

Form PTO-1595 (Rev. 06/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Elias Georges
Lucile Serfass
Anne-Marie Bonneau
Frederic Dallaire

Execution Date(s) July 16, 2004 and August 18, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Aurelium BioPharma, Inc.

Internal Address:

Street Address:

8475 Christophe-Colomb Avenue, Suite 1000

City: Montreal

State: QC

Country: Canada

Zip: H2M 2N9

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No. (s)

10736.889

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Ann-Louise Kerner, Ph.D.

Internal Address: Wilmer Cutler Pickering Hale
and Dorr LLP

Street Address: 60 State Street

City: Boston

State: MA

Zip: 02109

Phone Number: 617-526-6000

Fax Number: 617-526-5000

Email Address:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 08-0219

Authorized User Name Ann-Louise Kerner, Ph.D.

9. Signature:

Ann-Louise Kerner

Signature

8/26/04

Date

Ann-Louise Kerner, Ph.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995 or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

700109546

REEL: 015082 FRAME: 0914

CH \$40.00 080219 10736889

ASSIGNMENT

WHEREAS, we, Elias Georges, a citizen of Canada and residing at 2095 De Vouvray, Laval, Quebec, Canada H7M 3J7, Lucile Serfass, a citizen of Canada and residing at 5291 de l'Esplanade, Montreal, Quebec, Canada H2T 2Z6, Anne-Marie Bonneau, a citizen of Canada and residing at 2095 De Vouvray, Laval, Quebec, Canada H7M 3J7 and Frédéric Dallaire, a citizen of Canada and residing at ~~4682 Montana~~^{EQ} ~~3080, CIRIER~~
~~Montreal, Quebec, Canada H2T 3B7~~^{EQ} are the inventors of a certain new and useful invention and discovery, ~~MONTREAL, QUEBEC, CANADA, H1L 5T7~~
for which we have made an application for a United States Patent entitled "VIMENTIN DIRECTED DIAGNOSTICS AND THERAPEUTICS FOR MULTIDRUG RESISTANT NEOPLASTIC DISEASE" also identified as Attorney Docket Numbers 112418.147US and AUR-013US, the specification of which was filed with the United States Patent and Trademark Office on December 15, 2003 and has been assigned Serial Number 10/736,889.

WHEREAS, Aurelium BioPharma, Inc., whose address is Aurelium BioPharma Inc., 8475 Christophe-Colomb Avenue, Suite 1000, Montreal, QC H2M 2N9, Canada, which, together with its successors and assigns is hereinafter called "ASSIGNEE," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited.

NOW, THEREFORE, for and in consideration of good and valuable consideration furnished by ASSIGNEE to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to ASSIGNEE the entire right, title, and interest in and to said inventions and discoveries, said United States Patent Application, any and all other applications for Letters Patent(s) on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, reexamination and convention applications based in whole, or in part, upon said inventions or discoveries, or upon said United States Patent Application, and any and all Letters Patent(s), reissues, and extensions of Letters Patent(s) granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon, or arise from, said inventions, said discoveries, said applications, and said Letters Patent(s);

2. Authorize ASSIGNEE to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable, under International Conventions or otherwise;

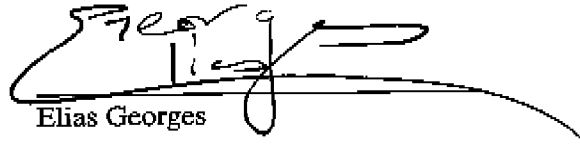
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments throughout the world to issue or transfer all said Letters Patent(s) to ASSIGNEE, as assignee of the entire right, title, and interest therein or otherwise as ASSIGNEE may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents, or any license to use the same, or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to ASSIGNEE without encumbrance;

5. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon ASSIGNEE's request and at ASSIGNEE's expense, but without additional consideration to either us or them, all acts reasonably serving to assure that said inventions and discoveries, said patent applications, and said Letters Patent(s) shall be held and enjoyed by ASSIGNEE as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this Assignment had not been made; and particularly to execute and deliver to ASSIGNEE all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by ASSIGNEE; and to communicate to ASSIGNEE all facts known to us relating to said inventions and discoveries or the history thereof, and to testify as to the same in any court or proceeding; and to furnish ASSIGNEE any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 18 day of

August 2004.


Elias Georges

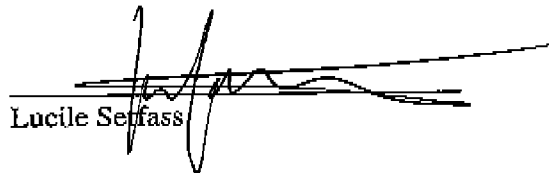
On this 18th day of August, 2004, before me personally came the above-named Elias Georges to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of his own free will for the use and purposes therein set forth.

WITNESS:


Signature and Printed Name


IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 18 day of

August 2004.


Lucile Serfass

On this 18th day of August, 2004, before me personally came the above-named Lucile Serfass to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of his own free will for the use and purposes therein set forth.

WITNESS:


Signature and Printed Name

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 16th day of

August 2004.


Anne-Marie Bonneau

On this 16th day of August, 2004, before me personally came the above-named Anne-Marie Bonneau to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of his own free will for the use and purposes therein set forth.

WITNESS:


Signature and Printed Name

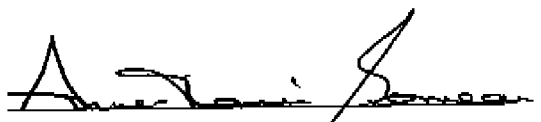
IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 18 day of

August 2004.


Frédéric Dallaire

On this 18 day of August, 2004, before me personally came the above-named Frédéric Dallaire to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of his own free will for the use and purposes therein set forth.

WITNESS:


Signature and Printed Name