



2-19-04

Docket No. 17658 CIP (OCU) 52

# RECORDATION FORM COVER SHEET

2/17/04<sup>PI</sup>

02-26-2004

TO: THE COMMISSIONER OF PATENTS AND



Please record the attached original document(s) or copy, number 102678032

1. Submission Type:

NEW

2. Conveyance Type:

Assignment

License

Merger

Security Agreement

Change of Name

Other: \_\_\_\_\_

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. DAVID A. WEBER	JANUARY 26, 2004
2. INGRID KANE	FEBRUARY 13, 2004
3. MIKE REHAL	JANUARY 27, 2004
4. ROBERT L. LATHROP III	FEBRUARY 16, 2004
5. JEFFREY ETTER	JANUARY 30, 2004
6. KENNY APTEKAREV	FEBRUARY 7, 2004

Additional Conveying Parties Attached.

4.

RECEIVING PARTIES
Names of Receiving Parties
Name: Allergan, Inc.
Address 1: 2525 Dupont Drive
Address 2: Irvine, CA 92612

Additional Receiving Parties Attached.

5.  If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

02/25/2004 DBYRNE 00000063 010885 10666872  
 01 FC:0021 40.00 DA

6.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS	
Name:	
Address	

7.

CORRESPONDENCE NAME AND ADDRESS	
Name: Stephen Donovan (T2-7H)	
Address 1: Allergan, Inc.	
Address 2: 2525 Dupont Drive, Irvine, CA 92612	
Telephone 714-246-4026 and Fax 714-246-4249	

8. Total Number of pages of the conveying document, including attachments: **7 pages**

9.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number	Patent Number
Application Number	Patent Number

10. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: **METHODS AND APPARATUS FOR DELIVERY OF OCULAR IMPLANTS**

**Docket No.: 17658 CIP (OCU)**

Date of Execution by First Inventor: JANUARY 26, 2004

11. Total Number of Properties Involved: **2**

12. The fee amount (37 CFR §3.41) of **\$ 40.00**

- may be debited from our Deposit Account No. 01-0885.
- is enclosed as check no. \_\_\_\_\_.

13.  The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE  Date: 2/17/04  
TYPED or PRINTED NAME: STEPHEN DONOVAN, ESO. REGISTRATION NO. 33,433

**CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this Assignment and the additional documents enclosed herein are being deposited with the United States Postal Service on this date February 17, 2004 in an envelope as "Express Mail Post Office to Addressee" Mailing Label number EV193720813US addressed to MS: Missing Parts, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: February 17, 2004 Susan Bartholomew  
*Name of person mailing paper*

  
*Signature of person mailing documents*

**ASSIGNMENT**

WHEREAS I/WE, **DAVID A. WEBER** of Contra Costa County, California, **INGRID KANE** of Santa Clara County, California, **MIKE REHAL** of Santa Cruz County, California, **ROBERT L. LATHROP III** of Santa Clara County, California, **KENNY APTEKAREV** of Santa Cruz County, California and **JEFFREY ETTER** of Alameda County, California, (hereinafter referred to as ASSIGNOR), have invented a certain invention entitled **METHODS AND APPARATUS FOR DELIVERY OF OCULAR IMPLANTS**, for which application for Letters Patent of the United States was filed with the U.S. Patent & Trademark Office on September 18, 2003 as Serial No. 10/666,872.

WHEREAS, Allergan, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

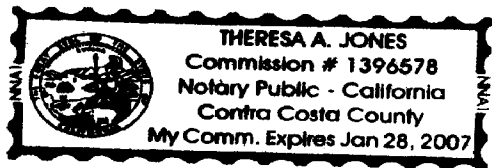
January 26, 2004.

David A. Weber  
DAVID A. WEBER

State of California )  
County of Contra Costa )

On January 26, 2004 before me, Theresa A. Jones  
Notary Public, personally appeared David A. Weber  
personally known to me (or proved to me on the basis of satisfactory evidence) to be  
the person(s) whose name are/is subscribed to the within instrument and  
acknowledged to me that they/he/she executed the same in their/his/her authorized  
capacity(ies), and that by their/his/her signature on the instrument the person(s), or  
the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Theresa A. Jones  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

\_\_\_\_\_, 2004.

\_\_\_\_\_  
INGRID KANE

State of California )  
County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
Notary Public, personally appeared \_\_\_\_\_, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

Leslie Herbert, 2004.

