

02-23-2004



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Form PTO-1595

Attorney Docket No. 101221-693

22278 U.S. PTO  
10776957  
021104

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

2.11.04

Hon. Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. Submission Type

☒ New

☐ Resubmission (Non-Recordation)  
Document ID #

☐ Correction of PTO Error

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

☐ Corrective Document

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

2. Name of Conveying Party(ies):

KAROL, Thomas J.

CHENG, Francis S.

TEPPER, Ronald J.

3. Name and Address of Receiving Party(ies):

R. T. Vanderbilt Company, Inc.  
30 Winfield Street  
Norwalk, Connecticut 06855

Additional name(s) of conveying  
party(ies) attached?

☐ Yes ☒ No

Additional name(s) & addresses  
attached?

☐ Yes ☒ No

4. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Dates: January 15, 2004; January 26, 2004; January 26, 2004;

5. Application Number(s) or Patent Number(s):

10776957

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Howard C. Lee  
NORRIS McLAUGHLIN & MARCUS  
220 East 42<sup>nd</sup> Street - 30<sup>th</sup> Floor  
New York, New York 10017 (212) 808-0700

02/20/2004 LMUELLER 00000214 141263 10776957

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40.00 BA

**PATENT  
REEL: 014987 FRAME: 0703**

7. Total number of applications and patents involved: 1

8. Total Fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be Charged to Deposit Account

9. Deposit Account Number: 14-1263

**DO NOT USE THIS SPACE**

10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard C. Lee  
Name of Person Signing  
Reg. No.: 48,104

Howard C. Lee  
Signature

11 February 2004  
Date

Total number of pages including cover sheet, attachments, and document:

ADDITIONAL FEE

Please charge any insufficiency of fees, or credit any excess, to our Deposit Account No. 14-1263.

220 East 42<sup>nd</sup> Street  
30<sup>th</sup> Floor  
New York, New York 10017  
(212) 808-0700

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the foregoing Recordation Form Cover Sheet and are being deposited to the United States Patent and Trademark Office by express mail, label no. EV 383033740 US on the date indicated below:

Agata Glinska  
Agata Glinska

February 11, 2004  
Date

## ASSIGNMENT

WHEREAS, WE, Thomas J. Karol, citizen of United States of America, residing in Holualoa-Kona, state of Hawaii; whose post office address is 76-5917H Mamaloha Highway, Holualoa-Kona, Hawaii 96725; Francis S. Cheng, citizen of United States of America, residing in West Hartford, state of Connecticut; whose post office address is 12 Proctor Drive, West Hartford, CT 06117; and Ronald J. Tepper, citizen of United States of America, residing in Fairfield, state of Connecticut; whose post office address is 160 Smith Street, Fairfield, CT 06430; have made an invention entitled "Additive Compound for Curing Halogenated Polymers" as set forth and described in application for Letters Patent of the United States of America, which was executed by the undersigned on the \_\_\_\_\_ day of \_\_\_\_\_, 2004; and

WHEREAS, R.T. VANDERBILT COMPANY, INC., a corporation organized and existing under the laws of the state of New York, and having an office for the transaction of business at 30 Winfield Street, Norwalk, Connecticut 06855, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper for good and valuable and consideration have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said R.T. VANDERBILT COMPANY, INC. its successors and assigns, their entire right, title and interest in and to the said invention entitled "Additive Compound for Curing Halogenated Polymers" as set forth and described in application for Letters Patent of the United States of America, which was executed by the undersigned on the \_\_\_\_\_ day of \_\_\_\_\_, 2004, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States of America which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States of America to said R.T.VANDEBILT COMPANY, INC., as the assignee of our entire right, title and interest in and to the same, for the sole use and benefit of said R.T.VANDERBILT COMPANY, INC., its successors and assigns;

AND WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper for the considerations aforesaid, do hereby covenant and agree to and with said R.T.VANDERBILT COMPANY, INC. its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right

heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said R.T. VANDERBILT COMPANY, INC., its successors and assigns, may be required or necessary more effectively to secure to and vest in said R.T.VANDERBILT COMPANY, INC., its successors and assigns, our entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper have hereunto set our hands and seal on the date below written.

