

02-25-2004

Form PTO-1595

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OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

102678921

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Seiko Instruments Inc.

2. Name and address of receiving party(ies)

Name: SII NanoTechnology Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 8, Nakase 1-chome, Mihama-ku

Chiba-shi

City: Chiba JAPAN

State: _____

Zip: _____

Execution Date: 02-06-2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

B. A. Patent Application No(s) 5,960,147 -

6,104,030 - 6,229,609

B. Patent No(s) continued

6,280,647 - 6,580,852

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams

Internal Address: _____

Street Address: 50 Broadway-31st Floor

DBYRME 00000037 5960147

200.00 00

City: New York State: NY Zip: 10004

6. Total number of applications and patents involved: 5

7. Total fee (37 CFR 3.41).....\$ 200.00



Enclosed

Authorized to be charged to deposit account
any deficiency in enclosed fee

8. Deposit account number:

01-0268

02/24/2004

01 FC:8021

DO NOT USE THIS SPACE

9. Signature.

Bruce L. Adams

Name of Person Signing

Signature

February 18, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231MAILING CERTIFICATE ON
REVERSE SIDE OF PAGEPATENT
REEL: 014990 FRAME: 0642

PATENT ASSIGNMENT

WHEREAS, Seiko Instruments Inc., a Japanese corporation with offices at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is the owner of the entire right, title and interest in and to the following five (5) United States Letters Patents:

<u>Patent Nos.</u>	<u>Grant Dates</u>
5,960,147	Sept. 28, 1999
6,104,030	Aug. 15, 2000
6,229,609	May 08, 2001
6,280,647	Aug. 28, 2001
6,580,852	June 17, 2003


AND WHEREAS, SII NanoTechnology Inc., a Japanese corporation, located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is desirous of acquiring the entire interest in the above-identified five (5) Letters Patents;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration paid by SII NanoTechnology Inc. to Seiko Instruments Inc., the receipt of which is hereby acknowledged, Seiko Instruments Inc. has assigned, sold and transferred and by these presents does hereby assign, sell and transfer unto the said SII NanoTechnology Inc. its entire right, title and interest in and to the above-identified five (5) Letters Patents; each of said Letters Patent to be held and enjoyed by SII NanoTechnology Inc., its successors and assigns, for its own use and enjoyment, as fully and entirely as the same would have been held by Seiko Instruments Inc. had this assignment, sale and transfer not been made.

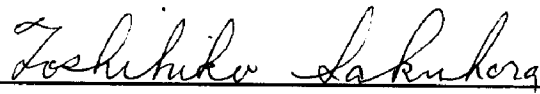
IN TESTIMONY WHEREOF, Seiko Instruments Inc. has
caused its corporate seal to be impressed hereon and the hands of
its proper officer to be subscribed hereto this 6th day of
February, 2004.

Witness:

Seiko Instruments Inc.



Masaki Hori

By: 

Toshihiko Sakuhara
General Manager
Legal & Intellectual Property