

02-24-2004



102676310

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks...

the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Volker Simon  
Mathias Weber  
Christian Holzschuh

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 11/04/2003, 11/10/2003

## 2. Name and address of receiving party(ies):

Name: BorgWarner, Inc.

Address: Powertrain Technical Center

3800 Automation Avenue, Suite 100

City: Auburn Hills

State/Prov.: MI

Country: US

ZIP: 48326-1782

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

10/712,125

November 13, 2003

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greg Dziegielewski

Registration No. 28,073

Address: BorgWarner, Inc.

Powertrain Technical Center

3800 Automation Avenue, Suite 100

City: Auburn Hills

State/Prov.: MI

Country: US

ZIP: 48326-1782

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

16-0877

02/23/2004 LNUELLER 00000082 160877 10712123

DO NOT USE THIS SPACE

01 FC:0021 40.00 DA

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Bootcheck (Reg. No. 52,636)

Name of Person Signing

Signature

2/18/04

Date

Total number of pages including cover sheet, attachments, and

### Assignment

In consideration of the payment by ASSIGNEE to ASSIGNORS of valuable consideration, receipt whereof is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS**

Volker SIMON  
An den Thoräcker 19  
D-76829 Landau  
GERMANY

Mathias WEBER  
Schützenstraße 43  
D-67659 Kaiserslautern  
GERMANY

Christian HOLZSCHUH  
Schlossstraße 12  
D-67292 Kirchheimbolanden  
GERMANY

hereby sells, assigns and transfers to

**ASSIGNEE**

BorgWarner Inc.  
Powertrain Technical Center  
3800 Automation Avenue, Suite 100  
Auburn Hills, MI 48326-1782  
USA

and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions, and countries foreign thereto, in and to any and all improvements which are disclosed in the invention entitled "PRE-WHIRL GENERATOR FOR RADIAL COMPRESSOR", and which is found in U.S. Patent Application Serial Number 10/712,125 filed 11/13/2003, (We hereby authorize and request our attorneys Pendorf & Cutliff of 5111 Memorial Highway, Tampa, Florida 33634-7356, to insert the application number and filing date of said application when known) or any substitute thereof, and as to letters patent any reissue or re-examination thereof, including the right to

U.S. Application No.  
Assignment

10/712,125

Attorney Docket: DKT02185

claim priority under 35 U.S.C. §119, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to the ASSIGNEE, its successors and assigns; and we will execute without further consideration all papers deemed necessary by the ASSIGNEE in connection with the United States application when called upon to do so by the Assignee;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been made or will be made or entered into which would conflict with this Assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: 10.17.03

Date: 4.11.03



Vokler SIMON



Mathias WEBER

U.S. Application No.  
Assignment

10/712,125

Attorney Docket: DKT02185

Date: 04.11.05

C. Holzschuh  
Christian HOLZSCHUH

(Legalization not required for recording but is *prima facie* evidence of execution under 35 U.S.C. §261.)