

RECORDATION FORM COVER SHEET

PA

02-27-2004

AID

Our Ref.: 3911-17

10/470155

Mail Stop Assignment Recordation Services

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

1-29-04



102680047

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

William Alexander Denny      Jared Bruce John Milbank  
William Robert Wilson      Ralph James Stevenson  
David Charles Ware  
Graham John Atwell

2. Name and address of receiving party(ies):

Name: Auckland Uniservices Limited

Internal Address:

Street Address: 58 Symonds Street

City: Auckland

State/Country: New Zealand

Zip:

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment      ☐ Merger  
☐ Security Assignment      ☐ Change of Name  
☐ Other

Execution Date: December 18, 2003; December 19, 2003; December 17, 2003; December 5, 2003; December 17, 2003; January 14, 2004; and December 5, 2003, respectively

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

- (1) 10/470,155  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_

B. Patent No(s).

- (1) \_\_\_\_\_  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Leonard C. Mitchard

Internal Address:

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8<sup>th</sup> Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140.**

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leonard C. Mitchard

Name of Person Signing

Reg. No. 29,009

Signature

January 29, 2004

Date

Total number of pages including **original** cover sheet, attachments, and document:

[7]

3911-17

**ASSIGNMENT FOR PATENT APPLICATION**  
**(U.S. Rights Only)**

WHEREAS, William Alexander Denny, William Robert Wilson, David Charles Ware, Graham John Atwell, Jared Bruce John Milbank, and Ralph James Stevenson, (hereinafter ASSIGNORS) of 165 Gossamer Drive, Pakuranga, Auckland, New Zealand 1706; 102 Alfred Street, Onehunga, Auckland, New Zealand, 5 Wesley Bay Glade, Hillsborough, Auckland, New Zealand, 192 Gowing Drive, Meadowbank, Auckland, New Zealand, ~~225 Essex Road, Mount Eden, Auckland, New Zealand~~ <sup>7150 Palmer Street, Dexter, MI, 48130, USA JEM</sup>, and 302/9 Sarawia Street, Newmarket, Auckland, New Zealand, respectively, have made an invention entitled ANTI-CANCER 2,3-DIHYDRO-1H-PYRROLO[3,2-F]QUINOLINE COMPLEXES OF COBALT AND CHROMIUM for which a so-entitled application for Letters Patent of the United States is being concurrently executed herewith; was filed in the United States Patent and Trademark Office on July 24, 2003 under Serial No. 10/470,155;

WHEREAS, Auckland Uniservices Limited (hereinafter ASSIGNEE), a corporation of the Country of New Zealand, having an office and place of business at 58 Symonds Street, Auckland, New Zealand is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, ASSIGNORS' entire right, title and interest in the United States of America as defined in 35 U.S.C. § 100, in and to the invention as described in the aforesaid application and to the said application and to all subsequent applications based thereon including any and all continuations, divisions, reissues, and substitutes of said application, and all resulting patents, and the ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention;

ASSIGNORS hereby agree to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

AGREED and executed as noted below:

793364

3911-17

18th Dec 2003

Date

WGA

William Alexander Denny

Witnessed by:

Name:

Ellen Denny

Date:

18 December 2003

Name:

B. Bagnley

Date:

18 Dec 2003

19th Dec 2003

Date

W. R. Wilson

William Robert Wilson

Witnessed by:

Name:

B. Bagnley

Date:

19 Dec 2003

Name:

M. B. Spill

Date:

19 Dec. 2003

Date

David Charles Ware

Witnessed by:

Name:

Date:

Name:

Date:

17th Dec. 2003

Date

Graham John Atwell

Witnessed by:

Name:

R. M. Thompson

Date:

17th Dec. 2003

Name:

L. C. M.

Date:

17th Dec 2003

December 5, 2003

Date

Jared Bruce John Mijbank

Jared Bruce John Mijbank

Witnessed by:

Name:

Z. J. 35

Date:

December 5, 2003

Name:

John Atwell

Date:

December 5, 2003

17th Dec. 2003

Date

R. J. Stevenson

Ralph James Stevenson

Witnessed by:

Name:

Lee B. P.

Date:

17th Dec. 2003

Name:

Loann Temel

Date:

17 December 2003

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Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Date

William Robert Wilson

Witnessed by:

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Jan 14, 2004  
DateDavid Charles Ware  
David Charles Ware

Witnessed by:

Name: MICHAEL PATRICK HAY Date: JAN 14, 2004.

Name: MARUTA BOYD Date: JAN 14, 2004

Date

Graham John Atwell

Witnessed by:

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Date

Jared Bruce John Milbank

Witnessed by:

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Date

Ralph James Stevenson

Witnessed by:

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

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Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Date

David Charles Ware

Witnessed by:

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Date

Graham John Atwell

Witnessed by:

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_

December 5, 2003

Date

Jared Bruce John Milbank

Jared Bruce John Milbank

Witnessed by:

Name: John Atwell Date: December 5, 2003Name: John Atwell Date: December 5, 2003

Date

Ralph James Stevenson

Witnessed by:

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_