

TO: ASSIGNMENT BRANCH FOR RECORDATION, U.S. PTO
FAX NO.: 703-306-5995

Attorney Docket No. 02307O-128610US

Form PTO-1595

(Rev. 10-02)

OMB No. 0651-0027 (exp. 5/31/2002)

Recordation Form Cover Sheet
PATENTS ONLY

U.S. Department of Commerce
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Ruihong H. Zhang
 Jane C. Turnbull

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: July 19 and 21, 2004

2. Name and address of receiving party(ies)

Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Internal Address: 12th Floor

Street Address: 111 Franklin Street

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/626,034

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Beth L. Kelly
 TOWNSEND AND TOWNSEND AND CREW LLP
 Two Embarcadero Center, Eighth Floor
 San Francisco, California 94111-3834
 Ph: (415) 576-0200
 Fax: (415) 576-0300

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Beth L. Kelly
 Name of Person Signing
 Atty. Reg. No. 51,868

Signature

August 3, 2004
 Date

Total number of pages including cover sheet, attachments and documents: 3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
 Director of the U.S. Patent and Trademark Office
 P.O. Box 1450
 Alexandria, VA 22313-1450

PATENT

REEL: 015038 FRAME: 0914

60275226 700105286

CH \$40.00 201430 10626034

TTC Attorney Docket No. 023070-128610US

ASSIGNMENT

U.C. Case No. 2003-047-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Ruihong H. ZHANG 2. Jane C. TURNBULL
3. _____ 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TREATMENT OF WASTEWATER BY BIOLOGICAL AND MEMBRANE SEPARATION TECHNOLOGIES

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
(c) X U.S. application serial no. 10/626,034, filed on July 23, 2003
(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1. 19th day of July, 2004
2. _____ day of _____, 20____
_____ day of _____, 20____
_____ day of _____, 20____

Signature of Inventor(s)

1. [Signature]
2. _____

rev 7/24/00
SF 150574 v1
60027029 v1

TTC Attorney Docket No. 023070-128610US**ASSIGNMENT**U.C. Case No. 2003-047-2

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and which is found in

(a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors

(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) X U.S. application serial no. 10/626,034, filed on July 23, 2003

(d) _____ U.S. Patent No.: _____, issued _____

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ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the **ASSIGNOR(S)** requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

1. _____ day of _____, 20____

2. 21 day of July, 2004

_____ day of _____, 20____

_____ day of _____, 20____

1. _____

2. Jane C. Turnbull

rev 7/24/00
SF 150574 v1
60027029 v1