

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket No. 287.00090101
(06-1672-0101)

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) Robert M. O'BRIEN
- (2) Grant SCHUTTE
- (3) Jeffrey NIEDERST
- (4) John W. CONDIT
- (5) Dawn S. HERKO
- (6) Daniel E. RARDON

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ License
☐ Other _____

2. Name and address of receiving party(ies):

Name: VALSPAR SOURCING, INC.

Street Address: 1101 SOUTH THIRD STREET

City: MINNEAPOLIS State: MN ZIP: 55415

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: 7/30/04

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

Serial No.: 10/817,651

Filed: April 2, 2004

Title: AQUEOUS DISPERSIONS AND COATINGS

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ann M. Mueeting
 Address: MUETING, RAASCH & GEBHARDT, P.A.
 P.O. Box 581415
 Minneapolis, MN 55458-1415

Customer Number 26813

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

DO NOT USE THIS SPACE

9. Statement and signature.


To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

Ann M. Mueeting (33,977)

Name of Person Signing



Signature



Date

Total number of pages including cover sheet, attachments, and document(s): 5

Mail documents to be recorded with required cover sheet information to:
 Commissioner, United States Patent and Trademark Office
 Mail Stop Assignment Recordation
 P.O. Box 1450
 Alexandria, VA 22313-1450

PATENT

REEL: 015075 FRAME: 0536

700108373

CH \$40.00 134895 10817651

Docket: 287.00090101
(06-1672-0101)

ASSIGNMENT

Whereas We, Robert M. O'BRIEN, Grant SCHUTTE, Jeffrey NIEDERST, John W. CONDIT, Dawn S. HERKO, and Daniel E. RARDON, with residences and citizenships as indicated below; have made an invention in

AQUEOUS DISPERSIONS AND COATINGS

Provisional Appln. Serial No.: 60/459,728
U.S. Patent Appln. Serial No.: 10/817,651
PCT Patent Appln Serial No. PCT/2004/10264

Provisional Filing Date: April 2, 2003
Filing Date: April 2, 2004
Filing Date: April 2, 2004

and executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto Valspar Sourcing, Inc. ("Company"), a corporation of Minnesota, having its principal office at 1101 South Third Street, Minneapolis, MN 55415, its successors and assigns, the entire right, title, and interest in and to said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of said applications, to Valspar Sourcing, Inc. as the assignee of the entire right, title, and interest therein.

Assignment

Serial No.: 10/817,651

Filed: April 2, 2004

For: AQUEOUS DISPERSIONS AND COATINGS

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In witness whereof, we have hereunto signed our names on the days and years set forth below.

Robert M. O'Brien
Name: Robert M. O'Brien
Address: 163 Ridge Road, Monongahela, PA 15063
Citizenship: U.S.

7-30-04

Date

STATE OF _____)
) ss.
COUNTY OF _____)

On this 30th day of JULY, 2004, before me personally appeared Robert M. O'Brien, to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Barbara B. Prosdocimo
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Barbara B. Prosdocimo, Notary Public
City Of Pittsburgh, Allegheny County
My Commission Expires June 14, 2008
Member, Pennsylvania Association Of Notaries

Grant Schutte
Name: Grant Schutte
Address: 114 Academy Ave., Pittsburgh, PA 15228
Citizenship: South African

7/30/04
Date

STATE OF _____)
) ss.
COUNTY OF _____)

On this 30th day of JULY, 2004, before me personally appeared Grant Schutte, to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Barbara B. Prosdocimo
Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Barbara B. Prosdocimo, Notary Public
City Of Pittsburgh, Allegheny County
My Commission Expires June 14, 2008
Member, Pennsylvania Association Of Notaries

Assignment

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Filed: April 2, 2004

For: AQUEOUS DISPERSIONS AND COATINGS

Jeffrey Niederst
 Name: Jeffrey Niederst
 Address: 1510 Homer Street, Pittsburgh, PA 15212
 Citizenship: U.S.

7-30-04
 Date

STATE OF _____)
) ss.
 COUNTY OF _____)

On this 30th day of JULY, 2004, before me personally appeared Jeffrey Niederst, to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Barbara B. Prosdocimo
 Notary Public

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
 Barbara B. Prosdocimo, Notary Public
 City Of Pittsburgh, Allegheny County
 My Commission Expires June 14, 2008
 Member, Pennsylvania Association Of Notaries

John W. Condit
 Name: John W. Condit
 Address: 127 Bayberry Lane, Cranberry Township, PA 16066
 Citizenship: U.S.

7/30/04
 Date

STATE OF _____)
) ss.
 COUNTY OF _____)

On this 30th day of JULY, 2004, before me personally appeared John W. Condit, to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Barbara B. Prosdocimo
 Notary Public

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
 Barbara B. Prosdocimo, Notary Public
 City Of Pittsburgh, Allegheny County
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Assignment

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Serial No.: 10/817,651

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For: AQUEOUS DISPERSIONS AND COATINGS

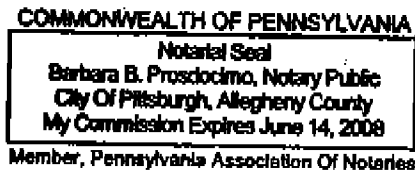
Dawn S. Herko
Name: Dawn S. Herko
Address: 210 Bonnieview Dr., Wexford, PA 15090
Citizenship: U.S.

7/30/04
Date

STATE OF _____)
) ss.
COUNTY OF _____)

On this 30th day of JULY, 2004, before me personally appeared Dawn S. Herko, to me known to be the person described in and who executed the foregoing instrument, and she executed the same for the uses and purposes therein set forth.

Barbara B. Prosdocimo
Notary Public



Daniel E. Rardon
Name: Daniel E. Rardon
Address: 5972 Alder Street, Pittsburgh, PA 15232
Citizenship: U.S.

7/30/04
Date

STATE OF _____)
) ss.
COUNTY OF _____)

On this 30th day of JULY, 2004, before me personally appeared Daniel E. Rardon, to me known to be the person described in and who executed the foregoing instrument, and he executed the same for the uses and purposes therein set forth.

Barbara B. Prosdocimo
Notary Public

