

01-20-04

02-18-2004

Form PTO-1595
(Rev. 03/01)

RE



U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings

102670540

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael Peyton
Jose Soto
Niccolo Garbarino
Mathew Bosse

Ranapratap Lavu
John F. Michel

1-19-04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 01/09/04; 01/12/04;

2. Name and address of receiving party(ies)

Name: Raytheon Company

Internal Address: Intellectual Property and Licensing

Street Address:

WALTHAM WOODS, 870 Winter Street

City: Waltham State: MA Zip: 02451-1449

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/661,756

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Raytheon Company

Internal Address: Thomas J. Finn

Street Address: P.O. Box 902 (E1/E150)

City: El Segundo State: CA Zip: 90245-0902

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0888

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas J. Finn, Reg. No. 48,066

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 014995 FRAME: 0434

02/18/2004 LMEILLER 0000002 500044 40.00 MA 01 FC:0021

ASSIGNMENT

WHEREAS, I, as below named sole inventor (if only one name is listed below) or joint inventor (if plural names are listed below) of the city and state as stated below next to my name, have invented a(an) **WEB-BASED RISK MANAGEMENT TOOL AND METHOD** for which application for Letters Patent of the United States has been executed by me on or before this day, or (if the following is completed) filed on 9/12/03 as Serial No. 12/661, 756, and

WHEREAS, RAYTHEON COMPANY, (hereinafter referred to as Raytheon), a Delaware Corporation, having its principal place of business in Lexington, Massachusetts, is desirous of acquiring the entire and exclusive right, title and interest in, to and under said invention, said application and any and all Letters Patent that may be granted therefor in the United States and throughout the world;

NOW, THEREFORE, in consideration of the obligations voluntarily assumed by me and set forth in an invention agreement between me and my employer, effective the date as stated below next to my name, and other good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign and transfer to RAYTHEON, its successors, assigns or other legal representatives, the entire and exclusive right, title and interest in and to said invention invented by me, to said application and any and all applications which are continuations, continuations-in-part, divisions or substitutes of said application and any and all Letters Patent that may be granted therefor in the United States and throughout the world on any of said applications and to any and all reexaminations, reissues, renewals or extensions of said Letters Patent in the United States and throughout the world for the full term or terms for which said Letters patent may be granted in the United States and throughout the world; authorize and request The Commissioner of Patents and Trademarks of the United States and all foreign countries to issue all such Letters Patent to said RAYTHEON, its successors, assigns or other legal representatives; covenant that no assignment, sale, agreement, transfer or encumbrance has been, or will be, made or entered into which would conflict with this assignment, sale and transfer; and agree to communicate to RAYTHEON, its successors, assigns or other legal representatives, upon request and at no cost or expense to me, any facts known by me respecting said invention, do all lawful acts, including the execution and delivery of all papers and proper oaths and giving of testimony that is deemed necessary or desirable by RAYTHEON, its successors, assigns or other legal representatives with regard to said invention for protecting, obtaining, maintaining and enforcing any and all of said Letters Patent in the United States and throughout the world for said invention and for perfecting, affirming, recording and maintaining the title of RAYTHEON, its successors, assigns or other legal representatives, and generally cooperate to the fullest extent in all matters pertaining to said invention, and any and all of said Letters Patent and the title thereto in RAYTHEON, its successors, assigns or other legal representatives.

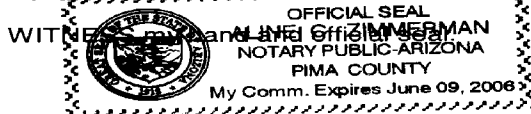
IN WITNESS WHEREOF, I have executed this instrument.

| | | |
|---|---|--|
| FULL NAME OF SOLE OR JOINT INVENTOR MICHAEL PEYTON | INVENTOR'S SIGNATURE <i>Michael Peyton</i> | DATE 1/9/04 |
| RESIDENCE (CITY AND STATE) TUCSON, AZ. | | INVENTION AGREEMENT DATE 09-07-1999 |

STATE OF Arizona)
COUNTY OF Pima) SS:

On Jan. 9, 2004 before me, Aline C. Zimmerman,
a Notary Public in and for said State, personally appeared Michael Peyton

☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Notary Public Aline C. Zimmerman