\_\_\_\_\_  
DAVID A. WEBER

State of California )

County of <sup>LA</sup> Santa Clara

On <sup>LA</sup> February 13, 2004 before me, <sup>LA</sup> LES

Notary Public, personally appeared \_\_\_\_\_, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name are/is subscribed to the within instrument and acknowledged to me that they/he/she executed the same in their/his/her authorized capacity(ies), and that by their/his/her signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

Leslie Herbert, 2004.

Ingrid Kane  
\_\_\_\_\_  
INGRID KANE

State of California )

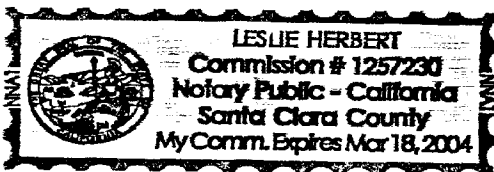
County of Santa Clara

On February 13, 2004 before me, LESLIE HERBERT

Notary Public, personally appeared INGRID KANE, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name are/is subscribed to the within instrument and acknowledged to me that they/he/she executed the same in their/his/her authorized capacity(ies), and that by their/his/her signature on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Leslie Herbert  
\_\_\_\_\_  
Notary Public



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

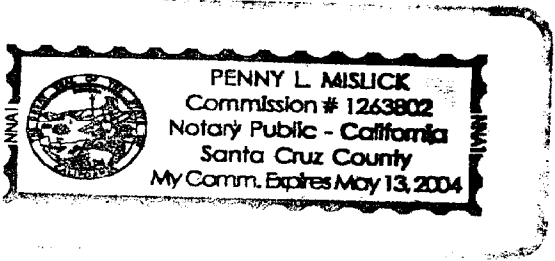
1/27/, 2004.

Mike Rehal  
MIKE REHAL

State of California )  
County of SANTA CRUZ

On JANUARY 27, 2004 before me, PENNY L MISLICK,  
Notary Public, personally appeared MIKE REHAL, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Penny L. Mislack  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

\_\_\_\_\_, 2004.

\_\_\_\_\_  
ROBERT L. LATHROP III

State of California )  
County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
Notary Public, personally appeared \_\_\_\_\_, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

\_\_\_\_\_, 2004.

\_\_\_\_\_  
MIKE REHAL

State of California     )  
  )  
County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Notary Public, personally appeared \_\_\_\_\_, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

2/16/, 2004.

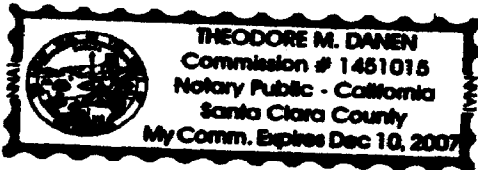
*Robert L. Lathrop III*  
ROBERT L. LATHROP III

State of California     )  
County of SANTA CLARA

On 2-16-04 before me, THEODORE M. DANEN  
Notary Public, personally appeared ROBERT L. LATHROP III, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

*Theodore M. Danen*  
Notary Public



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

\_\_\_\_\_, 2004.

\_\_\_\_\_  
KENNY APTEKAREV

State of California )  
  )  
County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_,  
Notary Public, personally appeared \_\_\_\_\_, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

January 30, 2004.

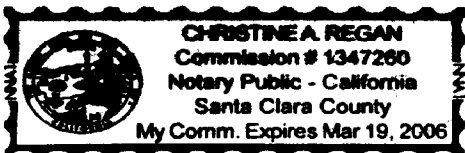
\_\_\_\_\_  
JEFFREY ETTER

State of California )  
County of Santa Clara )

On January 30, 2004 before me, Christine A. Regan  
Notary Public, personally appeared Jeffrey Etter, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Christine A. Regan  
Notary Public





IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

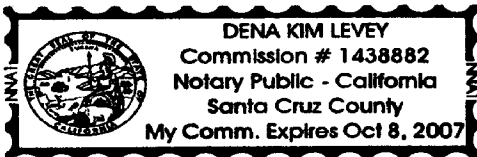
2/7/04, 2004.

[Signature]  
KENNY APTEKAREV

State of California )  
County of SANTA CRUZ

On February 7, 2004 before me, Dena Kim Levey  
Notary Public, personally appeared Kenny Aptekarev, personally known to me  
(~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name  
are/is subscribed to the within instrument and acknowledged to me that they/he/she  
executed the same in their/his/her authorized capacity(ies), and that by their/his/her  
signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

\_\_\_\_\_, 2004.

\_\_\_\_\_  
JEFFREY ETTER

State of California )  
County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
Notary Public, personally appeared \_\_\_\_\_, personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person(s) whose name  
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signature on the instrument the person(s), or the entity upon behalf of which the  
person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public