\_\_\_\_\_  
Thomas J. Karol

STATE OF \_\_\_\_\_ )  
 ) ss:  
COUNTY OF \_\_\_\_\_ )

BE IT KNOWN, that on this \_\_\_\_ day of \_\_\_\_\_, 2004, before me personally came Thomas J. Karol, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

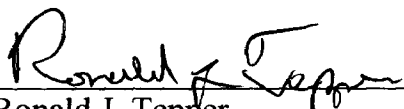
\_\_\_\_\_  
Notary Public

*Francis S. Cheng*  
\_\_\_\_\_  
Francis S. Cheng

STATE OF CONNECTICUT)  
 ) ss:  
COUNTY OF *Fairfield* )

BE IT KNOWN, that on this *26<sup>th</sup>* day of *January*, 2004, before me personally came Francis S. Cheng, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

*Marsha L. Lynas*  
\_\_\_\_\_  
Notary Public **Marsha L. Lynas**  
Notary Public  
State of Connecticut, County of Fairfield  
My Commission Expires April 30, 2005

  
\_\_\_\_\_  
Ronald J. Tepper

STATE OF CONNECTICUT)

COUNTY OF Fairfield) ss:

BE IT KNOWN, that on this 26<sup>th</sup> day of January, 2004, before me personally came Ronald J. Tepper, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

  
\_\_\_\_\_  
Notary Public

**Marsha L. Lynas**  
**Notary Public**  
**State of Connecticut, County of Fairfield**  
**My Commission Expires April 30, 2005**

## ASSIGNMENT

WHEREAS, WE, Thomas J. Karol, citizen of United States of America, residing in Holualoa, state of Hawaii; whose post office address is 76-5917H Mamalahoa Highway, Holualoa, Hawaii 96725; Francis S. Cheng, citizen of United States of America, residing in West Hartford, state of Connecticut; whose post office address is 12 Proctor Drive, West Hartford, CT 06117; and Ronald J. Tepper, citizen of United States of America, residing in Fairfield, state of Connecticut; whose post office address is 160 Smith Street, Fairfield, CT 06430; have made an invention entitled "Additive Compound for Curing Halogenated Polymers" as set forth and described in application for Letters Patent of the United States of America, which was executed by the undersigned on the \_\_\_\_\_ day of \_\_\_\_\_, 2004; and

WHEREAS, R.T. VANDERBILT COMPANY, INC., a corporation organized and existing under the laws of the state of New York, and having an office for the transaction of business at 30 Winfield Street, Norwalk, Connecticut 06855, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

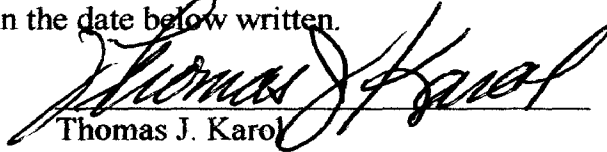
NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper for good and valuable and consideration have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said R.T. VANDERBILT COMPANY, INC. its successors and assigns, their entire right, title and interest in and to the said invention entitled "Additive Compound for Curing Halogenated Polymers" as set forth and described in application for Letters Patent of the United States of America, which was executed by the undersigned on the \_\_\_\_\_ day of \_\_\_\_\_, 2004, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States of America which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States of America to said R.T. VANDEBILT COMPANY, INC., as the assignee of our entire right, title and interest in and to the same, for the sole use and benefit of said R.T. VANDERBILT COMPANY, INC., its successors and assigns;

AND WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper for the considerations aforesaid, do hereby covenant and agree to and with said R.T. VANDERBILT COMPANY, INC. its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts

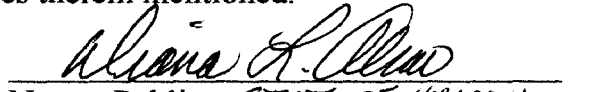
and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said R.T. VANDERBILT COMPANY, INC., its successors and assigns, may be required or necessary more effectively to secure to and vest in said R.T. VANDERBILT COMPANY, INC., its successors and assigns, our entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, WE, the said Thomas J. Karol, Francis S. Cheng, and Ronald J. Tepper have hereunto set our hands and seal on the date below written.

  
Thomas J. Karol

STATE OF HAWAII )  
COUNTY OF HAWAII ) ss:

BE IT KNOWN, that on this 16<sup>th</sup> day of JANUARY, 2004, before me personally came Thomas J. Karol, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

  
Notary Public - STATE OF HAWAII  
**DIANA L. AKAO**  
MY COMMISSION EXPIRES: 06/02/06



\_\_\_\_\_  
Francis S. Cheng

STATE OF CONNECTICUT )  
COUNTY OF \_\_\_\_\_ ) ss:

BE IT KNOWN, that on this \_\_\_\_\_ day of \_\_\_\_\_, 2004, before me personally came Francis S. Cheng, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Ronald J. Tepper

STATE OF CONNECTICUT)

) ss:

COUNTY OF \_\_\_\_\_)

BE IT KNOWN, that on this \_\_\_\_ day of \_\_\_\_\_, 2004, before me personally came Ronald J. Tepper, to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public