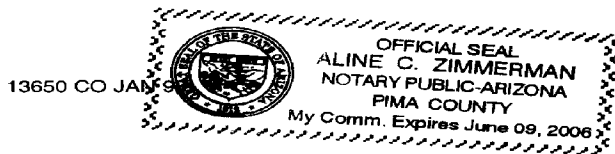
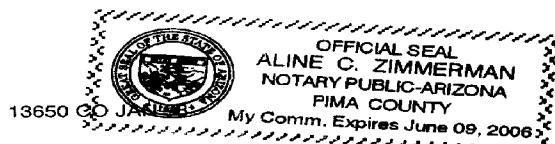
| | | |
|--|--|--------------------------------------|
| FULL NAME OF SOLE OR JOINT INVENTOR JOSE SOTA Jose Soto | INVENTOR'S SIGNATURE <i>Jose Soto</i> | DATE 1/9/04 |
| RESIDENCE (CITY AND STATE) TUCSON, AZ. | | INVENTION AGREEMENT DATE MAY 2000 |

STATE OF Arizona)
COUNTY OF Pima) SS:

On Jan 9 2004 before me, Aline C. Zimmerman,
a Notary Public in and for said State, personally appeared Jose Soto

☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

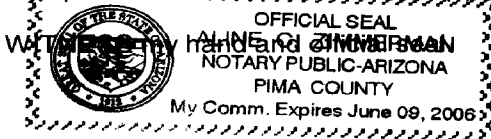
Notary Public Aline C. ZimmermanNotary Public Aline C. Zimmerman

IN WITNESS WHEREOF, I have executed this instrument.

| | | |
|--|--|--|
| FULL NAME OF SOLE OR JOINT INVENTOR NICCOLO GARBARINO | INVENTOR'S SIGNATURE <i>Nicco Garbarino</i> | DATE 11/09/04 |
| RESIDENCE (CITY AND STATE) TUCSON, AZ. | | INVENTION AGREEMENT DATE 05-14-2001 |

STATE OF Arizona)
COUNTY OF Pima) SS:
On Jan 9, 2004 before me, Aline C. Zimmerman
a Notary Public in and for said State, personally appeared Nicco Garbarino

☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

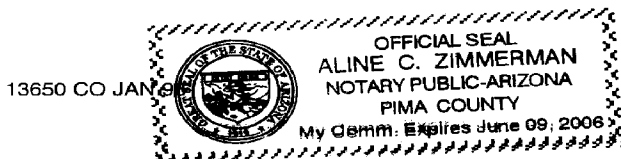
Notary Public Aline C. Zimmerman

| | | |
|--|---|--|
| FULL NAME OF SOLE OR JOINT INVENTOR <u>Matthew H. Bosse</u> MATTHEW H. BOSSE | INVENTOR'S SIGNATURE <i>Matthew H. Bosse</i> | DATE 1/12/04 |
| RESIDENCE (CITY AND STATE) TUCSON, AZ. | | INVENTION AGREEMENT DATE 05-17-1999 |

STATE OF Arizona)
COUNTY OF Pima) SS:
On Jan. 12, 2004 before me, Aline C. Zimmerman
a Notary Public in and for said State, personally appeared Matthew H. Bosse

☐ personally known to me or ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public Aline C. Zimmerman

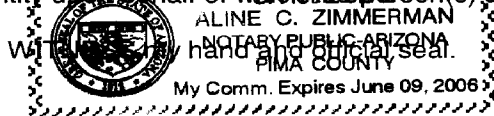
IN WITNESS WHEREOF, I have executed this instrument.

| | | |
|--|--|--|
| FULL NAME OF SOLE OR JOINT INVENTOR RANAPRATAP LAVU | INVENTOR'S SIGNATURE <i>Ranapratap Lavu</i> | DATE 1-9-04 |
| RESIDENCE (CITY AND STATE) Tucson, AZ. | | INVENTION AGREEMENT DATE 10-17-1977 |

STATE OF Arizona)
COUNTY OF Pima) SS:

On Jan 9, 2004 before me, *Aline C. Zimmerman*,
a Notary Public in and for said State, personally appeared *Ranapratap Lavu*

☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Notary Public *Aline C. Zimmerman*

| | | |
|---|---|--|
| FULL NAME OF SOLE OR JOINT INVENTOR JOHN F. MICHEL | INVENTOR'S SIGNATURE <i>John F. Michel</i> | DATE 9 JAN 04 |
| RESIDENCE (CITY AND STATE) TUCSON, AZ. | | INVENTION AGREEMENT DATE 07-07-1988 |

STATE OF Arizona)
COUNTY OF Pima) SS:

On Jan 9, 2004 before me, *Aline C. Zimmerman*,
a Notary Public in and for said State, personally appeared *John F. Michel*

☐ personally known to me or ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public *Aline C. Zimmerman*