

Form PTO-1595 (Rev. 06/04)  
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U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)/Execution Date(s):

Dana Corporation

Execution Date(s) January 31, 2000

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: GKN Automotive, Inc.

Internal Address: \_\_\_\_\_

Street Address: 3300 University Drive

City: Auburn Hills

State: Michigan

Country: USA Zip: 48326-2362

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

6,533,669 (Divisional of Patent No. 6,368,224; Claiming Priority to Provisional Application 60/114,339 Titled "CV Hard Seal")

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Jennifer M. Brumbaugh

Internal Address: \_\_\_\_\_

Street Address: 3300 University Drive

City: Auburn Hills

State: Michigan Zip: 48326-2362

Phone Number: 248-364-7716

Fax Number: 248-364-7710

Email Address: Jennifer.Brumbaugh@gkndriveline.com

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 07-1360

Authorized User Name Jennifer M. Brumbaugh

### 9. Signature:



Signature

July 30, 2004

Date

Jennifer M. Brumbaugh, Reg. No. 48,465

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

700104625

PATENT  
REEL: 015017 FRAME: 0832

CH \$40.00 071360 6533669

## PATENT ASSIGNMENT

This PATENT ASSIGNMENT (this "Assignment") is made and entered into this 31<sup>st</sup> day of January, 2000 (the "Effective Date") by and between Dana Corporation, a Virginia corporation, having a place of business at Toledo, Ohio 43697 (the "Assignor"), and GKN Automotive, Inc., a Delaware corporation, having a principal place of business at 3300 University Drive, Auburn Hills, Michigan 48326-2362, (the "Assignee").

WHEREAS, Assignor and Assignee are parties to that certain Asset Purchase Agreement dated as of August 11, 1999, ("Asset Purchase Agreement"), pursuant to which Assignor has agreed to sell and Assignee has agreed to purchase the assets, properties and rights pertaining to the Business as defined in the Asset Purchase Agreement; and

WHEREAS, Assignor is the sole and exclusive owner of the entire right, title and interest in, to and under those United States patents and patent applications identified and set forth on Schedule A, and the foreign patents and patent applications identified and set forth on Schedule B (collectively, the "Patents"); and

WHEREAS, Assignee wishes to acquire and Assignor wishes to assign all right, title and interest in an to the Patents, together with all foreign rights corresponding thereto, as hereinafter more fully set forth.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee, each intending to be legally bound, hereby agree as follows:

1. Assignor does hereby sell, assign, transfer and set over to Assignee, the entire right, title and interest in and to the inventions noted in Schedules A and B attached hereto and to the Patents, for the United States and for all foreign countries, including any patents that may issue thereon, and any continuations, divisions, continuations-in-part, reissues, reexaminations, extensions or foreign equivalents thereof for Assignee's own use and enjoyment and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made, together with all income, royalties, damages or payments due or payable as of the Effective Date or thereafter, including, without limitation, all claims for damages by reason of past, present or

future infringement or other unauthorized use of the Patents, with the right to sue for, and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, or other legal representatives. The terms and conditions of Section 2.2.6 and Schedule 4, Section II (Intellectual Property) of the Asset Purchase Agreement are incorporated herein by this reference.

2. Assignor authorizes and requests the Commissioner of Patents and Trademarks, or, in the case of countries outside the United States, the analogous individual or agency responsible for patents, to record Assignee as owner of the Patents, including any continuations, divisions, continuations-in-part, reissues, reexaminations or extensions thereof, and to issue any and all letters patent thereon to Assignee, as assignee of the entire right, title and interest in, to and under the same, for the sole use and enjoyment of Assignee, its successors, assigns or other legal representatives.

3. Assignor shall provide Assignee, its successors, assigns or other legal representatives, cooperation and assistance at Assignee's request and expense: (i) in the preparation and prosecution of any applications covering the inventions assigned herein; (ii) in the prosecution or defense of any interference, opposition, reexamination, reissue, infringement or other proceedings that may arise in connection with any of the patent rights assigned herein; and (iii) in the implementation or perfection of this Assignment.

4. In the event of a conflict or ambiguity between the terms and conditions of this Assignment and the terms and conditions of the Asset Purchase Agreement, the terms and conditions of the Asset Purchase Agreement shall control.

