

In place of Form PTO-1595

Docket No. AUS920040334US1

RECORDATION FORM COVER SHEET **PATENTS**

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (1) Christopher James Dawson (2) Rick Allen Hamilton, II (3) James Wesley Seaman (4) Timothy Moffett Waters Additional name(s) of conveying party(ies) attached? Yes <input checked="" type="checkbox"/> No	Execution Date: <u>August 2, 2004</u> <u>July 18, 2004</u> <u>July 13, 2004</u> <u>July 13, 2004</u>
2. Name and address of receiving party(ies): International Business Machines Corporation New Orchard Road Armonk, New York 10504	3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is (1) _____ (2) _____ (3) _____ (4) _____ A. Patent Application No.(s) <u>10/912,478</u> B. Patent No.(s) _____	
5. Name and address of party to whom correspondence concerning document should be mailed: David A. Mims, Jr. IBM Corporation Intellectual Property Law 11400 Burnet Road Austin, TX 78758 512-823-0950	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>09-0447</u>

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PATSY SPEARS
 Name of Person Signing

Patsy Spears
 Signature

08/20/2004
 Date
Total number of pages including cover sheet: 9

IBM DOCKET NO. AUS920040334US1

ASSIGNMENT

Whereas, I/we,

- | | |
|--|---|
| (1) Christoper James Dawson
County of Arlington | of Arlington
and State of Virginia |
| (2) Rick Allen Hamilton II
County of Albemarie | of Charlottesville
and State of Virginia |
| (3) James Wesley Seaman
County of Fairfax | of Falls Church
and State of Virginia |
| 4) Timothy Moffett Waters
County of Paulding | of Hiram
and State of Georgia |

have invented certain improvements in

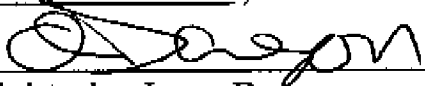
“Traffic Shaping of Cellular Service Consumption Through Modification of Consumer Behavior
Encouraged by Cell-based Pricing Advantages”

and executed a United States patent application therefore on (1) 8-2-04, 2004,
(2) _____, 2004, (3) _____, 2004, and
(4), _____, 2004.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I/we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

IBM DOCKET NO. AUS920040334US1

(1) Signed at Arlington, VA on 6.2.04, 2004.

Christopher James Dawson

(2) Signed at _____ on _____, 2004.

Rick Allen Hamilton, II

(3) Signed at _____ on _____, 2004.

James Wesley Seaman

(4) Signed at _____ on _____, 2004.

Timothy Moffett Waters

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“Traffic Shaping of Cellular Service Consumption Through Modification of Consumer Behavior
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(2) July 18th, 2004, (3) _____, 2004, and
(4), _____, 2004.

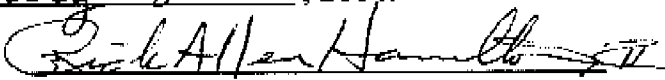
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IBM DOCKET NO. AUS920040334US1

(1) Signed at _____ on _____, 2004.

Christopher James Dawson

(2) Signed at Charlottesville, VA on July 18th, 2004.

Rick Allen Hamilton, II

(3) Signed at _____ on _____, 2004.

James Wesley Seaman

(4) Signed at _____ on _____, 2004.

Timothy Moffett Waters

IBM DOCKET NO. AUS920040334US1**ASSIGNMENT**

Whereas, I/we,

(1) Christoper James Dawson of Arlington
County of Arlington and State of Virginia

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County of Albemarie and State of Virginia

(3) James Wesley Seaman of Falls Church
County of Fairfax and State of Virginia

4) Timothy Moffett Waters of Hiram
County of Paulding and State of Georgia

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(1) Signed at _____ on _____, 2004.

Christopher James Dawson

(2) Signed at _____ on _____, 2004.

Rick Allen Hamilton, II

(3) Signed at Falls Church, VA on 07-13-, 2004.

James Wesley Seaman

(4) Signed at _____ on _____, 2004.

Timothy Moffett Waters

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Christopher James Dawson

(2) Signed at _____ on _____, 2004.

Rick Allen Hamilton, II

(3) Signed at _____ on _____, 2004.

James Wesley Seaman

(4) Signed at Aurora, GA on July 13th, 2004.



Timothy Moffett Waters