheridan Ross P.C.

Address Line 1: 1560 Broadway, Suite 1200 Denver, COLORADO 80202 Address Line 4:

NAME OF SUBMITTER: Constance Robnett

**Total Attachments: 5** 

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#### **ASSIGNMENT**

WHEREAS, we, Chad A. Mirkin, of 111 16th Street, Wilmette, Illinois 60091; Gabriella S. Métraux, of 1422 Noyes St., #2, Evanston, Illinois 60201; Rongchao Jin of 2203 Maple Avenue, #F3, Evanston, Illinois 60201; and YunWei Charles Cao, of 4830 NW 43rd St. #J146, Gainesville, Florida 32606, have invented a certain new and useful invention entitled "USE OF PLASMON EXCITATION TO CONTROL NANOPARTICLE GROWTH," for which an application for Letters Patent of the United States has been prepared and filed on April 2, 2004, receiving Serial No. 10/817,754, and further identified as Attorney File No. 3501-49;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Northwestern University, an Illinois corporation having a place of business at 1801 Maple Avenue. Evanston, IL 60201-3135, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Northwestern University and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Northwestern University, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

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IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

	By:	
	•	Chad A. Mirkin
	Ву:	
		Gabriella S. Métraux
	By:	
	. •	Rongchao Jin
7/19/2004	Ву:	<u>Uma</u>
		YunWei Charles Cao

STATE OF	<i>lllinois</i>	)
		SS
COUNTY OF	Cook	)

Before me, a Notary Public in and for said County and State, personally appeared Chad A. Mirkin, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this	16 day of July , 2004.
OFFICIAL SEAL SUZANNE F. AUBURN	Syrume Quihin Notary Public
MOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 5-16-2008	O Notary Public
My Commission Expires:_	5-16-2006

STATE OF (linais

COUNTY OF COOK

Before me, a Notary Public in and for said County and State, personally appeared Gabriella S. Métraux, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same for the purposes and considerations therein expressed.

OFFICIAL SEAL
SUZANNE F. AUBURN
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 5-16-2006

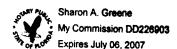
My Commission Expires:

My Commission Expires:

5-16-2-004

STATE OF	llinois					
COUNTY OF	COOK	) ss.				
Jin, known to	me, a Notary Publi me to be the pers to me that he ex	on whose name	is subscrib	ed to the f	oregoing instrur	nent, and
Given ı	under my hand and	seal of office thi	s 19	day of	Tuly,	2004.
known."	OFFICIAL SEAL SUZANNE F. AUBU NOTARY PUBLIC, STATE OF MY COMMISSION EXPIRES 5	RN {	Su	Motary	Sauhn Public	
	My Cor	nmission Expires		16-20	3	90000000000000000000000000000000000000
STATE OF		<b>)</b> .				
COUNTY OF		) ss.				
Charles Cao, k	me, a Notary Publ nown to me to be t lged to me that he	he person whose	name is su	bscribed to	the foregoing in	strument,
Given ı	ınder my hand and	seal of office thi	S	day of		2004.
				Notary 1	Public	
	My Cor	nmission Expires	) • 	***************************************	·	

STATE OF	) ) s			
COUNTY OF	)			
Before me, a Notary Public in Jin, known to me to be the person acknowledged to me that he execute expressed.	whose name is	subscribed to the	ne foregoing i	instrument, and
Given under my hand and se	al of office this	day of		, 2004.
		Nota	ary Public	
My Comm	iggion Eveninos.		•	
wy Comm	ission Expires			
STATE OF	) ) s			
COUNTY OF	)			
Before me, a Notary Public in Charles Cao, known to me to be the and acknowledged to me that he ex expressed.	person whose na	ame is subscribed	to the forego	ing instrument,
Given under my hand and sea	al of office this	day of _	July	, 2004.
	(	Sharon	a Shee	
My Comm	ission Expires:_	7/6/20	o7	



**RECORDED: 08/12/2004**