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Form PTO-1595

1-31-92 Patent and Trademark Office

U.S. Department of Commerce

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

Our Ref.: 2590-97

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 Commissioner of Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michel Andre BACHMANN

2. Name and address of receiving party(ies):

Name: Endoart S.A.

Internal Address:

Street Address: Parc Scientifique sur le site de l'EPFL

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Assignment ☐ Change of Name  
☐ Other

City: PSE ECUBLENS (VD)

State/Country: Switzerland

Zip:

Execution Date: January 13, 2004

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

(1) 10/653,508

(2)

(3)

B. Patent No(s).

(1)

(2)

(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Duane M. Byers

Internal Address:

Street Address: Nixon &amp; Vanderhye P.C.

1100 North Glebe Road

8<sup>th</sup> Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications &amp; patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Duane M. Byers

Name of Person Signing

Reg. No. 33,363



Signature

August 2, 2004

Date

Total number of pages including original cover sheet, attachments, and document: [3]

CH \$40.00 141140 10663508

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PATENT  
 REEL: 015030 FRAME: 0707

Boiss/US

Docket No. 80350-1120

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**ASSIGNMENT  
OF UTILITY PATENT APPLICATION**

WHEREAS, the following parties:

Name

Address

BACHMANN, Michel, Andre

Pre Floret  
1126 Vaux Sur Morges  
Switzerland

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements ("invention(s)") as described and set forth in the below-identified utility application for United States Letters Patent entitled:

**SURGICAL RING FEATURING A REVERSIBLE DIAMETER REMOTE CONTROL SYSTEM**

which was:



executed on even date herewith,  
filed with the United States Patent and Trademark Office (USPTO) on September 3, 2003, and assigned Serial No. 10/653,808, and



further described in U.S. Provisional application entitled , filed with the USPTO on , and assigned Serial No.

*Note: Only one of the first two checkboxes will be checked. The third checkbox will be checked, only if appropriate.*

WHEREAS, Endoart S.A., having a place of business at Parc Scientifique sur le site de PEPFL, PSE ECUBLENS (VD), Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in and to said invention(s), said utility application, said provisional application, and any U.S. and foreign patents which are related to the same.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by ASSIGNOR, ASSIGNOR has sold, assigned and transferred and does hereby sell, assign and transfer unto ASSIGNEE, and ASSIGNEE'S successors and assigns, (a) the entire right, title and interest, for the United States of America, in and to said invention(s), said utility application, and said Provisional application and all the rights and privileges in any application and under any and all patents that may be granted in the U.S. for said inventions, including all corresponding provisional, continuation, continuation in part, divisional, reissue, and reexamination applications; and (b) the entire right, title and interest in and to said invention(s), said utility application, and said provisional application for all countries foreign to the U.S., including all rights of priority arising from them, and all the rights and privileges under any and all forms of protection, including patents, that may be granted in said countries foreign to the U.S. for them.

PATENT

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ASSIGNOR authorizes ASSIGNEE to make application for such protection in its own name and maintain such protection in any and all countries foreign to the U.S., and to invoke and claim for any application for patent or other form of protection for said Inventions, without further authorization from ASSIGNOR, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

ASSIGNOR hereby consents that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of ASSIGNEE to apply for patent or other form of protection for said Inventions, said utility application, or said provisional application and to claim the aforesaid benefit of the right of priority.

ASSIGNOR requests that any and all patents for said inventions be issued to ASSIGNEE in the U.S. and to ASSIGNEE in all countries foreign to the U.S., or to such nominee as ASSIGNEE may designate.

ASSIGNOR covenants and agrees that, when requested, ASSIGNOR shall, without charge to ASSIGNEE but at ASSIGNEE'S expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient in connection with the patent applications, patents, or other forms of protection of said invention(s), and for the defense and protection thereof if challenged in the court of law.

ASSIGNOR authorizes ASSIGNEE or its agents to insert, on ASSIGNOR's behalf, the filing date and/or serial number above pertaining to the utility application and/or the provisional application, if not known as of the date of execution of this document.

ASSIGNOR hereby acknowledges a preexisting obligation for this assignment to ASSIGNEE and for the assignment of all related subject matter arising before the making of the invention and improvements disclosed and described in the patent applications.

  
BACHMANN, Michel, AndreDate: 13 janvier 2004  
Christian DUBERT  
Witness