03-09-2004 U.S. DEPARTMENT OF COMMERCE Form **PTO-1595** RE( U.S. Patent and Trademark Office (Rev. 10/02) 7 (10 0) OMB No. 0651-9627 (exp. 6/30/2005) 102688354 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Name: Avanza Technologies, Inc. Philippe Richard Internal Address: Additional name(s) of conveying party(les) attached? Yes VNo 3. Nature of conveyance: Merger ✓ Assignment Street Address: 12028 East Philadelphia Street Security Agreement Change of Name Other\_\_\_ City: Whittier State: CA Zip: 90601 02/23/2004 Execution Date: Additional name(s) & address(es) attached? Yes V No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:\_\_\_\_\_ A. Patent Application No.(s) 10/699,632 B. Patent No.(s) Additional numbers attached? Yes 🗸 No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41).....\$40.00 Roberts Abokhair & Mardula, LLC ✓ Enclosed Internal Address:\_\_\_\_\_ Authorized to be charged to deposit account 8. Deposit account number: Street Address: 11800 Sunrise Valley Dr 18-1579 Suite 1000 City: Reston State: VA Zip: 20191 DO NOT USE THIS SPACE 9. Signature.

> Total number of pages including cover sheet, attachments, and documents: 4 Mall documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

Elliott D. Light Reg. 51,948 Name of Person Signing

PATENT

03/04/2004

Date

REEL: 015040 FRAME: 0304

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Philippe RICHARD

Serial No.: 10/699,632 Group Art Unit: 2132

Filed: 11/01/2003 Examiner: Not Yet Assigned

For: MANAGED INFORMATION TRANSMISSION OF ELECTRONIC ITEMS IN A

NETWORK ENVIRONMENT

ASSIGNMENT Pursuant to 37 CFR § 3.56

I Philippe RICHARD, residing at 4200 West Jemez Road, Suite 202A, Los Alamos, NM 87544, for good and valuable consideration, the sufficiency of which is hereby acknowledged, do hereby sell, assign and transfer unto Avanza Technologies, Inc., a corporation organized under the laws of the State of Delaware, having its principal office at 12028 East Philadelphia Street, Whittier, CA 90601 (hereinafter "ASSIGNEE"), the entire right, title and interest, together with all rights of priority, in and to all my inventions described and/or claimed in (a) the utility patent application filed with the United States Patent and Trademark Office titled "Managed Information Transmission of Electronic Items in a Network Environment", the specification of which was filed on 11/01/2003, and having Serial No. 10/699,632, as well as all divisional and continuation applications claiming priority therefrom and all foreign counterparts thereof, including any application filed in the Patent Cooperation Treaty ("PCT") and any national stage filing resulting from any such PCT filing together with all patents issuing on any of the aforesaid applications, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said patents therefore which may be granted.

I HEREBY AUTHORIZE ASSIGNEE to make application for and to receive patents for said inventions in any foreign countries in its own name, or in my name, at its election.

AND I HEREBY COVENANT AND AGREE that I will execute or procure any further necessary assurance of title to said inventions and any patents which may issue therefore and that I will, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in

Atty. Docket No. 2872-003CIP

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any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to said inventions or any patents which may be granted therefore in ASSIGNEE, its successors, assigns, or other legal representatives, and that I will, at any time, upon the request and at the expense of the ASSIGNEE execute any continuations, divisions, reissues, or any other additional applications for patents for said inventions or any part or parts thereof, all of which applications and any patents issuing thereof are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

AND I HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said inventions, resulting from any of the aforesaid applications to assignee.

WITNESS MY HAND and seal this 33 day of February, 2004.

Phinppe Richard

STATE OF NEW MEXICO

COUNTY OF LOS ALAMOS

On this 3 day of Felyward, 2004 personally appeared before me Philippe Richard to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Notary Public

My commission expires: 2

RECORDED: 03/04/2004

[THIS ASSIGNMENT ENDS WITH THIS PAGE]

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