

03-05-2004

ET

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 6502.0565-00
Attorney Customer Number: 22,852To the Director of the U.S. Patent :
Please record the attached origina

102685548

Mail Stop Assignment Recordation Services

1. Name of conveying party(ies):
Kevin Faulkner
Wai Yim
Rod DeKoning
David Kopper

2/27/04

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

17497 U.S. PTO
10787217
p22704Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address:

3. Nature of conveyance:

Street Address: 4150 Network Circle

☒ Assignment☐ Merger

City: Santa Clara

☐ Security
Agreement☐ Change of Name

State: CA Zip Code: 95054

☐ Other:

Additional name(s) & Address(es) attached?

Execution Date: February 26, 2004 and February 27, 2004

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: February 26, 2004 and February 27, 2004

A. Patent Application Number(s):

B. Patent Number(s):

Additional numbers attached?

☐ Yes ☒ No

10787217

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey A. Berkowitz

03/03/2004 MAHME1 00000052 10787217

03 FC:8021

40.00 DP

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT
& DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington, D.C.

State: Zip: 20005-3315

6. Total number of applications and registrations involved:
One

7. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)☐ Authorized to be charged to deposit account

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph E. Palys

Reg. No. 46,508

Signature

February 27, 2004

Date

Total number of pages including cover sheet, attachments and documents: Three

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P. 04

Attorney Docket No. 06502.0565
SOLE/JOINT INVENTION
(Worldwide Rights)

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), (hereinafter referred to as Assignor(s)), have made an invention entitled:

SYSTEMS AND METHODS FOR PROVIDING A STORAGE VIRTUALIZATION ENVIRONMENT
(Title of Invention)

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on _____, 2004 (Serial No. _____); and

WHEREAS, Sun Microsystems, Inc., a corporation of Delaware, United States of America, whose post office address is _____
(Full Name of Assignee) (Country of Incorporation)

1163 Network Circle, Santa Clara, Ca 95054 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

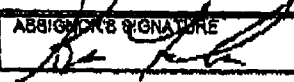
NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. 60/451,054 filed February 28, 2003 (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request the attorneys I/We have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).

1. FULL NAME OF SELF OR FIRST ASSIGNOR Kevin Faulstich	ASSIGNOR'S SIGNATURE 	DATE 2/26/04
ADDRESS Westford, Massachusetts		CITIZENSHIP: USA

Using of Invention Continued on Page 2 hereof ☒ Yes ☐ No

FINNEGAN, HENDERSON, FARABOW, GARNETT & OUNNER, L.L.P. • WASHINGTON, D.C.

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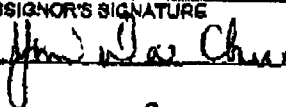
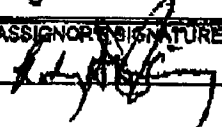

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Attorney Docket No. 06502.0565
SOLE/JOINT INVENTION
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2. FULL NAME OF SECOND ASSIGNOR Wai Yim	ASSIGNOR'S SIGNATURE 	DATE 2/25/04
ADDRESS Merrimack, New Hampshire		CITIZENSHIP
3. FULL NAME OF THIRD ASSIGNOR Rod DeKoning	ASSIGNOR'S SIGNATURE 	DATE 2/26/04
ADDRESS Augusta, Kansas		CITIZENSHIP
4. FULL NAME OF FOURTH ASSIGNOR David Kopper	ASSIGNOR'S SIGNATURE 	DATE 2/27/04
ADDRESS Wichita, Kansas		CITIZENSHIP USA

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