FORM PTO-1595 U.S. DEPARTMENT OF COMMERCE 03-04-2004 **Patent and Trademark Office** MAR 0 1 2004 Docket No. GC772-3 102683825 To the Assistant Commissioner Patents: Please record the attached original documents or copy thereof. Name of conveying party(ies): Anthony Day, 2. Name and address of receiving party(ies): Frits Goedegebuur, Peter Gualfetti, Colin Mitchinson, Paulien Neefe, Mats Sandgren, Andrew Shaw, and Jerry Stahlberg Name: GENENCOR INTERNATIONAL, INC. 3.1-6U Address: 925 Page Mill Road Additional name(s) of conveying party(ies) attached? 

Yes 

No Palo Alto, CA 94304-1013 3. Nature of conveyance: Assignment ☐ Merger Security Agreement ☐ Change of Name Additional name(s) & address(es) attached? 

Yes ☐ Other Execution Date: 1/16/04, 1/19/04, 1/23/04, 1/27/04, and 1/29/04 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) Patent No.(s) 10/641.678 Additional numbers attached? ☐ Yes ☑ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: 7. Total fee (34 CFR 3.41) ..... \$ 40.00 Name: Victoria L. Boyd Address: **GENENCOR INTERNATIONAL, INC.** Enclosed X 925 Page Mill Road Authorized to be charged to deposit account Palo Alto, California 94304-1013 07-1048 8. Deposit Account number: (Attach duplicate copy of this page if paying by deposit account.) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

> Victoria & Boy L Signature

Victoria L. Boyd

<del>FC:8021</del>

Name of Person Signing

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Total number of pages including cover sheet: 9

PATENT REEL: 015023 FRAME: 0358

February 26, 2004

Date

## **Assignment**

WHEREAS, Anthony Day, residing at 551 Monterey Blvd., San Francisco, California 94127; Frits Goedegebuur, residing at Rozenlaan 128, Vlaardingen 3135 XV, The Netherlands; Peter Gualfetti; residing at 1735 Van Ness Avenue, #302, San Francisco, California 94109, Colin Mitchinson, residing at 381 Myrtle Street, Half Moon Bay, California 94019; Paulien Neefe, residing at Basalt 48, Zoetermeer 2719TN, The Netherlands, Mats Sandgren, residing at Rabeniusvagen 20, Uppsala SE-756 55, Sweden; Andrew Shaw; residing at 2560 Hyde Street, San Francisco, California 94109 and Jerry Stahlberg, residing at Malma Skogsvag 3A, Uppsala, Sweden SE-756 45, has/have invented a new and useful invention entitled Novel Variant Hypocrea jecorina CBH1 Cellulases and an application for United States Letters Patent was filed on August 15, 2003, United States Serial No. 10/641,678; and

WHEREAS, **Genencor International, Inc.**, a corporation organized and existing under and by virtue of the laws of the State of Delaware, having its principal place of business at 925 Page Mill Road, Palo Alto, California 94304-1013, is desirous of acquiring an interest in and to said invention, and in the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern:

That for good and valuable consideration the receipt of which is hereby acknowledged, the said inventors have and do hereby sell, assign, transfer and set over unto the said Genencor International, Inc., its successors and assigns, the full and exclusive right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but for, to and in all other countries, and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said Genencor International, Inc. in accordance with this Assignment.

Said Inventor/Inventors hereby covenant/covenants and agree/agrees to cooperate with Genencor International, Inc. to enable said Genencor International, Inc. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers and other assistance, all to the extent deemed necessary or desirable by said Genencor International, Inc. for (a) perfecting the right, title and interest herein conveyed, (b) prosecuting any of said applications, (c) filing and prosecuting applications for reissuance of any

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said patents, (d) interference or other priority proceedings involving said invention, and (e) legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions, provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Genencor International, Inc.

The terms and covenants of this Assignment shall inure to the benefit of said Genencor International, Inc., its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their/his/her respective heirs, legal representatives and assigns.

Said Inventors hereby warrant and represent that they/he/she have not entered and will not enter into any assignment, contract or understanding in conflict herewith.

IN WITNESS WHEREOF I undersign as follows:

Anthony Day

STATE OF CALIFORNIA COUNTY OF SANTA CLARA

On Anthony Day personally known to me of proven to me on the basis of satisfactory evidence to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity for the purposes set forth therein.

Witness my hand and official seal.

KAY L. GAVIGLIO
Commission # 1350114
Notary Public - California
Santa Clara County
My Comm. Expires Apr 9, 2006

Peter Gualfetti

STATE OF CALIFORNIA COUNTY OF SANTA CLARA

Witness my hand and official seal.

And the same of th

GAVIGLIO

Impaion # 1350114

Cublic - California
Clara County
Expires Apr 9, 2006

IN WITNESS WHEREOF I undersign as follows:

Colin Mitchinson

STATE OF CALIFORNIA COUNTY OF SANTA CLARA

On \_\_\_\_\_\_\_, 2004, before me, \_\_\_\_\_\_\_, Notary Public, personally appeared, <u>Colin/Mitchinson</u> personally known to me of proven to me on the basis of satisfactory evidence to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity for the purposes set forth therein.

Witnesa my hand and officiat)seal.

KAY L. GAVIGLIO
Commission # 1350114
Notary Public - California
Santa Clara County
My Comm. Expires Apr 9, 2006

Andrew Shaw

KAY L. GAVIGLIO
Commission # 1350114
Notary Public - California
Sazus Chara County
by Carrier Dispins Apr 9, 2006

STATE OF CALIFORNIA COUNTY OF SANTA CLARA

On 1-16-64, 2004, before me, Landson, Notary Public, personally appeared, Andrew Shaw personally known to me or proven to me on the basis of satisfactory evidence to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity for the purposes set forth therein.

Witness my hand and official seal.

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Signed at <u>Leidel</u> this <u>23</u> day of <u>ANUARY</u>, 2004.

rits Goedegebuur

WITNESSED BY:

Signed at Let den this 23 day of January, 200

/: Partion Novice

WITNESSED BY:

Signature: \_\_

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Signed at Uppsala

this 19 day of Tetrary 2004.

Ву:

Mats Sandgren

WITNESSED BY:

Signature:

111111 (1710063301

this 19 day of February, 2004.

WITNESSED BY:

Printed Name of Witness: Emma

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GC772-3 Assignment

**RECORDED: 03/01/2004** 

**PATENT** REEL: 015023 FRAME: 0366