\* \* \* \* \*

IN TESTIMONY WHEREOF, Assignor and Assignee have caused this Assignment to be signed and executed by the undersigned officers thereunto duly authorized this 31<sup>st</sup> day of January, 2000.

DANA CORPORATION


GKN AUTOMOTIVE, INC.

By: Sue A. Griffin  
Name: Sue A. Griffin  
Title: Assistant Secretary

By: Mick A. Nylander  
Name: MICK A. NYLANDER  
Title: Secretary

STATE OF OHIO )  
 ) SS.  
COUNTY OF LUCAS )

On this 31<sup>st</sup> day of January, 2000, there appeared before me Sue A. Griffin, personally known to me, who acknowledged that ~~he~~/she signed the foregoing Assignment as ~~his~~/her voluntary act and deed on behalf and with full authority of Dana Corporation.

Carol A. Collum  
Notary Public  
 CAROL A. COLLUM  
Notary Public, State of Ohio  
My Commission Expires June 11, 2002

STATE OF MICHIGAN )  
 ) SS.  
COUNTY OF OAKLAND )

On this 31<sup>st</sup> day of January, 2000, there appeared before me MICK A. NYLANDER, personally known to me, who acknowledged that he/she signed the foregoing Assignment as his/her voluntary act and deed on behalf and with full authority of GKN Automotive, Inc..

Kathleen Ann Sheere  
Notary Public  
KATHLEEN ANN SHEERE  
Notary Public, Macomb County, MI  
Acting in Oakland County, MI  
My Commission Expires October 14, 2003

## SCHEDULE A

U. S. Patents

<u>Title</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Case No.</u>
CROSS GROOVE CONSTANT VELOCITY JOINT CAGE HAVING ANGLED BALL RETAINING WINDOWS	5,292,285	03/08/94	2922
CROSS GROOVE CONSTANT VELOCITY JOINT HAVING FIXED CENTER	5,647,800	07/15/97	2966-1
HIGH SPEED CV BOOT RETAINER	5,230,660	07/27/93	3192
VEHICLE DRIVE TRAIN INCORPORATING A PLUNGING CONSTANT VELOCITY JOINT	5,899,814	05/04/99	4090
CV HARD SEAL                      App. No. 114339	Pending		4214
CONSTANT VELOCITY UNIVERSAL JOINT SHAFT AND INNER RACE COMBINATION	5,807,180	09/15/98	4219
FLEXIBLE BOOT ASSEMBLY FOR A CONSTANT VELOCITY JOINT                      App. No. 145356	Pending		4454
ONE-PIECE CV INNER RACE AND SHAFT	Closed		4554
CV BALL TRACK INSERTS	Open		4646
CV HEAT DISSIPATOR	Open		4690
CONSTANT VELOCITY UNIVERSAL JOINT HAVING HOLLOW BALLS                      App. No. 422512	Pending		4719
HIGH SPEED FIXED CENTER CV	Open		4824

## SCHEDULE B

Foreign Patents and Patent Applications

<u>Title</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Country</u>	<u>Case No.</u>
CROSS GROOVE CONSTANT VELOCITY JOINT	Published		BR	2922
CAGE HAVING ANGLED BALL RETAINING WINDOWS	Pending		CA	
	Pending		DE	
	2267327	07/19/95	GB	
	Published		JP	
	183976	02/07/97	MX	
CROSS GROOVE CONSTANT VELOCITY JOINT	PI9202650	12/29/98	BR	2966
HAVING FIXED CENTER			CA	
			DE	
	9210293	03/24/95	FR	
	2259129	03/08/95	GB	
			JP	
	225740	07/21/99	KR	
	184149	03/12/97	MX	
	NI57888	12/01/92	TW	
HIGH SPEED CV BOOT RETAINER	648007	08/16/94	AU	3192
	PI9203374	06/18/96	BR	
			CA	
	24786	09/09/92	CO	
			DE	
	9210489	03/17/95	FR	
	2259349	10/12/95	GB	
			JP	
	181416	09/02/92	MX	
	UM-97465	05/24/95	TW	
	Pending		VE	
VEHICLE DRIVE TRAIN INCORPORATING A	Pending		DE	4090
PLUNGING CONSTANT VELOCITY JOINT	Pending		FR	
FLEXIBLE BOOT ASSEMBLY FOR A CONSTANT	Pending		IN	4454
VELOCITY JOINT				