

01-14-2004

PATENTS ONLY

T



R PATENTS

PATENTS ONLY

Please 102645155

ant or copy thereof.

1. Name of Party(ies) conveying an interest:

Avtar S. Dhindsa

1-12-04

2. Name and Address of Party(ies) receiving an interest:

Name: INNON Holdings, LLC

Internal Address:

Street Address:

City: Valparaiso

State/Zip: Indiana

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

Additional name(s) and addresses attached?

☐ Yes ☒ No

3. Description of the interest conveyed:

☒ Assignment☐ Change of NameOther: *CORRECTIVE OF*☐ Security Agreement☐ Merger*PLACE OF BUSINESS*

Execution Date: December 31, 2003

4. Application number(s) or patent number(s). Additional sheet attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

Date

A. Patent Application No.(s)

B. Patent No.(s)  
6,405,863JUN 12 AM 9:57  
OPR/FINANCEAdditional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40

☒ Enclosed☐ Authorized to be charged to Deposit Account No. 23-19258. ☒ Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 23-1925.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph F. Hetz, Reg. No. 41.070

Name of Person Signing

Signature

January 7, 2004

Date

Total number of pages including cover sheet, attachments, and document: 2

01/13/2004 LHMILLER 00000161 6405863

01 FC:8021

40.00 DP

700104253

PATENT  
REEL: 015017 FRAME: 0056

Case No. 10672-5**ASSIGNMENT**

WHEREAS, Avtar S. Dhindsa (hereinafter called the "Assignor") owns all right, title and interest in United States Patent No. 6,405,863 issued on June 18, 2002, and entitled "SURGICAL INSTRUMENT TRAY AND SYSTEM," including any reexaminations, reissues, continuations, or divisions thereof (hereinafter called the "Patent");

WHEREAS, INNON Holdings, LLC, a limited liability company organized and existing under the laws of the State of Delaware, having a place of business at Valparaiso, Indiana, (hereinafter called the "Assignee") desires to acquire all right, title, and interest to the Patent.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, all right, title, and interest in the Patent to the Assignee including all income, royalties, damages and payments now or hereafter due or payable with respect thereto, and to all causes of action (either in law or in equity) and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this Agreement.

The Assignor agrees to sign or otherwise execute any document necessary to perfect this assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this agreement.

By:   
Avtar S. Dhindsa

Date: 12/31/03

TRANSMITTAL LETTER			Case No. 10672-5
Serial No. 09/761,612	Filing Date January 17, 2001	Examiner Luan K. Bui	Group Art Unit 3728
Inventor(s) Avatar S. Dhindsa			
Title of Invention SURGICAL INSTRUMENT TRAY AND SYSTEM			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Assignment Recordal Cover Sheet; Assignment; \$40 Check; and Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$40 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

\_\_\_\_\_  
Joseph F. Hebl  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450, on January 7, 2004

Date: January 7, 2004

Signature: \_\_\_\_\_

rev. Dec.-00  
Y:\jhl\10672\10672-5 \l pw re assignment recordal.doc

TRANSMITTAL LETTER			
Serial No. 09/761,612	Filing Date January 17, 2001	Examiner Luan K. Bui	Case No. 10672-5 Group Art Unit 3728
Inventor(s) Avtar S. Dhindsa			
Title of Invention SURGICAL INSTRUMENT TRAY AND SYSTEM			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Assignment Recordal Cover Sheet; Assignment; \$40 Check; and Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 43=			x \$86=	
+\$145=			+\$290=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$40 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

\_\_\_\_\_  
Joseph F. Helt  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450, on January 7, 2004

Date: January 7, 2004 Signature: \_\_\_\_\_

rev. Dec. 00  
Y:\fm\10672\10672-5 # pw re assignment recordal.doc

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 30, 2004

PTAS



\*102506855A\*

BRINKS HOFER GILSON & LIONE  
JOHN F. HETZ  
P.O. BOX 10395  
CHICAGO, IL 60610**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/21/2003

REEL/FRAME: 014289/0580  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

DHINDSA, AVTAR S.

DOC DATE: 07/10/2003

## ASSIGNEE:

INNON HOLDINGS, LLC  
PORTER, INDIANASERIAL NUMBER: 09761612  
PATENT NUMBER: 6405863FILING DATE: 01/17/2001  
ISSUE DATE: 06/18/2002MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS**PATENT  
REEL: 015017 FRAME: 0060**

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 20, 2004

BRINKS HOFER ILSON & LITONE  
JOSEPH F. HEIZ  
P.O. BOX 10395  
CHICAGO, IL 60610



\*102645155A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 102645155

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. A COPY OF THE ORIGINAL COVER SHEET IS REQUIRED IN ORDER TO CORRECT AN ERROR IN A PREVIOUSLY RECORDED DOCUMENT. PLEASE RESUBMIT ALL PAGES VIA OUR RIGHT-FAX SERVICE AT 703-306-5995.

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**PATENT**

**BRINKS  
HOFFER  
GILSON  
& LIONE**

**FACSIMILE COVER SHEET**

Date: August 11, 2004

To: Assignment Division, USPTO  
Fax No: (703) 306-5995

From: Joe Hetz  
Tel. No: (312) 321-4719

Client No: 10672

No. of Pages  
(inc. this page): 7

Confirmation Copy To Follow: Yes \_\_\_\_\_ No   X  

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
PLEASE CALL 312-321-4200 AND ASK FOR: Joe Hetz

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

**COVER MESSAGE:**

**Regarding Document ID No. 102645155, enclosed is a copy of the original cover sheet and all previously-submitted pages.**

A Professional Corporation  
Intellectual Property Attorneys

NBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, Illinois 60611-5599  
Facsimile 312-321-4299  
Telephone 312-321-4200

San Jose, CA  
Indianapolis, IN  
Ann Arbor, MI  
Arlington, VA